Scattering of Electromagnetic Waves by Periodic Waveguides: Resonance and Optimization

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Scattering of electromagnetic waves by a periodic rod structure



An example of a periodic structure of dielectric rods.

The rods are infinitely tall in the *z*-direction, and the wall of rods is infinitely long in the *x*-direction.

A cross-section of a periodic slab array of rods, two rods thick.

An incident plane wave strikes the slab from the left.

The field is reflected and transmitted in a finite number of directions. These are the *diffractive orders*.

Some simulations of scattering by a periodic array of rods

85% transmission and localization of the transmitted field



Resonance of an incident field with a guided mode.



20% transmission of energy



Resonance in a channel defect of the slab.

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Mathematical Formulation

The governing equation for polarized EM or acoustic fields is the scalar **wave equation**

$$\epsilon U_{tt}(x,z;t) = \nabla \cdot \mu^{-1} \nabla U(x,z;t).$$

We are interested in time-harmonic solutions

$$U(x, z; t) = u(x, z)e^{i\omega t}$$

If we insert this form into the wave equation, we obtain the **Helmholtz equation** for the spatial part of the field u(x, z):

$$\nabla \cdot \mu^{-1} u(x,z) + \omega^2 \epsilon u(x,z) = 0.$$

In addition, we consider fields that travel with a wavevector κ parallel to the slab and are modified periodically because of the structure. Such fields are called **pseudo-periodic** with Bloch wavenumber κ :

$$u(x,z) = \hat{u}(x,z)e^{i\kappa x}$$
 with $\hat{u}(x,z)$ periodic in x.

Rayleigh-Bloch scattering by a periodic structure

Solution of the scattering problem:

$$u(x,z) = \begin{cases} e^{i(\kappa x + \eta_0 z)} + \sum_{m \in \mathbb{Z}} a_m e^{-i\eta_m z} e^{i(m+\kappa)x} & \text{to the left} \\ \sum_{m \in \mathbb{Z}} b_m e^{i\eta_m z} e^{i(m+\kappa)x} & \text{to the righ} \end{cases}$$

The exponents in the z-direction must satisfy

$$\eta_m^2 + (m+\kappa)^2 = \epsilon_0 \mu_0 \omega^2$$

The spatial (Fourier) harmonics behave in three possible ways:

 $\epsilon_0 \mu_0 \omega^2 - |m + \kappa|^2 > 0$ $\epsilon_0 \mu_0 \omega^2 - |m + \kappa|^2 = 0$ $\epsilon_0 \mu_0 \omega^2 - |m + \kappa|^2 < 0$

finitely many propagating mfinitely many linear minfinitely many evanescent m



Guided modes:

A nonzero solution of the homogeneous problem (no incident field) has *only evanescent harmonics* And therefore is exponentially localized to the structure. A special relation between frequency and wavenumber (the dispersion relation) is necessary for a guided mode.