A Course on the Yosida Theorem Classical & Pointfree Versions & Applications

James J. Madden, Louisiana State University

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# Lecture 11 Categories of Representations

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### Review: Localic Yosida

Suppose A is an archimedean  $\ell$ -group, and  $e \in A^+$ .

(i) Let  $y : A^+ \to \mathcal{Y}A$  be the set map universal for the following relations  $(a, b \in A^+)$ :

Then  $\mathcal{Y}A$  is order-isomorphic to the augmentation of the frame of archimedean kernels of A.

(ii) Let  $y_e : A^+ \to \mathcal{Y}_e A$  be the quotient of  $\mathcal{Y}A$  obtained by adding the relation:

 $(U_e) \ y_e(e) = \top.$ 

Then  $\mathcal{Y}_e A$  regular Lindelöf.

(*iii*) Let  $\Phi_e : A \to \mathcal{R} \mathcal{Y}_e A$  be defined by

$$\Phi_e(a)(p,q) = y_e\left((a-pe)^+ \land (qe-a)^+\right), \quad p,q \in \mathbb{Q}$$

Then  $\Phi_e$  is an  $\ell$ -homomorphism with kernel  $e^{\perp}$ .

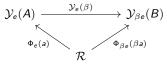
## Funtoriality of $\mathcal Y$

Suppose  $\beta : A \rightarrow B$  is an  $\ell$ -homomorphism of archimedean  $\ell$ -groups.

- There is a frame morphism  $\mathcal{Y}(\beta) : \mathcal{Y}(A) \to \mathcal{Y}(B); y(a) \mapsto y(\beta a).$
- For any  $e \in A^+$ , there is  $\mathcal{Y}_e(\beta)$  making the following diagram commute:

(Here, we identify  $\mathcal{Y}_e A$  with  $[\bot, y(e)] \subseteq \mathcal{Y}A$ .)

For any  $e \in A^+$  and  $a \in A$ ,  $\Phi_{\beta e}(\beta a) = \mathcal{Y}_e(\beta) \circ \Phi_e(a)$ :



## Review: Change of Unit

The image of A under the map  $\Phi_e$  is denoted by  $\Phi(A, e)$ . By definition, there is a containment  $\Phi(A, e) \subseteq \mathcal{RY}(A, e)$ . Both these  $\ell$ -groups are canonically **W**-objects: the unit in  $\mathcal{RY}(A, e)$  is the (localic) constant function 1, which is  $\Phi_e(e)$ . Thus, the containment is a **W**-morphism.

We have seen that if  $y(f) \leq y(e)$ , then there is a frame map  $\pi_f^e : \mathcal{Y}(A, e) \to \mathcal{Y}(A, f)$ and an  $\ell$ -homomorphism (actually, an  $\ell$ -orthomorphism):

$$\rho_f^{\mathbf{e}} : \Phi(A, \mathbf{e}) \to \Phi(A, f)$$
$$\rho_f^{\mathbf{e}}(\phi) = \Phi_f(\mathbf{e}) \cdot (\pi_f^{\mathbf{e}} \circ \phi).$$

This map is "localic restriction," followed by multiplication by  $\Phi_f(e)$ . It is a surjection, and hence a **W**-morphism if we take  $\pi_f^e \circ \Phi_e(e)$  as the weak unit of  $\Phi(A, f)$ . But  $\pi_f^e \circ \Phi_e(e)$  is *not* in general equal to  $\Phi_f(f)$ , the canonical choice of weak unit in  $\Phi(A, f)$ . Last lecture, we proved:

#### Change of Unit Proposition. $\rho_f^e(\Phi_e(a)) = \Phi_f(a)$ .

*Example* (7<sup>th</sup>-grade proportional reasoning). Suppose A is the set of real-valued functions on the 2-point space. We write (c, d) to mean the function that has value c at the first point and d at the second. Let e := (3, 4) and f := (2, 0). Then  $\Phi_e(c, d) = (c/3, d/4)$  and  $\Phi_f(c, d) = (c/2)$ .

$$\rho_f^e(\Phi_e(c,d)) = \Phi_f(e) \cdot (\pi_f^e \circ (c/3,d/4)) = (3/2) \cdot (c/3) = (c/2) = \Phi_f(c,d).$$

*Comment.* The Yosida theorem produces, for each  $e \in A$ , a representation  $\Phi(A, e) \subseteq \mathcal{Y}(A, e)$ . The discussion above tells us how the different representations, as we let e vary, relate to one another.

# The Category RL

#### Definition. RL denotes the category described as follows:

- (a) **RL**-objects are pairs  $(\mathcal{O}, A)$ , where:
  - (i) O is a regular Lindelöf frame, and
  - (ii) A is a sub- $\ell$ -group of  $\mathcal{RO}$  that contains 1 and is such that  $\mathcal{Y}(A, 1) \cong \mathcal{O}$ (equivalently,  $\{y_1(a) \mid a \in A\}$  generates  $\mathcal{O}$  as a frame).
- (b) An **RL**-morphism  $\beta : (\mathcal{E}, A) \to (\mathcal{F}, B)$  is a pair consisting of:
  - (*i*) a frame morphism  $\pi : \mathcal{E} \to \mathcal{F}$ , and
  - (ii) a proper unit  $u \in \mathcal{RF}$  such that  $u \cdot (\pi \circ a) \in B$ , for all  $a \in A$ .

Motivation. The name "RL" is intended to suggest the phrase "represented  $\ell$ -group." The motivation here is create a means to record systematically all the data in all the possible morphisms  $\Phi_e : A \rightarrow \mathcal{RY}(a, e)$ , as e varies over  $A^+$ .

Notation.  $\mathcal{RO}$  contains the constant function 1 as a distinguished weak unit. If a sub- $\ell_{STOM} A \subseteq \mathcal{RO}$  contains 1 and we want to draw attention to the fact that we are viewing 1 as an element of A, we write  $1_A$  to denote it. If A is simply an abstract archimedean  $\ell_{STOM}$  then the notation  $1_A$  is meaningless, but if A contains a weak unit e, then  $1_{\Phi_n}(A) = \Phi_e(e) \in \Phi_e(A)$ .

**Definition.** Suppose  $1, e \in A^+ \subseteq \mathcal{RO}$ . We call  $e \neq proper unit$  if y(e) = y(1).

**Comment.** Suppose  $e, f \in A^+$ . Even when both e and f are weak units (i.e.,  $e^{\perp} = \{0\} = f^{\perp}$ ), it may not be the case that y(e) = y(f). In particular, when  $A \subseteq \mathcal{RO}$ , there may be elements  $a \in A$  such that y(1) < y(a). We had an example of this previously: Represent A = P([0, 1]) using x (the identity function from [0, 1] to  $\mathbb{R}$ ) as the weak unit. Then  $\mathcal{Y}(A, x) = (0, 1]$ . However,  $1/x \in \mathcal{RV}(A, x)$  generates an archimedean kernel that is properly larger than y(1). Observe that,  $\Phi_X(x) = 1$ , and  $\Phi_X(1) = 1/x$ .

**Comment.** Given  $a : \mathcal{R} \to \mathcal{E}$  with  $a \in A$  and unit  $u \in \mathcal{RF}$ , it is of course the case that  $u \cdot (\pi \circ a) \in \mathcal{RF}$ . The definition of **RL** demands more:  $u \cdot (\pi \circ a)$  must be in  $\mathcal{B}$ . The data in the definition implies the existence of an  $\ell$ -homomorphism  $\rho : A \to B$  defined by  $\rho(a) = u \cdot (\pi \circ a)$ . We may refer to an **RL**-morphism by the data  $(\pi, \rho)$ , rather than  $(\pi, u)$ . In general,  $\rho(\mathbf{1}_A)$  will not be equal to  $\mathbf{1}_B$ .

Research Problem. Does RL have limits (fiber products)? We can from limits of abelian  $\ell$ -groups and limits of of regular Lindelöf locales; see Slide 10, below. But can we do so in a way that respects the rest of the structure in RL?

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#### **RL**-presheaves

Let **C** be a category. An **RL**-presheaf on **C** is a functor  $\Phi$  from **C**<sup>op</sup> to **RL**.

Notation.  $\Phi$  is the following data:

- For each  $X \in \mathbf{C}$ ,  $\Phi(X) = (\mathcal{O}_X, A_X)$ .
- For each  $f : X \rightarrow Y \in \mathbf{C}$ , an **RL**-morphism

$$\mathbf{\Phi}(f) = (\pi_f : \mathcal{O}_Y \to \mathcal{O}_X, \rho_f : A_Y \to A_X),$$

This assignment must of course preserve identity and composition.

**Definition.** Let  $[A^+]$  denote the category whose objects are the elements of  $A^+$ , where the set hom(e, f) has a single element, denoted  $f \le e$ , if  $y(f) \le y(e)$  and is empty otherwise.

**Fact.**  $\pi_e^e = id_{\mathcal{Y}(A,e)}$  and  $\rho_e^e = id_{\Phi(A,e)}$ .

**Fact.** Suppose  $g \leq f \leq e$ . Then  $\pi_g^f \pi_f^e = \pi_g^e$  and  $\rho_g^f \rho_f^e = \rho_g^e$ .

#### **Representation Presheaves**

**Definition.** Suppose A is an archimedean  $\ell$ -group and E is a full subcategory of  $[A^+]$ . Then, the *representation presheaf for A over* E is the (contravariant) functor  $\Phi$  from E to **RL**<sub>1</sub> defined (for  $e, f \in E$ ) by:

- (*i*)  $\Phi(e) := (\mathcal{Y}(A, e), \Phi(A, e))$ , and
- (*ii*)  $\Phi(f \le e) := (\pi_f^e, \rho_f^e).$

As mentioned previously, we may regard  $\Phi(a, e) \in \mathcal{RV}(a, e)$  as the "formal ratio of *a* to *e*." Then  $\Phi$  is an assemblage of data displaying all the formal ratios that can be formed with denominators in *E* and the relationships between them.

If it is necessary to keep track of the data defining  $\Phi$ , we may write  $\Phi_E$ , or for even more detail,  $\Phi_{(A,E)}$ .

#### Natural Transformations of Representation Presheaves

Reminder: (i)  $\Phi_E(e) := (\mathcal{Y}(A, e), \Phi(A, e))$ , and (ii)  $\Phi_E(f \le e) := (\pi_f^e, \rho_f^e)$ .

Suppose  $\beta : A \to B$  is an **Arch**-morphism and  $E \subseteq [A^+]$ . If  $y(a) \leq y(a')$ , then  $y(\beta a) \leq y(\beta a')$ . Thus,  $\beta$  is a functor from *E* to  $\beta E$ .

Note that  $\Phi_{(B,\beta E)}$  can be regarded as a composition of functors:  $\Phi \circ \beta$ .

There is a natural transformation  $\hat{\beta}$  from  $\Phi_{(A,E)}$  to  $\Phi_{(B,\beta E)}$  whose component at  $e \in E$  is defined as follows:

$$\hat{\beta}_e := (\mathcal{Y}(\beta, e), \mathcal{Y}(\beta, e) \circ \_) : (\mathcal{Y}(A, e), \Phi(A, e)) \to (\mathcal{Y}(B, \beta e), \Phi(B, \beta e)).$$

$$e \qquad \Phi_{(A,E)}(e) \xrightarrow{\hat{\beta}_{e}} \Phi_{(B,\beta E)}(\beta e)$$

$$\leq \uparrow \qquad \Phi_{(A,E)}(f \leq e) \qquad \qquad \qquad \downarrow \Phi_{(B,\beta E)}(\beta f \leq \beta e)$$

$$f \qquad \Phi_{(A,E)}(f) \xrightarrow{\hat{\beta}_{f}} \Phi_{(B,\beta E)}(\beta f)$$

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# Recovering A

We return to the Research Question form Slide 6.

Consider a presheaf  $\Phi_{(A,E)}$ .

- The maps ρ<sup>e</sup><sub>f</sub>: Φ(A, e) → Φ(A, f) for all e, f ∈ E, f ≤ e form a diagram in Arch. For each e ∈ E, there is a surjective Arch-morphism Φ<sub>e</sub>: A → Φ(A, e). If E is cofinal in A<sup>+</sup>, then for any a ∈ A, there is e ∈ E such that y(a) ≤ y(e). It follows that A, together with the maps Φ<sub>e</sub>, form the limit of the ρ-diagram.
- Similarly, the maps π<sup>e</sup><sub>f</sub>: 𝔅(A, e) → 𝔅(A, f) for all e, f ∈ E, f ≤ e form a diagram in **RegLin**. We conjecture that this too has a limit. We do not know if 𝔅A is regular, but if it is, then the limit would be the Lindelöfification λ𝔅A of 𝔅A.
- ▶ Question. Is there (always) an embedding  $\Phi : A \rightarrow \mathcal{R}\lambda \mathcal{Y}A$  and a collection of "scaled restriction maps"  $\rho_e : \Phi A \rightarrow \Phi(A, e)$ ?

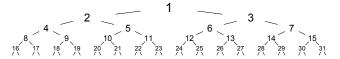
## An Example of Conrad-Martinez (simplified by Hager-Johnson)

Let  $\mathcal{M}$  be a family of infinite subsets of  $\mathbb{N}$ . For each  $M \in \mathcal{M}$ , let  $\gamma_M \in \mathbb{R}^{\mathbb{N}}$ . Then,  $\mathcal{G}(\mathcal{M}, \gamma)$  denotes the sub- $\ell$ -group of  $\mathbb{R}^{\mathbb{N}}$  generated by  $\{\chi_M \cdot \gamma_M \mid M \in \mathcal{M}\} \cup \{\chi_{\{n\}} \mid n \in \mathbb{N}\}$ . Lemma. Suppose  $\Gamma$  is cofinal in  $\operatorname{Inc}(\mathbb{N}, \mathbb{N})$ , the set of strictly-increasing sequences. For any  $u \in (\mathbb{R}_{>0})^{\mathbb{N}}$ , there is  $\gamma \in \Gamma$  such that  $u \cdot \gamma$  is unbounded.

*Proof.* Given u, pick  $w \in \mathbb{R}^{\mathbb{N}}$  such that  $w \leq u$  and  $1/w \in Inc(\mathbb{N}, \mathbb{N})$ . Pick  $\gamma \in \Gamma$  such that  $\gamma \geq (1/w)^2$ . Then,  $u \cdot \gamma \geq u \cdot (1/w)^2 \geq 1/w$ .

**Corollary.** Suppose  $\{\gamma_M \mid M \in \mathcal{M}\}$  is contained in and cofinal in  $Inc(\mathbb{N}, \mathbb{N})$ . For any  $u \in (\mathbb{R}_{>0})^{\mathbb{N}}$ ,  $u \in (\mathcal{M}, \gamma)$  contains an unbounded sequence.

**Fact.** There is  $\mathcal{M}$  such that  $M_0 \cap M_1$  finite for all distinct  $M_0, M_1 \in \mathcal{M}$ , and  $|\mathcal{M}| = c$ . For example, each of the *c* branches in the infinite binary tree whose first few levels are shown below contains an infinite subset of  $\mathbb{N}$ , and any two branches have finite intersection:



For  $\mathcal{M}$  as in the Fact, it can be shown that  $G(\mathcal{M}, \gamma)$  is hyperarchimedean. If in addition,  $\gamma$  is as in the corollary, then  $G(\mathcal{M}, \gamma)$  is not contained in a unital hyperarchimedean  $\ell$ -group. Thus, there is a hyperarchimedean  $\ell$ -group without unit that cannot be embedded in an hyperarchimedean  $\ell$ -group with unit (as Conrad and Martinez showed).

References: "Conrad-Martinez-1990.pdf", "Hager-Johnson-2010.pdf"

Order  $\mathbb{R}^{\mathbb{N}}$  pointwise.

**Fact.** Inc( $\mathbb{N}$ ,  $\mathbb{N}$ ) is cofinal in  $\mathbb{R}^{\mathbb{N}}$ . *Proof.* For  $f \in \mathbb{R}^{\mathbb{N}}$ , define  $\llbracket f \rrbracket \in \mathbb{R}^{\mathbb{N}}$  by  $\llbracket f \rrbracket(n) := \bigvee_{i=0}^{n} [f(i)]$ . **Fact.** Suppose  $u \in (\mathbb{R}_{>0})^{\mathbb{N}}$ . If *C* is cofinal in  $\mathbb{R}^{\mathbb{N}}$ , then so is *uC*. *Proof.* Let  $g \in \mathbb{R}^{\mathbb{N}}$ . Pick  $c \in C$  such that  $g/u \leq c$ . Then  $g \leq uc$ .

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#### Representing the Conrad-Martinez-Hager-Johnson *l*-groups

Suppose

- $\mathcal{M} \subseteq \mathcal{P}\mathbb{N}$  such that  $L \cap M$  is finite for all distinct  $L, M \in \mathcal{M}$ , and
- $\gamma: M \mapsto \gamma_M: M \to \mathsf{Inc}(\mathbb{N}, \mathbb{N})$  has cofinal image.

Let  ${\mathcal G}:={\mathcal G}({\mathcal M},\gamma)$  be the sub- $\ell$ -group of  ${\mathbb R}^{\mathbb N}$  generated by

$$\{g_M := \chi_M \cdot \gamma_M \mid M \in \mathcal{M}\} \cup \{\chi_{\{n\}} \mid n \in \mathbb{N}\}.$$

**Lemma.** Each element  $g \in G$  can be expressed in the form  $g = h + \sum_{B \in \mathcal{B}} b_B g_B$ , where h has finite support,  $\mathcal{B} \subseteq \mathcal{M}$  is finite, and  $b_B \in \mathbb{Z} \setminus \{0\}$ . If  $h + \sum_{B \in \mathcal{B}} b_B g_B = h' + \sum_{B \in \mathcal{B}'} b'_B g_B$ , then  $\mathcal{B} = \mathcal{B}'$  and  $b_B = b'_B$  for all  $B \in \mathcal{B}$ .

Suppose  $\mathcal{B} \subseteq \mathcal{M}$  is finite. Let  $N(\mathcal{B}) := \max \bigcup \{ L \cap M \mid L \neq M, L, M \in \mathcal{B} \}$ . Define  $g_{\mathcal{B}}$  by

$$g_{\mathcal{B}}(i) = \begin{cases} 1, & \text{if } i \leq \mathcal{N}(\mathcal{B}); \\ \gamma_{\mathcal{M}}(i), & \text{if } i > \mathcal{N}(\mathcal{B}) \text{ and } i \in \mathcal{M}. \end{cases}$$

**Fact.** {  $y(g_{\mathcal{B}}) \mid \mathcal{B}$  a finite subset of  $\mathcal{M}$  } is cofinal in  $\mathcal{YG}$ .

**Fact.**  $\mathcal{Y}(G, g_{\mathcal{B}})$  is homeomorphic the disjoint union of  $\{n \in \mathbb{N} \mid n \leq N(\mathcal{B})\}$  and the sets  $\overline{B}, B \in \mathcal{B}$ , where

$$\overline{B} := \{ b \in B \mid b > N(\mathcal{B}) \} \cup \{ p_B \}$$

is the one-point compactification of {  $b \in B \mid b > N(B)$  }.

**Fact.** For  $i \in [0, n(\mathcal{B})] \cup \bigcup \mathcal{B}$ ,

$$\Phi(g, g_{\mathcal{B}})(i) = \begin{cases} g(i), & \text{if } i \leq N(\mathcal{B});\\ g(i)/\gamma_B(i), & \text{if } i > N(\mathcal{B}) \text{ and } i \in B; \end{cases}$$

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