

## Gauge Theory in Two Dimensions: Topological, Geometric and Probabilistic Aspects

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We present a description of two dimensional Yang-Mills gauge theory on the plane and on compact surfaces, examining the topological, geometric and probabilistic aspects.

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### 1. Introduction

Two dimensional Yang-Mills theory has proved to be a surprisingly rich model, despite, or possibly because of, its simplicity and tractability both in classical and quantum forms. The purpose of this article is to give a largely self-contained introduction to classical and quantum Yang-Mills theory on the plane and on compact surfaces, along with its relationship to Chern-Simons theory, illustrating some of the directions of current and recent research activity.

### 2. Yang-Mills Gauge Theory

The physical concept of a gauge field is mathematically modeled by the notion of a connection on a principal bundle. In this section we shall go over a rapid account of the differential geometric notions describing a gauge field (for a full account, see, for instance, Bleecker<sup>10</sup>).

Consider a smooth manifold  $M$ , to be thought of as spacetime. Let  $G$  be a Lie group, viewed as the group of symmetries of a particle field. The latter may be thought of, locally, as a function on  $M$  with values in a vector space  $E$  on which there is a representation  $\rho$  of  $G$ ; a change of ‘gauge’ (analogous to a change of coordinates) alters  $\psi$  by multiplication by  $\rho(g)$ , where  $g$  is a ‘local’ gauge transformation, i.e. a function on  $M$  with values in  $G$ . To

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deal with such fields in a unified way, it is best to introduce a principal  $G$ -bundle over  $M$ . This is a manifold  $P$ , with a smooth surjection

$$\pi : P \rightarrow M$$

and a smooth right action of  $G$ :

$$P \times G \rightarrow P : (p, g) \mapsto R_g p = pg,$$

such that  $\pi$  is locally trivial, i.e. each point of  $M$  has a neighborhood  $U$  for which there is a diffeomorphism

$$\phi : U \times G \rightarrow \pi^{-1}(U)$$

satisfying

$$\pi\phi(u, g) = u, \quad \phi(u, gh) = \phi(u, g)h, \quad \text{for all } u \in U, \text{ and } g, h \in G.$$

It will be convenient for later use to note here that a bundle over  $M$  is often specified by an indexing set  $I$  (which may have a structure, rather than just be an abstract set), an open covering  $\{U_\alpha\}_{\alpha \in I}$  of  $M$ , and for each  $\alpha, \beta \in I$  for which  $U_\alpha \cap U_\beta \neq \emptyset$ , a diffeomorphism

$$\phi_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow G$$

such that

$$\phi_{\alpha\beta}(x)\phi_{\beta\gamma}(x) = \phi_{\alpha\gamma}(x) \quad \text{for all } x \in U_\alpha \cap U_\beta \cap U_\gamma. \quad (1)$$

The principal bundle  $P$  may be recovered or constructed from this data by taking the set  $\cup_{\alpha \in I} \{\alpha\} \times U_\alpha \times G$  and identifying  $(\alpha, x, g)$  with  $(\beta, y, h)$  if  $x = y \in U_\alpha \cap U_\beta$  and  $\phi_{\beta\alpha}(x)g = h$ . The elements of  $P$  are the equivalence classes  $[\alpha, x, g]$ , and the map  $\pi : P \rightarrow M : [\alpha, x, g] \rightarrow x$  is the bundle projection and  $[\alpha, x, g]k = [\alpha, x, gk]$  specifies the right  $G$ -action on  $P$ . The map  $\phi_\alpha : U_\alpha \times G \rightarrow P : (x, g) \mapsto [\alpha, x, g]$  is a local trivialization. This construction is traditional (see, for instance, Steenrod<sup>53</sup>), but lends itself to an interesting application in the context of Yang-Mills as we shall see later.

A particle field is then described by a function  $\psi : P \rightarrow E$ , where  $E$  is as before, satisfying the equivariance property

$$\psi(pg) = \rho(g^{-1})\psi(p) \quad (2)$$

which is physically interpreted as the gauge transformation behavior of the field  $\psi$ . In terms of local trivializations, the value of the field over a point  $x \in M$  would be described by an equivalence class  $[\alpha, x, v]$  with  $v = \psi([\alpha, x, e]) \in E$ , and  $(\alpha, x, v)$  declared equivalent to  $(\beta, y, w)$  if  $y = x \in$

$U_\alpha \cap U_\beta$  and  $w = \rho(\phi_{\beta\alpha}(x))v$ . An equivalent point of view is to consider the space  $E_P$  of all equivalence classes  $[p, v] \in P \times E$ , with  $[p, v] = [pg, \rho(g)^{-1}v]$  for all  $(g, p, v) \in G \times P \times E$ , which is a vector bundle  $E_P \rightarrow M : [p, v] \mapsto \pi(p)$ , and then  $\psi$  corresponds to the section of this bundle given by  $M \rightarrow E_P : x \mapsto [p, \psi(p)]$  for any  $p \in \pi^{-1}(x)$ . These traditional considerations will be useful in an unorthodox context later in defining the Chern-Simons action (45).

The interaction of the particle field with a gauge ‘force’ field is described through a Lagrangian, which involves derivatives of  $\psi$ . This derivative is a ‘covariant derivative’, with a behavior under gauge transformations controlled through a field  $\omega$  which is the gauge field. Mathematically,  $\omega$  is a *connection* on  $P$ , i.e. a smooth 1-form on  $P$  with values in the Lie algebra  $LG$  of  $G$  such that

$$R_g^* \omega = \text{Ad}(g^{-1})\omega \quad \text{and} \quad \omega(pH) = H \quad (3)$$

for all  $p \in P$  and  $H \in LG$ , where

$$pH = \left. \frac{d}{dt} \right|_{t=0} p \cdot \exp(tH).$$

The tangent space  $T_p P$  splits into the *vertical subspace*  $\ker d\pi_p$  and the horizontal subspace  $\ker \omega_p$ :

$$T_p P = V_p \oplus H_p^\omega, \quad \text{where } V_p = \ker d\pi_p \text{ and } H_p^\omega = \ker \omega_p. \quad (4)$$

A path in  $P$  is said to be horizontal, or *parallel*, with respect to  $\omega$ , if its tangent vector is horizontal at every point. Thus, if

$$c : [a, b] \rightarrow M$$

is a piecewise smooth path, and  $u$  a point on the initial fiber  $\pi^{-1}(c(a))$ , then there is a unique piecewise smooth path  $\tilde{c}_u : [a, b] \rightarrow P$  such that

$$\pi \circ \tilde{c}_u = c, \quad \tilde{c}_u(a) = u,$$

and  $\tilde{c}_u$  is composed of horizontal pieces. The path  $\tilde{c}_u$  is called the *horizontal lift* of  $c$  through  $u$ , and  $\tilde{c}_u(t)$  is the *parallel transport* of  $u$  along  $c$  up to time  $t$ .

The point  $\tilde{c}_u(b)$  lies over the end point  $c(b)$ . If  $c$  is a loop then there is a unique element  $h$  in  $G$  for which

$$\tilde{c}_u(b) = \tilde{c}_u(a)h.$$

This  $h$  is the *holonomy* of  $\omega$  around the loop  $c$ , beginning at  $u$ , and we denote this

$$h_u(c; \omega).$$

The property (3) implies that

$$h_{ug}(c; \omega) = g^{-1}h_u(c; \omega)g. \quad (5)$$

In all cases of interest, the gauge group  $G$  is a matrix group, and we have then the *Wilson loop observable*

$$\mathrm{Tr}(h(c; \omega)) \quad (6)$$

where we have dropped the initial point  $u$  as it does not affect the value of the trace of the holonomy.

If  $\rho$  is a representation of  $G$  on a vector space  $E$ , then there is induced in the obvious way an ‘action’ of the Lie algebra  $LG$  on  $E$ , and this allows us to multiply, or ‘wedge’,  $E$ -valued forms and  $LG$ -valued forms. If  $\eta$  is an  $E$ -valued  $k$ -form on  $P$  then the *covariant derivative*  $D^\omega \eta$  is the  $E$ -valued  $(k+1)$ -form on  $P$  given by

$$D^\omega \eta = d\eta + \omega \wedge \eta \quad (7)$$

The holonomy around a small loop is, roughly, the integral of the *curvature* of  $\omega$  over the region enclosed by the loop. More technically, the curvature  $\Omega^\omega$  is the  $LG$ -valued 2-form given by

$$\Omega^\omega = D^\omega \omega = d\omega + \frac{1}{2}[\omega \wedge \omega] \quad (8)$$

(see discussion following (26) below for explanation of notation). This  $LG$ -valued 2-form is 0 when evaluated on a pair of vectors at least one of which is vertical, and is equivariant:

$$R_g^* \Omega^\omega = \mathrm{Ad}(g^{-1}) \Omega^\omega. \quad (9)$$

The connection  $\omega$  is said to be *flat* if its curvature is 0, and in this case holonomies around null-homotopic loops are the identity.

Now consider an Ad-invariant metric  $\langle \cdot, \cdot \rangle$  on  $LG$ . This, along with a metric on  $M$ , induces a metric  $\tilde{g}$  on the bundle  $P$  in the natural way. Then we can form the curvature ‘squared’:

$$\langle \Omega^\omega, \Omega^\omega \rangle = \tilde{g}(\Omega^\omega, \Omega^\omega)$$

which, by equivariance of the curvature form and the Ad-invariance of the metric on  $LG$ , descends to a well-defined function on the base manifold  $M$ . The Yang-Mills action functional is

$$S_{\mathrm{YM}}(\omega) = \frac{1}{2g^2} \int_M \langle \Omega^\omega, \Omega^\omega \rangle \, d\mathrm{vol} \quad (10)$$

where the integration is with respect to the volume induced by the metric on  $M$ . The parameter  $g$  is a physical quantity which we will refer to as the coupling constant. The Yang-Mills equations are the variational equations for this action.

A *gauge transformation* is a diffeomorphism

$$\phi : P \rightarrow P$$

which preserves each fiber and is  $G$ -equivariant:

$$\pi \circ \phi = \phi \quad \text{and} \quad R_g \circ \phi = \phi \circ R_g \quad \text{for all } g \in G.$$

The gauge transformation  $\phi$  is specified uniquely through the function  $g_\phi : P \rightarrow G$ , given by

$$\phi(p) = pg_\phi(p), \quad \text{for all } p \in P, \quad (11)$$

which satisfies the equivariance condition

$$g_\phi(ph) = h^{-1}g_\phi(p)h, \quad \text{for all } p \in P \text{ and } h \in G.$$

Conversely, if a smooth function  $g : P \rightarrow G$  satisfies  $g(ph) = h^{-1}g(p)h$  for all  $p \in P$  and  $h \in G$ , then the map

$$\phi_g : p \mapsto pg(p) \quad (12)$$

is a gauge transformation. The group of all gauge transformations is usually denoted  $\mathcal{G}$ ; note that the group law of composition corresponds to pointwise multiplication:  $g_{\phi \circ \tau} = g_\phi g_\tau$ . If  $o \in M$  is a basepoint on  $M$ , it is often convenient to consider  $\mathcal{G}_o$ , the subgroup of  $\mathcal{G}$ , which acts as identity on  $\pi^{-1}(o)$ . The group  $\mathcal{G}$  acts on the infinite dimensional affine space  $\mathcal{A}$  of all connections by pullbacks:

$$\mathcal{A} \times \mathcal{G} \rightarrow \mathcal{A} : (\omega, \phi) \mapsto \phi^* \omega = \omega^{g_\phi} \stackrel{\text{def}}{=} \text{Ad}(g_\phi^{-1})\omega + g_\phi^{-1}dg_\phi \quad (13)$$

The Yang-Mills action functional and physical observables such as the Wilson loop observables are all gauge invariant.

Gauge groups of interest in physics are products of groups such as  $U(N)$  and  $SU(N)$ , for  $N \in \{1, 2, 3\}$ . In the case of the electromagnetic field,  $G = U(1)$  and the connection form is  $\omega = i\frac{e}{\hbar}A$ , where  $A$  is the electromagnetic potential,  $\hbar$  is Planck's constant divided by  $2\pi$ , and  $e$  is the charge of the particle (electron) to which the field is coupled. The curvature  $\Omega^\omega$  descends to an ordinary 2-form on spacetime, and corresponds to  $\frac{e}{\hbar}$  times the electromagnetic field strength form  $F$ .

Moving from the classical theory of the gauge field to the quantum theory leads to the consideration of functional integrals of the form

$$\int_{\mathcal{A}} f(\omega) e^{-S_{\text{YM}}(\omega)} D\omega,$$

where  $f$  is a gauge invariant function such as the product of traces, in various representations, of holonomies around loops. The integral can be viewed as being over the quotient space  $\mathcal{A}/\mathcal{G}$ . Here the base manifold  $M$  is now a Riemannian manifold rather than Lorentzian (for the latter, the functional integrals are Feynman functional integrals, having an  $i$  in the exponent). More specifically, one would like to compute, or at least gain an understanding of, the averages:

$$W(C_1, \dots, C_k) = \frac{1}{Z_g} \int_{\mathcal{A}/\mathcal{G}} \prod_{j=1}^k \text{Tr}(h(C_j; \omega)) e^{-S_{\text{YM}}(\omega)} [D\omega], \quad (14)$$

with  $[D\omega]$  denoting the formal ‘Lebesgue measure’ on  $\mathcal{A}$  pushed down to  $\mathcal{A}/\mathcal{G}$ . Here the traces may be in different representations of the group  $G$ . The formal probability measure  $\mu_g$  on  $\mathcal{A}/\mathcal{G}$ , or on  $\mathcal{A}/\mathcal{G}_o$ , given through

$$d\mu_g([\omega]) = \frac{1}{Z_g} e^{-\frac{1}{2g^2} \|\Omega^\omega\|_{L^2}^2} [D\omega], \quad (15)$$

is usually called the *Yang-Mills measure*.

These integrals can be computed exactly when  $\dim M = 2$ , as we will describe in the following section, and the Yang-Mills measure then has a rigorous definition.

### 3. Wilson loop integrals in two dimensions

The Yang-Mills action is, on the face of it, quartic in the connection form  $\omega$ . However, when we pass to the quotient  $\mathcal{A}/\mathcal{G}$ , a simplification results when the base manifold  $M$  is two dimensional. This is most convincingly demonstrated in the case  $M = \mathbb{R}^2$ . In this case, for any connection  $\omega$  we can choose, for instance, *radial gauge*, a section

$$s_\omega : \mathbb{R}^2 \rightarrow P$$

(a smooth map with  $\pi \circ s_\omega(x) = x$  for all points  $x \in \mathbb{R}^2$ ) which maps each radial ray from the origin  $o$  into an  $\omega$ -horizontal curve in  $P$  emanating from a chosen initial point  $u \in \pi^{-1}(o)$ . Then let  $F^\omega$  be the  $LG$ -valued function on  $\mathbb{R}^2$  specified by

$$\omega \mapsto s_\omega^* \Omega^\omega = F^\omega d\sigma, \quad (16)$$

where  $\sigma$  is the area 2-form on  $\mathbb{R}^2$ . Then

$$\omega \mapsto F^\omega$$

identifies  $\mathcal{A}/\mathcal{G}_o$  with the linear space of smooth  $LG$ -valued functions on  $\mathbb{R}^2$  and the Yang-Mills measure becomes the well-defined Gaussian measure on the space of functions  $F$  given by

$$d\mu_g(F) = \frac{1}{Z_g} e^{-\frac{1}{2g^2}\|F\|_{L^2}^2} DF \quad (17)$$

This measure lives on a completion of the Hilbert space of  $LG$ -valued  $L^2$  functions on the plane, and the corresponding connections are therefore quite ‘rough’. In particular, the differential equation defining parallel transport needs to be reinterpreted as a stochastic differential equation. The holonomy  $h(C; \omega)$  (basepoint fixed at  $u$  once and for all) is then a  $G$ -valued random variable. The Wilson loop expectation values work out explicitly using two facts:

- If  $C$  is a piecewise smooth simple closed loop in the plane  $C$  then the holonomy  $h(C)$  is a  $G$ -valued random variable with distribution  $Q_{g^2S}(x)dx$ , where  $S$  is the area enclosed by  $C$ , and  $Q_t(x)$  is the solution of the heat equation

$$\frac{\partial Q_t(x)}{\partial t} = \frac{1}{2}\Delta Q_t(x), \quad \lim_{t \downarrow 0} \int_G f(x)Q_t(x) dx = f(e),$$

for all continuous functions  $f$  on  $G$ , with  $dx$  being unit mass Haar measure on  $G$ , and  $\Delta$  is the Laplacian operator on  $G$  with respect to the chosen invariant inner product.

- If  $C_1$  and  $C_2$  are simple loops enclosing disjoint planar regions then  $h(C_1)$  and  $h(C_2)$  are independent random variables.

In the simplest case, for a simple closed loop  $C$  in the plane,

$$\int f(h(C)) d\mu_g = \int_G f(x)Q_{g^2S}(x) dx \quad (18)$$

with  $S$  denoting the area enclosed by  $C$ . In particular, for the group  $G = U(N)$ ,

$$W_N(C) = e^{-Ng^2S/2} \quad (19)$$

where

$$W_N(C) = \int \frac{1}{N} \text{Tr}(h(C)) d\mu_g.$$

Now consider the case where  $M = \Sigma$ , a closed oriented surface with Riemannian structure. We will follow Lévy's development<sup>38</sup> of the discrete Yang-Mills measure. Let  $\pi : \tilde{G} \rightarrow G : \tilde{x} \mapsto x$  be the universal covering of  $G$ . Let  $\mathbb{G}$  be a triangulation of  $\Sigma$ , or a graph, with  $\mathbb{V}$  the set of vertices,  $\mathbb{E}$  the set of (oriented) edges, and  $\mathbb{F}$  the set of faces. We assume that each face is diffeomorphic to the unit disk, and the boundary of each face is a simple loop in the graph. Following Lévy,<sup>38</sup> define a discrete connection over  $\mathbb{G}$  to be a map  $\tilde{h} : \mathbb{E} \rightarrow \tilde{G}$  satisfying

$$\pi(\tilde{h}(e^{-1})) = \pi(\tilde{h}(e))^{-1} \quad \text{for every edge } e \in \mathbb{E} \quad (20)$$

where  $e^{-1}$  denotes the edge  $e$  with reversed orientation. One should interpret  $\tilde{h}(e)$  as the parallel transport along edge  $e$  of a continuum connection lifted to  $\tilde{G}$  appropriately. Let

$$\mathcal{A}_{\mathbb{G}}$$

be the set of all such connections over  $\mathbb{G}$ . Note that this is naturally a subset of  $\tilde{G}^{\mathbb{E}}$ , and indeed can be viewed as  $\tilde{G}^{\mathbb{E}_+}$ , where  $\mathbb{E}_+$  is the set of edges each counted only once with a particular chosen orientation; in particular, we have a unit mass Haar product measure on  $\mathcal{A}_{\mathbb{G}}$ . Define the *discrete Yang-Mills measure*  $\mu_g^{\text{YM}}$  for the graph  $\mathbb{G}$ , by requiring that for any continuous function  $f$  on  $\mathcal{A}_{\mathbb{G}}$ , we have

$$\int_{\mathcal{A}_{\mathbb{G}}} f d\mu_g^{\text{YM}} = \frac{1}{Z_g} \int f(h) \prod_{F \in \mathbb{F}} Q_{g^2|F|}(\tilde{h}(\partial F)) dh, \quad (21)$$

where  $|F|$  is the area of the face  $F$  according to the Riemannian metric on  $\Sigma$ , and  $Z_g$  a normalizing constant to ensure that  $\mu_g^{\text{YM}}(\mathcal{A}_{\mathbb{G}})$  is 1. This is the discrete Yang-Mills measure for connections over all principal  $\tilde{G}$ -bundles over  $\Sigma$ . However, when  $G$  is not simply connected there are different topological classes of bundles, each specified through an element  $z \in \ker(\tilde{G} \rightarrow G)$ . For such  $z$ , again following Lévy,<sup>38</sup>

$$\mathcal{A}_{\mathbb{G}}^z = \{\tilde{h} \in \mathcal{A}_{\mathbb{G}} : \prod_{e \in \mathbb{E}_+} \tilde{h}(e)\tilde{h}(e^{-1}) = z\} \quad (22)$$

corresponds to the set of connections on the principal  $G$ -bundle over  $\Sigma$  classified topologically by  $z$ . The Yang-Mills measure  $\mu_{z,g}^{\text{YM}}$  on  $\mathcal{A}_{\mathbb{G}}^z$  is then simply

$$d\mu_{z,g}^{\text{YM}}(\tilde{h}) = c_z 1_{\mathcal{A}_{\mathbb{G}}^z}(\tilde{h}) d\mu_g^{\text{YM}}(\tilde{h}), \quad (23)$$

where  $c_z$  is again chosen to normalize the measure to have total mass 1. A key feature of the discrete Yang-Mills measure is that it is unaltered by



subdivision of faces (plaquettes), which is why we do not need to index  $\mu_g^{\text{YM}}$  by the graph  $\mathbb{G}$ ; this invariance was observed by Migdal<sup>42</sup> in the physics literature. Lévy<sup>36,38</sup> constructed a continuum measure from these discrete measures and showed that the continuum measure thus constructed agrees with that constructed in.<sup>46</sup> The continuum construction of the Yang-Mills measure relies on earlier work by Driver<sup>19</sup> and others;<sup>25</sup> a separate approach to the continuum Yang-Mills functional integral in two dimensions was developed by Fine<sup>20,21</sup> (see also Ashtekar et al.<sup>5</sup>).

The normalizing factor which appears in the loop expectation values is given, for a simply connected group  $G$  and a closed oriented surface of genus  $\gamma$ , by

$$\int_{G^{2\gamma}} Q_{g^2S}(K_\gamma(x)) dx \quad (24)$$

where  $K_\gamma$  is the product commutator function

$$K_\gamma(a_1, b_1, \dots, a_\gamma, b_\gamma) = b_\gamma^{-1} a_\gamma^{-1} b_\gamma a_\gamma \dots b_1^{-1} b_1^{-1} b_1 a_1 \quad (25)$$

which plays the role of ‘total curvature’ of a discrete connection whose holonomies around  $2\gamma$  standard generators of  $\pi_1(\Sigma)$  are given by  $a_1, b_1, \dots, a_\gamma, b_\gamma$ .

#### 4. Yang-Mills on surfaces and Chern-Simons: the symplectic limit

In this section we will describe how Yang-Mills theory on surfaces fits into a hierarchy of topological/geometric field theories in low dimensions. For a detailed development of Chern-Simons theory from the point of view of topological field theory we refer to Freed<sup>22</sup> from which we borrow many ideas, and some notation, here. Most of our discussion below applies to trivial principal bundles (see<sup>23</sup> for non-trivial bundles). In Albeverio et al.,<sup>4</sup> the relationship between the Chern-Simons and Yang-Mills systems was explored using the method of exterior differential systems of Griffiths<sup>24</sup> in the calculus of variations.

One of our purposes here is to also verify that the ‘correct’ (from the Chern-Simons point of view) inner-product on the Lie algebra of the gauge group  $SU(N)$  to use for two-dimensional Yang-Mills is independent of  $N$ . This is a small but significant fact when considering the large  $N$  limit of the Yang-Mills theory.

#### 4.1. From four dimensions to three: the Chern-Simons form

Let  $P_W \rightarrow W$  be a principal  $G$ -bundle over a manifold  $W$ . Then for any connection  $\omega$  on  $P_W$  we have the curvature 2-form  $\Omega^\omega$  which gives rise to an  $LG \otimes LG$ -valued 4-form by wedging

$$\Omega^\omega \wedge \Omega^\omega$$

Now consider a metric  $\langle \cdot, \cdot \rangle$  on  $LG$  which is Ad-invariant. This produces a 4-form

$$\langle \Omega^\omega \wedge \Omega^\omega \rangle$$

which, by Ad-invariance, descends to a 4-form on  $W$  which we denote again by  $\langle \Omega^\omega \wedge \Omega^\omega \rangle$ . The latter, a Chern-Weil form, is a closed 4-form and specifies a cohomology class in  $H^4(W)$  determined by the bundle  $P_W \rightarrow W$  (independent of the choice of  $\omega$ ).

The Chern-Simons 3-form  $cs(\omega)$  on the bundle space  $P_W$  is given by

$$cs(\omega) = \langle \omega \wedge d\omega + \frac{1}{3}\omega \wedge [\omega \wedge \omega] \rangle = \langle \omega \wedge \Omega^\omega - \frac{1}{6}\omega \wedge [\omega \wedge \omega] \rangle \quad (26)$$

Here wedge products of  $LG$ -valued forms, and expressions such as  $[\omega \wedge \omega]$ , may be computed by expressing the forms in terms of a basis of  $LG$  and ordinary differential forms. For example, writing  $\omega$  as  $\sum_a \omega^a E_a$ , where  $\{E_a\}$  is a basis of  $LG$ , the 2-form  $[\omega \wedge \omega]$ , whose value on a pair of vectors  $(X, Y)$  is  $2[\omega(X), \omega(Y)]$ , is  $\sum_{a,b} \omega^a \wedge \omega^b [E_a, E_b]$ . If  $LG$  is realized as a Lie algebra of matrices, then  $[\omega \wedge \omega]$  works out to be  $2\omega \wedge \omega$ , this being computed using matrix multiplication.

The fundamental property<sup>13</sup> of the Chern-Simons form is that its exterior differential is the closed 4-form  $\langle \Omega^\omega \wedge \Omega^\omega \rangle$  on the bundle space:

$$dcs(\omega) = \langle \Omega^\omega \wedge \Omega^\omega \rangle \quad (27)$$

Unlike the Chern-Weil form,  $cs(\omega)$  does not descend naturally to a form on  $W$ , i.e. if  $s : W \rightarrow P_W$  is a section then  $s^*cs(\omega)$  depends on  $s$ . If  $g : P \rightarrow G$  specifies a gauge transformation  $p \mapsto pg(p)$  then a lengthy but straightforward computation shows that

$$cs(\omega^g) - cs(\omega) = d\langle \omega \wedge (dg)g^{-1} \rangle - \frac{1}{6}\langle g^{-1}dg \wedge [g^{-1}dg \wedge g^{-1}dg] \rangle \quad (28)$$

If we split a closed oriented 4-manifold  $W$  into two 4-manifolds  $W_1$  and  $W_2$ , glued along a compact oriented 3-manifold  $Y$ , and if  $P_W$  admits sections  $s_1$  over  $W_1$  and  $s$  over  $W_2$ , then

$$\int_W \langle \Omega^\omega \wedge \Omega^\omega \rangle = \int_Y (s_1^*cs(\omega) - s^*cs(\omega)) \quad (29)$$

Now the sections  $s_1$  and  $s$  are related by a gauge transformation  $g$  specified through a smooth map

$$\tilde{g} : Y \rightarrow G \quad (30)$$

in the sense that (notation as in (11) and (12))

$$s_1(y) = s(y)\tilde{g}(y) = \phi_g(s(y)), \quad \text{for all } y \in Y. \quad (31)$$

Then, after using Stokes' theorem, the term on the right in (29) works out to

$$-\frac{1}{6} \int_Y \tilde{g}^* \sigma \quad (32)$$

where  $\sigma$  is the 3-form on  $G$  given by

$$\sigma = \langle h^{-1}dh \wedge [h^{-1}dh \wedge h^{-1}dh] \rangle, \quad (33)$$

with  $h : G \rightarrow G$  being the identity map. By choosing the metric on  $LG$  appropriately, we can ensure that this quantity is always an integer times (a convenient normalizing factor)  $8\pi^2$ . For example, if  $G = SU(2)$ , and the inner-product on  $LG$  given by

$$\langle H, K \rangle = -\text{Tr}(HK), \quad (34)$$

computation of the volume of  $SU(2)$  shows that

$$\int_{SU(2)} \sigma = -48\pi^2 \quad (35)$$

(The sign on the right just fixes an orientation for  $SU(2)$ .) This computation can be worked out conveniently through the 2-to-1 parametrization of  $SU(2)$  given by  $h = k_\phi a_\theta k_\psi$ , with  $(\phi, \theta, \psi) \in (0, 2\pi) \times (0, \pi) \times (0, 2\pi)$ , where

$$k_t = \begin{pmatrix} e^{it} & 0 \\ 0 & e^{-it} \end{pmatrix}$$

and

$$a_\theta = \begin{pmatrix} \cos \frac{\theta}{2} & i \sin \frac{\theta}{2} \\ i \sin \frac{\theta}{2} & \cos \frac{\theta}{2} \end{pmatrix}$$

Putting all this together we see that

$$\int_W \left[ \frac{1}{8\pi^2} s^* cs(\omega^g) - \frac{1}{8\pi^2} s^* cs(\omega) \right] = - \int_Y \frac{1}{48\pi^2} \tilde{g}^* \sigma \in \mathbb{Z} \quad (36)$$

More generally, we assume that the metric  $\langle \cdot, \cdot \rangle$  is such that

$$\frac{1}{8\pi^2} \langle \Omega^\omega \wedge \Omega^\omega \rangle$$

is an integer cohomology class for every closed oriented four-manifold  $W$  (this condition can be restated more completely in terms of the classifying space  $B\tilde{G}$ ; see Witten<sup>57</sup>). For instance, for  $G = SU(N)$ , the properly scaled metric is (according to Witten<sup>57</sup>):

$$\langle H, K \rangle = -\text{Tr}(HK) \quad (37)$$

Let

$$CS(s, \omega) = \frac{1}{8\pi^2} \int_Y s^* cs(\omega) \quad (38)$$

where  $s : Y \rightarrow P$  is a smooth global section (assumed to exist); the discussions above show that when  $s$  is altered,  $CS(\omega)$  is changed by an integer. Thus, for any integer  $k \in \mathbb{Z}$ , the quantity

$$e^{2\pi k i CS(s, \omega)} \in U(1) \quad (39)$$

is independent of the section  $s$ , and thus gauge invariant.

#### 4.2. From three dimensions to two: the $U(1)$ bundle over the space of connections on a surface

Now consider a compact oriented 3-manifold  $Y$  with boundary  $X$ , a closed oriented 2-manifold. We follow Freed's approach.<sup>22</sup> We assume that  $G$  is connected, compact, and simply connected; a consequence is that a principal  $G$ -bundle over any manifold of dimension  $\leq 3$  is necessarily trivial. For any smooth sections  $s_1, s : Y \rightarrow P$ , with  $s_1 = s\tilde{g}$ , we have on using (28) and notation explained therein,

$$\int_Y s^* cs(\omega^g) - \int_Y s^* cs(\omega) = \int_X \langle s^* \omega \wedge (d\tilde{g})\tilde{g}^{-1} \rangle - \int_Y \frac{1}{6} \tilde{g}^* \sigma \quad (40)$$

Let

$$CS(s, \omega) = \frac{1}{8\pi^2} \int_Y s^* cs(\omega) \quad (41)$$

Then, for any integer  $k$ ,

$$e^{2\pi k i CS(sg, \omega)} = e^{2\pi k i CS(s, \omega)} \phi_{sg, s}(\omega) \quad (42)$$

where

$$\phi_{s\tilde{g}, s}(\omega) = e^{2\pi k i \left[ \frac{1}{8\pi^2} \int_X \langle s^* \omega \wedge (d\tilde{g})\tilde{g}^{-1} \rangle - \int_Y \frac{1}{48\pi^2} \tilde{g}^* \sigma \right]} \quad (43)$$

The second term in the exponent on the right is determined, due to integrality of  $\sigma$ , by  $\tilde{g}|_X$ , and is independent of the extension of  $\tilde{g}$  to  $Y$ . Thus

$\phi_{s\tilde{g}, s}(\omega)$  is determined by  $s$ ,  $\omega$ , and  $\tilde{g}$  on the two-manifold  $X$ .

These data specify a principal  $U(1)$  bundle over the space  $\mathcal{A}_X$  of connections on the bundle  $P_X \rightarrow X$  (restriction of  $P$  over  $X$ ), as follows. Let  $I$  be the set of all smooth sections  $s : X \rightarrow P_X$ . Taking this as indexing set, if  $s_1, s \in I$  then, denoting by  $\tilde{g} : X \rightarrow G$  the function for which  $s_1 = s\tilde{g}$ , we define  $\phi_{s_1, s}$  as above. Thus,  $\phi_{s_1, s}(\omega)$  is given by

$$\phi_{s_1, s}(\omega) = e^{2\pi k i CS(s_1, \omega)} e^{-2\pi k i CS(s, \omega)}, \quad (44)$$

where, on the right, the Chern-Simons actions are computed for extensions of  $s^*\omega$  and  $s^*\omega^g$  over a 3-manifold  $Y$  whose boundary is  $X$ . If a different 3-manifold  $Y'$  is chosen then the value of  $\phi_{s_1, s}(\omega)$  remains the same, because it gets multiplied by

$$e^{2\pi k i CS_{Y' \cup -Y}(s_1, \omega)} e^{-2\pi k i CS_{Y' \cup -Y}(s, \omega)},$$

with obvious notation, and we have seen that this is 1. The expression (44) makes it clear that  $\{\phi_{s_1, s}\}_{s, s_1 \in I}$  satisfies the cocycle condition (1) and thus specifies a principal  $U(1)$ -bundle over the space  $\mathcal{A}_X$  of connections on  $P_X \rightarrow X$ .

Note that the integrality condition on  $k$  (which goes back to the integrality property of the inner-product on  $LG$ ) is what leads to the  $U(1)$  bundle.

The principal  $U(1)$ -bundle constructed along with the natural representation of  $U(1)$  on  $\mathbb{C}$ , yields a line bundle  $\mathbb{L}$  over  $\mathcal{A}_X$ , as described more generally in the context of (2). If  $Y$  is a 3-manifold with boundary  $X$  then for any connection  $\omega$  on the bundle over  $Y$ , we have a well-defined element

$$e^{2\pi k i CS(\omega)} \stackrel{\text{def}}{=} [s, e^{2\pi k i CS(s, \omega)}] \quad (45)$$

in the  $U(1)$ -bundle over  $\mathcal{A}_X$  in the fiber over  $\omega|_X$ . In this way (following Freed<sup>22</sup>), the *exponentiated Chern-Simons action over an oriented 3-manifold  $Y$  with boundary  $X$  appears as a section of the line bundle  $\mathbb{L}$  over  $\mathcal{A}_X$ .*

### 4.3. Connection on the $U(1)$ bundle over the space of connections

The method of geometric quantization also requires a connection on the  $U(1)$ -bundle (over phase space). The connection is here generated again using the Chern-Simons action. Let

$$[0, 1] \rightarrow \mathcal{A}_X : t \mapsto \omega_t$$

be a path of connections, such that  $(t, p) \mapsto \omega_t(p)$  is smooth. Then this specifies a connection  $\omega$  on the bundle

$$[0, 1] \times P \rightarrow [0, 1] \times X$$

in the obvious way (parallel transport in the  $t$  direction is trivial). We define parallel transport along the path  $t \mapsto \omega_t$  over  $\mathcal{A}_X$  geometrically as follows: consider any 3-manifold  $Y$  with boundary  $X$ , and a principal  $G$ -bundle  $P_Y \rightarrow Y$  with connection  $\omega_{0,Y}$  which restricts to the given bundle over  $X$  and  $\omega_0$ , and similarly consider  $\omega_{1,Y}$ ; then parallel-transporting  $e^{2\pi k i CS(\omega_{0,Y})}$  along the path will yield

$$e^{2\pi k i CS(\omega_{1,Y})} e^{2\pi k i CS(\tilde{\omega})}$$

where  $\tilde{\omega}$  is the connection over  $(Y) \cup (X \times [0, 1]) \cup (-Y)$ , glued along  $X$ , obtained by combining  $\omega$ ,  $\omega_{0,Y}$  and  $\omega_{1,Y}$ . In terms of a trivialization of the bundle specified through a section  $s$  of  $P$  over  $X$ , parallel transport is given by multiplication by

$$e^{2\pi k i CS(\tilde{s}, \tilde{\omega})} \quad (46)$$

where  $\tilde{s}$  is the induced trivialization of  $[0, 1] \times P \rightarrow [0, 1] \times X$ . Observe that (indicating by the subscript  $X$  the differential over  $X$ )

$$d\tilde{s}^* \tilde{\omega} = d_X s^* \omega_t + dt \wedge \frac{\partial s^* \omega_t}{\partial t}.$$

A simple computation then shows

$$CS(\tilde{s}, \tilde{\omega}) = -\frac{1}{8\pi^2} \int_{[0,1]} \left( \int_X \left\langle \omega_t \wedge \frac{\partial \omega_t}{\partial t} \right\rangle \right) \wedge dt. \quad (47)$$

Viewing the Lie algebra of  $U(1)$  as  $i\mathbb{R}$ , the parallel transport for a  $U(1)$  connection along a path is  $e^{-P}$ , where  $P$  is the integral of the connection form along the path, we see that the connection form  $\theta$  on the  $U(1)$  bundle over  $\mathcal{A}_X$  is given explicitly by

$$\theta|_{\omega}(A) = 2\pi i \frac{k}{8\pi^2} \int_X \langle \omega \wedge A \rangle, \quad (48)$$

for any connection  $\omega \in \mathcal{A}_X$  and any vector  $A$  tangent to  $\mathcal{A}_X$  at  $\omega$  (such an  $A$  is simply an  $LG$ -valued 1-form on  $P$  which vanishes on vertical vectors and satisfies  $R_g^* A = \text{Ad}(g^{-1})A$  for every  $g \in G$ ). The curvature of this is given by the  $i\mathbb{R}$ -valued 2-form  $\Theta = d\theta$  specified explicitly on  $\mathcal{A}_X$  by

$$\Theta(A, B) = A(\Theta(B)) - B(\Theta(A))$$

(where  $A$  and  $B$  are treated as ‘constant’ vector fields on the affine space  $\mathcal{A}_X$ ). This yields

$$\Theta(A, B) = 2\pi i \frac{k}{4\pi^2} \int_X \langle A \wedge B \rangle \quad (49)$$

for all  $A, B \in T_\omega \mathcal{A}_X$ . In keeping with the Bohr-Sommerfeld quantization conditions, we should consider the the symplectic form

$$\frac{1}{2\pi i} \Theta = \frac{k}{4\pi^2} \int_X \langle A \wedge B \rangle \quad (50)$$

This is precisely, with correct scaling factors, the symplectic structure used by Witten [equation (2.29) in<sup>57</sup>] with  $k = 1$ .

In the context of geometric quantization it is more common to consider the Hermitian line bundle associated to the principal  $G$ -bundle over  $\mathcal{A}_X$  constructed here, and view the connection as a connection on this line bundle. From this point of view one might as well simply consider the case  $k = 1$ , since the case of general  $k \in \mathbb{Z}$  arises from different representations of  $U(1)$ , i.e. are tensor powers of the  $k = 1$  line bundle (and its conjugate).

#### 4.4. From Chern-Simons to Yang-Mills on a surface

The original gauge invariance of  $e^{2\pi k i CS(\omega)}$  transfers to an easily-checked gauge invariance of the symplectic structure  $\Theta$  on the space of connections. Thus, we have the group  $\mathcal{G}$  of all gauge transformations acting symplectically on the affine space  $\mathcal{A}_X$ . As is well known, this action has a moment map:

$$J : \mathcal{A}_X \rightarrow (LG)^* : \omega \mapsto \frac{k}{4\pi^2} \Omega^\omega \quad (51)$$

where we have identified the dual of the infinite dimensional Lie algebra  $LG$  with the space of  $LG$ -valued Ad-equivariant functions on the bundle space  $P$ . This fact is readily checked using Stokes’ theorem:

$$\langle J'(\omega)A, H \rangle = \frac{k}{4\pi^2} \int_X \langle (dA + [\omega \wedge A]), H \rangle = \frac{1}{2\pi i} \Theta(A, dH + [\omega, H])$$

The *Yang-Mills* action now can be seen as the norm-squared of the moment map:

$$S_{\text{YM}}(\omega) = \frac{1}{2g^2} \left\| \frac{4\pi^2}{k} J \right\|^2 \quad (52)$$

where  $\|J\|^2$  is computed as an  $L^2$ -norm squared.

We have been discussing Chern-Simons theory in terms of its action, i.e. the integral of the Lagrangian. The Hamiltonian picture works with the *phase space*, i.e. the space of extrema of the Chern-Simons action. A fairly straightforward computation shows that the extrema are flat connections. If we consider the 3-manifold

$$Y = [0, T] \times \Sigma,$$

where  $\Sigma$  is a closed oriented surface, then the phase space, after quotienting out the gauge symmetries, may be identified as the moduli space of flat connections over  $\Sigma$ , which in turn is  $J^{-1}(0)/\mathcal{G}$ . It is a stratified space, with maximal stratum  $\mathcal{M}^0$  which is a symplectic manifold with symplectic structure induced by  $\frac{1}{2\pi i}\Theta$ . We denote this symplectic structure by  $\bar{\Omega}$  when  $k$  is set to 1, i.e. it is induced by the symplectic structure on  $\mathcal{A}_X$  given by

$$\frac{1}{4\pi^2} \int_X \langle A \wedge B \rangle \quad (53)$$

#### 4.5. The symplectic limit

The formal Chern-Simons path integral

$$\int_{\mathcal{A}_Y} e^{2\pi k i CS(\omega)} D\omega$$

is naturally of interest in the quantization of Chern-Simons theory (for progress on a rigorous meaning for Chern-Simons functional integrals see Hahn<sup>29,30</sup>). The path integral may be analyzed in the  $k \rightarrow \infty$  limit by means of its behavior at the extremal of  $CS$ , i.e. on the moduli space of flat connections. This is also what results when we examine the limit of the Yang-Mills measure

$$\frac{1}{N_g} e^{-\frac{1}{2g^2} \|\Omega^\omega\|^2} D\omega,$$

(with  $N_g$  a formal normalizing factor) for connections over the surface  $X$ , in the limit  $g \rightarrow 0$ .

Formally, it is clear that the limiting measure, if it is meaningful, should live on those connections where  $\Omega^\omega$  is 0, i.e. the flat connections. Quotienting by gauge transformations yields the moduli space  $\mathcal{M}^0$  of flat connections. For a compact oriented surface  $\Sigma$  of genus  $\gamma \geq 1$ , the fundamental group  $\pi_1(\Sigma, o)$ , where  $o$  is any chosen basepoint, is generated by the homotopy classes of loops  $A_1, B_1, \dots, A_\gamma, B_\gamma$  subject to the constraint that the word  $B_\gamma^{-1} A_\gamma^{-1} B_\gamma A_\gamma \dots B_1^{-1} A_1^{-1} B_1 A_1$  is the identity in homotopy. Considering a (compact, connected,) simply connected gauge group  $G$  (so that a principal



$G$ -bundle over  $\Sigma$  is necessarily trivial), each flat connection is specified, up to gauge transformations, by the holonomies around the loops  $A_i, B_i$ . In this way,  $\mathcal{M}^0$  is then identified with the subset of  $G^{2\gamma}$ , modulo conjugation by  $G$ , consisting of all  $(a_1, b_1, \dots, a_\gamma, b_\gamma)$  satisfying

$$b_\gamma^{-1} a_\gamma^{-1} b_\gamma a_\gamma \dots b_1^{-1} a_1^{-1} b_1 a_1 = e.$$

Recalling our description of the Yang-Mills measure in terms of the heat kernel  $Q_t$  on  $G$ , we have the following result:<sup>49</sup>

**Theorem 4.1.** *Consider a closed, oriented Riemannian two-manifold of genus  $\gamma \geq 2$ , and assume that  $G$  is a compact, connected, simply-connected Lie group, with Lie algebra equipped with an Ad-invariant metric. Let  $f$  be a  $G$ -conjugation invariant continuous function on  $G^{2\gamma}$ , and  $\tilde{f}$  the induced function on subsets of  $G^{2\gamma}/G$ . Then*

$$\lim_{t \downarrow 0} \int_{G^{2\gamma}} f(x) Q_t(K_\gamma(x)) dx = \frac{(2\pi)^n}{|Z(G)| \text{vol}(G)^{2\gamma-2}} \int_{\mathcal{M}^0} \tilde{f} d\text{vol}_{\overline{\Omega}}, \quad (54)$$

where  $|Z(G)|$  is the number of elements in the center  $Z(G)$  of  $G$ , and  $\text{vol}_{\overline{\Omega}}$  is the symplectic volume form  $\frac{1}{n!} \overline{\Omega}^n$  on the space  $\mathcal{M}^0$  whose dimension is  $2n = (2\gamma - 2) \dim G$ .

With  $f = 1$  this yields Witten's volume formula (formula (4.72) in<sup>58</sup>)

$$\text{vol}_{\overline{\Omega}}(\mathcal{M}^0) = \frac{|Z(G)| \text{vol}(G)^{2\gamma-2}}{(2\pi)^n} \sum_{\alpha} \frac{1}{(\dim \alpha)^{2\gamma-2}} \quad (55)$$

where the sum is over all non-isomorphic irreducible representations  $\alpha$  of  $G$ . Specialized to  $G = SU(2)$ , this gives the symplectic volume of the moduli space of flat  $SU(2)$  connections over a closed genus  $\gamma$  surface to be the rational number  $\frac{2^{\gamma-1}}{(2\gamma-2)!} (-1)^\gamma B_{2\gamma-2}$ , where  $B_k$  is the  $k$ -th Bernoulli number. (Note that keeping track of all the factors of  $2\pi$  pays off in reaching this rational number!)

## 5. Concluding Remarks

We have given an overview of the geometric and topological aspects of two-dimensional Yang-Mills theory and described how they relate to the Yang-Mills probability measure.

Many physical systems involving a parameter  $N$  have asymptotic limiting forms as  $N \rightarrow \infty$ , even though such a limit may not have a clear physical meaning. For the case of Yang-Mills gauge theory with gauge group  $U(N)$ , the limit as  $N \rightarrow \infty$  (holding  $g^2 N$  fixed, where  $g$  is the coupling constant)

has been of particular interest since the path breaking work of 't Hooft.<sup>54</sup> We refer to the recent review<sup>50</sup> for more details on the large  $N$  limit of Yang-Mills in two dimensions. A key observation is that letting  $N \rightarrow \infty$ , while holding  $\tilde{g}^2 = g^2 N$  fixed, yields meaningful finite limits of all Wilson loop expectation values. There is also good reason to believe (see Singer<sup>52</sup>) that a meaningful  $N = \infty$  theory also exists, possibly with relevance to Yang-Mills gauge theory in higher dimensions as well. Free probability theory (see, for instance, Voiculescu et al.<sup>55</sup> and Biane<sup>9</sup>) is likely to play a significant role here.

The partition function for  $U(N)$  gauge theory on a genus  $\gamma$  surface is the normalizing constant we have come across:

$$Z_\gamma = \sum_{\alpha} (\dim \alpha)^{2-2\gamma} e^{-\tilde{g}^2 S c_2(\alpha)/(2N)}$$

where the sum is over all distinct irreducible representations  $\alpha$  of  $U(N)$ , which may be viewed as a sum over the corresponding Young tableaux (which parametrize the irreducible representations), and  $c_2(\alpha)$  is the quadratic Casimir for  $\alpha$ . This sum may be viewed naturally as a statistical mechanical partition function for a system whose states are given by the Young tableaux. This point of view leads to the study of Schur-Weyl duality for  $U(N)$  gauge theory (see, for example,<sup>1</sup>) and to the study of phase transitions in the parameter  $\tilde{g}^2 S$  as  $N \uparrow \infty$ , viewed as a thermodynamic limit.

The references below present a sample of relevant works, and does not aspire to be a comprehensive bibliography.

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