

To find trapped modes, one first finds the eigenfrequencies and eigenfunctions of the "real" problem

$$(4) \quad \hat{a}_r^{\omega}(u, v) - (\omega^2 + 1)b(u, v) = 0 \quad \forall v \in H_{(0)}^1(\Omega)$$

and then checks the second condition on p. 81, i.e., whether the propagating harmonics vanish.

But (4) is not linear in ω^2 because of the dependence of \hat{a}_r^{ω} through the Fourier multipliers $-i\xi_k$, which in T_r^{ω} are all nonnegative:

$$-i\xi_k = \sqrt{\lambda_k - \omega^2} \quad \text{for } \lambda_k > \omega^2.$$

In fact, T_r^{ω} can be expressed as

$$(T_r^{\omega} f)_k^{\wedge} = \operatorname{Re} \sqrt{\lambda_k - \omega^2} f_k^{\wedge}, \quad k = 1, 2, \dots$$

The strategy to deal with this type of nonlinear eigenvalue problem is to fix a value of ω in \hat{a}_r^{ω} and consider the eigenvalues γ of the linear eigenvalue problem

$$\hat{a}_r^{\omega}(u, v) - \gamma b(u, v) = 0 \quad \forall v \in H_{(0)}^1(\Omega)$$

We have seen that this problem admits a sequence $\{\gamma_j^{\omega}\}_{j=1}^{\infty}$ of eigenvalues that tends to ∞ as $j \rightarrow \infty$.
increasing (nondecreasing)

We will prove that, for each $j \in \{1, 2, 3, \dots\}$, λ_j^ω is a continuous decreasing function of $\omega \geq 0$. To do this, we use their min-max characterization:

$$H = H_{p_0}^1(\Omega)$$

$$\lambda_j^\omega = \min_{\substack{V_j \subset H \\ \dim(V_j) = j}} \max_{u \in V_j, u \neq 0} \frac{\hat{a}_r^\omega(u, u)}{b(u, u)}.$$

Recall that

$$\hat{a}_r^\omega(u, v) := \int_{\Omega} \tau \nabla u \cdot \nabla \bar{v} + \int_{\Omega} p u \bar{v} + \int_{\Gamma} (T_r^\omega u) \bar{v}$$

For $\omega_2 \geq \omega_1 > 0$, observe that

$$\operatorname{Re} \sqrt{\lambda_k - \omega_2^2} \leq \operatorname{Re} \sqrt{\lambda_k - \omega_1^2}, \quad k = 1, 2, 3, \dots$$

so that, $\forall u \in H$,

$$\begin{aligned} 0 &\leq \hat{a}_r^{\omega_1}(u, u) - \hat{a}_r^{\omega_2}(u, u) = \int_{\Gamma} ((T_r^{\omega_1} - T_r^{\omega_2})u) \bar{u} \\ &= \sum_{k=1}^{\infty} \operatorname{Re} \left(\sqrt{\lambda_k - \omega_1^2} - \sqrt{\lambda_k - \omega_2^2} \right) |(u|_r)_k|^2 \\ &\leq \sum_{k=1}^{\infty} \sqrt{\omega_2^2 - \omega_1^2} |(u|_r)_k|^2 = \sqrt{\omega_2^2 - \omega_1^2} \|u\|_{L^2(\Gamma)}^2 \\ &\leq \sqrt{\omega_2^2 - \omega_1^2} \|u\|_{H^{1/2}(\Gamma)} \leq C_1 \sqrt{\omega_2^2 - \omega_1^2} \|u\|_{H^1(\Omega)} \\ &\leq C_2 \sqrt{\omega_2^2 - \omega_1^2} \hat{a}_r^{\omega_2}(u, u) \end{aligned}$$

Rearrangement and division by $b(u,u)$ yields

$$\frac{a_r^{w_2}(u,u)}{b(u,u)} \leq \frac{a_r^{w_1}(u,u)}{b(u,u)} \leq (1 + c_2 \sqrt{w_2^2 - w_1^2}) \frac{a_r^{w_2}(u,u)}{b(u,u)}$$

For each j -dimensional subspace V_j of H , taking $\max_{\substack{u \in V_j \\ u \neq 0}} of each part of this inequality preserves the inequalities; then taking the minimum over all such V_j again preserves inequality yielding$

$$\gamma_j^{w_2} \leq \gamma_j^{w_1} \leq (1 + c_2 \sqrt{w_2^2 - w_1^2}) \gamma_j^{w_2},$$

$$\text{or } 0 \leq \gamma_j^{w_1} - \gamma_j^{w_2} \leq c_2 \sqrt{w_2^2 - w_1^2} \gamma_j^{w_2},$$

which proves the continuity and the decreasing property of γ_j^w with respect to w .

Now, w is an eigenvalue of the problem

$$a_r^w(u,v) - (w^2 + 1)b(u,v) = 0 \quad \forall v \in H$$

if and only if

$$w^2 + 1 = \gamma_j^w \quad \text{for some } j \in \{1, 2, 3, \dots\}.$$

Since $w^2 + 1$ is increasing and γ_j^w decreasing, there

is an infinite sequence of numbers ω_j with $\omega_j \rightarrow \infty$ such that

$$\omega_j^2 = \gamma_j^\omega - 1$$

We have seen before that $\gamma_j^\omega > 1$ since $\gamma_j^\omega - 1$ satisfies

$$a_r^\omega(u, u) - (\gamma_j - 1)b(u, u) = 0,$$

where u is the eigenfunction and $a_r^\omega(u, u) = \int_{\Omega} \epsilon |\nabla u|^2 + \int_{\Gamma} \frac{\partial u}{\partial \nu} \nabla u$.

The picture is this:

