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EXCLUDED-MINOR CHARACTERIZATION OF APEX-OUTERPLANAR GRAPHS

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ABSTRACT. The class of outerplanar graphs is minor-closed and can be characterized by two excluded minors: K_4 and $K_{2,3}$. The class of graphs that contain a vertex whose removal leaves an outerplanar graph is also minor-closed. We provide the complete list of 57 excluded minors for this class.

1. INTRODUCTION

A graph is *outerplanar* if it can be embedded in the plane (with no edges crossing) with all vertices incident to one common face. We say that a graph G is *apex-outerplanar* if there exists $v \in V(G)$ such that G - v is outerplanar. Such a vertex, if it exists, is called an *apex* vertex of G. We let \mathcal{O} and \mathcal{O}^* denote the classes of outerplanar and apex-outerplanar graphs, respectively.

Given graphs H and G, H is a *minor* of G, denoted by $H \leq_m G$, or $G \geq_m H$, if H can be obtained from a subgraph of G by contracting edges. A class C of graphs is *minor-closed* if for every $G \in C$ all the minors of G are also in C. Examples of minor-closed classes are: planar graphs, outerplanar graphs, series-parallel graphs, graphs embeddable in a fixed surface, and graphs of tree-width bounded by a fixed constant.

Let C be a minor-closed class of graphs, and let C^* be the class of graphs that contain a vertex whose removal leaves a graph in C. Hence, clearly $C \subseteq C^*$, and it is easy to check that C^* is also minor-closed, thus in particular \mathcal{O}^* is minor-closed.

It is a landmark result of Robertson and Seymour (see [7]) that every proper minor-closed class of graphs C can be characterized by its finite set of *excluded minors*, or *obstructions*, that is, minorminimal graphs not in C. We call this set *obstruction set* of C, and denote it by ob(C). For example, it is a well-known fact that $ob(\mathcal{O}) = \{K_4, K_{2,3}\}$. Equivalently, G is outerplanar if and only if it does not contain a subdivision of K_4 nor a subdivision of $K_{2,3}$ as a subgraph. This equivalence follows from the known fact that if $H \leq_m G$ and $\Delta(H) \leq 3$, then G contains an H-subdivision.

Let S be the set of graphs in Figure 1, T be the set of graphs in Figure 4, G be the set of graphs in Figure 5, J be the set of graphs in Figure 6, H be the set of graphs in Figure 7, and Q be the set of graphs in Figure 8.

26 The following is our main result.

Theorem 1.1. A graph is apex-outerplanar if and only if it does not contain any of the 57 graphs in the set $S \cup T \cup G \cup J \cup H \cup Q$ as a minor. Equivalently, $ob(\mathcal{O}^*) = S \cup T \cup G \cup J \cup H \cup Q$.

The reader should be confident that the 57 graphs in Theorem 1.1 are indeed pairwise nonisomorphic members of $\mathbf{ob}(\mathcal{O}^*)$. We have checked this several times. In this paper, we will show that there are no more graphs in $\mathbf{ob}(\mathcal{O}^*)$ other than the 57, that is, the list is complete. Our result can be regarded as a test approach to the long-standing open problem of finding the complete list of excluded minors for the class of apex-planar graphs, which plays an important role in Graph Theory (for example, see [8]). Significant progress on this problem has already been made by A. Kezdy [6] and his team since our work was completed and announced in [4]. For instance, they have found all of the obstructions of connectivity 0, 1, and 2, and many of the ones of connectivity 3, 4, and 5, altogether 396 obstructions.

³⁸ While working on the problem we did not use a computer, the 57 obstructions were found "by ³⁹ hand". We believe that this was an advantage, since we were able to control and understand the ⁴⁰ way in which the obstructions were being generated, and in which the proof should be organized. ⁴¹ After we found $ob(\mathcal{O}^*)$ and proved its completeness, G.E. Turner [9] kindly informed us that the ⁴² 57 graphs had been known to him, since he had found them with the aid of a computer. However, ⁴³ he did not know whether his list was complete.

We now present an outline of the rest of the paper, which constitutes the proof of Theorem 1.1. In Section 2, we provide a starting set of seven obstructions $S \subseteq ob(\mathcal{O}^*)$, and prove a key lemma (Lemma 2.2), which together allow us to conclude that any obstruction in $ob(\mathcal{O}^*) - S$ is planar and of connectivity 2 or 3. The search for the remaining obstructions begins.

The connectivity-three case is presented in Section 6. Here, we rely on the existence of con-

- tractible edges in 3-connected graphs and the minor-minimality of the obstructions to prove that 49 there are no 3-connected obstructions in $\mathbf{ob}(\mathcal{O}^*)$ other than the ones already in our starting set \mathcal{S} . 50 Most of the work is in the connectivity-two case. Our key lemma (Lemma 2.2) splits the proof 51 of this case into five major subcases, presented in Sections 3, 4, and 5. The cases are split based 52 on the complexity of each side of a 2-separation in $G \in \mathbf{ob}(\mathcal{O}^*) - \mathcal{S}$, as indicated by Lemma 2.2. 53 In the following outline of the case structure, all of the 2-separations refer to 2-separations (L, R)54 in G over vertices $\{x, y\}$. Also, P_2 and C_4 are as drawn in Figure 3, with vertices $\{x, y\}$ as labelled 55 in the Figure. 56
- 57 Case 1: There exists a 2-separation such that both $L \notin \mathcal{O}$ and $R \notin \mathcal{O}$ (Section 3);
- 58 Case 2: For each 2-separation, $L = P_2$ or C_4 (Sections 4 and 5);
- Subcase 2.1: There exists a 2-separation such that $L = C_4$ (Proposition 4.1);
- Subsubcase 2.1.1: There exists a 2-separation such that $L = C_4$ and $G \{x, y\} \notin \mathcal{O}$;
- 61 Subsubcase 2.1.2: There exists a 2-separation such that $L = C_4$ and for every such 2-separation 62 $G - \{x, y\} \in \mathcal{O};$
- 63 Subcase 2.2: For each 2-separation, $L = P_2$ (Proposition 5.1);
- 64 Subsubcase 2.2.1: There exists a 2-separation such that $L = P_2$ and $G \{x, y\} \notin \mathcal{O}$;
- Subsubcase 2.2.2: For each 2-separation, $L = P_2$ and $G \{x, y\} \in \mathcal{O}$.

Note that organizing the case analysis in this way restricts the structure of G more and more as we proceed through the cases. An outline of each case will be given at the beginning of the corresponding section. In this section, we provide a starting set of seven obstructions $S \subseteq \mathbf{ob}(\mathcal{O}^*)$, and prove the key Lemma 2.2, which narrows down the structure of the remaining obstructions.

- For two graphs G_1 and G_2 , we let $G_1|G_2$ denote their disjoint union.
- ⁷³ Let $S := \{K_5, K_{3,3}, Oct, Q, 2K_4, K_4 | K_{2,3}, 2K_{2,3}\}$ be the set of graphs in the figure below.



FIGURE 1. Starting list of excluded minors for \mathcal{O}^*

It is easy to check that $\mathcal{S} \subseteq \mathbf{ob}(\mathcal{O}^*)$.

Definition 2.1. Let G be a graph and $x, y \in V(G)$. A 1-separation of G over x (or across x) (respectively, a 2-separation of G over $\{x, y\}$ (or across $\{x, y\}$)) is a pair S = (L, R) of induced subgraphs L and R of G, called the sides of S, such that the following holds

78 (1) $E(L) \cup E(R) = E(G);$

79 (2) $V(L) \cup V(R) = V(G)$ and $V(L) \cap V(R) = \{x\}$ (respectively, $V(L) \cap V(R) = \{x, y\}$);

80 (3) $V(L) - V(R) \neq \emptyset$ and $V(R) - V(L) \neq \emptyset$.

Note that in definition 2.1, we require that L and R to be *induced* subgraphs, and that x is necessarily a cut-vertex of G (respectively, $\{x, y\}$ is a 2-cut of G). Also, if S = (L, R) is a 2separation of G over $\{x, y\}$, then we often denote L and R by L(x, y) and R(x, y), respectively, for emphasis.

We define a K-graph to be a graph that contains a K_4 - or $K_{2,3}$ -subdivision (both of which we call *K*-subdivisions) as a subgraph. Equivalently, K-graphs are precisely non-outerplanar graphs. It is a known fact that if G is 2-connected and contains a K-subdivision, then $G = K_4$ or G contains a $K_{2,3}$ -subdivision.

Lemma 2.2. If $G \in ob(\mathcal{O}^*) - S$, then G is planar and of connectivity 2 or 3. Moreover, if the connectivity of G is 2, then for every 2-separation S = (L, R) of G over vertices $\{x, y\}$ the following holds:

(1) If no side of S is in \mathcal{O} , then one side of S is L_1 , L_2 , L_3 , L_4 , or L_5 with prescribed vertices and y, as shown in Figure 2.



FIGURE 2. K_4 and $K_{2,3}$'s with prescribed vertices x and y

(2) If one side of S is in \mathcal{O} , then $xy \notin E(G)$ and that side is P_2 or C_4 , where P_2 is a path on two edges with endpoints x and y, and C_4 is a cycle on four edges with x and y non-adjacent, as shown in Figure 3.



FIGURE 3. P_2 and C_4

97 Proof. Since $G \not\ge_m K_5$ and $G \not\ge_m K_{3,3}$, it follows that G is planar.

First, suppose that G is disconnected, and let G be a union of two disjoint (not necessarily connected) graphs G_1 and G_2 . If one of them, say G_1 is outerplanar, then by the minor-minimality of $G, G_2 = G - G_1 \in \mathcal{O}^*$, hence G_2 has a vertex v such that $G_2 - v \in \mathcal{O}$. Then, $G_1|(G_2 - v) \in \mathcal{O}$, hence v is an apex vertex in G, a contradiction. Therefore, both G_1 and G_2 are not outerplanar, and so each contains K_4 or $K_{2,3}$ as a minor. Hence G contains one of $2K_4, K_4|K_{2,3}, 2K_{2,3}$ as a minor, a contradiction. Thus G is connected.

Now, suppose that G has a cut-vertex x and let (L, R) be the 1-separation across x. By the same argument as above, both L and R are not outerplanar, hence they both contain K_4 or $K_{2,3}$ as a minor. This implies that both R-x and L-x are outerplanar (for otherwise, G would contain one of $2K_4, K_4 | K_{2,3}, 2K_{2,3}$ as a minor). Hence $G - x \in \mathcal{O}$, and so $G \in \mathcal{O}^*$, a contradiction. Therefore, G is 2-connected.

Now, suppose that G is 4-connected. Then $\delta(G) \ge 4$, and so by the theorem of Halin and Jung from [5], which says that G contains a K_5 - or Oct-minor whenever $\delta(G) \ge 4$, it follows that the assumption that G is 4-connected is not true, because K_5 and Oct are in S. Therefore the connectivity of G is 2 or 3.

Proof of (1). Suppose now that the connectivity of G is 2 and that no side of S, neither L nor R, is in \mathcal{O} . Note that $G - \{x, y\} \in \mathcal{O}$, for otherwise G would contain two disjoint K-graphs (for instance, L and $R - \{x, y\}$) which cannot happen because G does not contain $2K_4, K_4 | K_{2,3}, 2K_{2,3}$ as a minor. Since $G \notin \mathcal{O}^*$, none of its vertices is apex. In particular, since x is not apex in G and y is a cut-vertex in G - x, it follows that L - x or R - x, say R - x, contains a K-subdivision, call it K', which contains y (since $R - \{x, y\}$ is outerplanar). Similarly, R - y contains a K-subdivision 119 K'' (not L - y, because such a K-subdivision would be disjoint from K'), which contains x. K'120 and K'' must intersect, otherwise G would contain two disjoint K-graphs. Also, $L - x \in \mathcal{O}$ since it 121 is disjoint from K'', and $L - y \in \mathcal{O}$ since it is disjoint from K'. Hence, G must have the following 122 structure:



Note that, as long as $L \notin \mathcal{O}$, a graph with the above structure does not belong to \mathcal{O}^* . This is because none of its vertices is apex: x is not apex, because of K'; y is not apex, because of K''; if $v \in L - \{x, y\}$, then v is not apex, because of K' (or K''); finally if $v \in R - \{x, y\}$, then v is not apex, because of L. Therefore, if $L \notin \{K_4, K_{2,3}\}$, then since $L \notin \mathcal{O}$, it follows that L contains an edge $e \neq xy$ such that either $L \setminus e \notin \mathcal{O}$, or $L/e \notin \mathcal{O}$. Hence, either $G \setminus e \notin \mathcal{O}^*$ or $G/e \notin \mathcal{O}^*$, a contradiction since G is minor-minimal not in \mathcal{O}^* . Therefore $L \in \{L_1, L_2, L_3, L_4, L_5\}$ with x, y as prescribed in Figure 2.

Proof of (2). Without loss of generality, suppose that $L \in \mathcal{O}$. Since $G \notin \mathcal{O}^*$, none of its vertices are apex. In particular, since x is not apex, it follows that R-x contains a K-subdivision. Similarly, R - y contains a K-subdivision. Since G is 2-connected, it follows that L is connected. We have two cases based on the number of blocks of L.

134 **Case 1.** L has exactly one block.

Note that $L \neq K_2$, for otherwise (L, R) is not a 2-separation. Hence L is 2-connected.

Since L is 2-connected and outerplanar, it follows that L is a cycle C with chords, which has 136 a unique planar embedding such that all the vertices and edges of C are incident with the outer 137 face, and all the chords lie in the interior of the disk bounded by C. We now show that L has no 138 chords. So, suppose that L does have a chord e. Let s be an apex vertex in G e. Then, since R - x139 and R - y contain K-subdivisions, it follows that $s \in V(R - \{x, y\})$. Assume that $(G \setminus e) - s \in \mathcal{O}$ 140 is embedded in the plane so that all of its vertices are incident with the outer face. Then this 141 embedding, restricted to the subgraph $L \setminus e$, is such that all the vertices and edges of C are incident 142 with the outer face. Therefore, by putting the chord e back in, we obtain an embedding of G - s143 with all of its vertices still incident with the outer face, hence G-s is outerplanar, a contradiction. 144 Hence, we have shown that L has no chords, therefore L = C. 145

Now, suppose that x and y are consecutive vertices of C, that is $xy \in E(C)$. Let s be an apex vertex in $G \setminus xy$. Then, again we have that $s \in V(R - \{x, y\})$. Assume that $(G \setminus xy) - s \in \mathcal{O}$ is embedded in the plane so that all of its vertices are incident with the outer face. Since all the vertices of $C - \{x, y\}$ have degree = 2 in $(G \setminus xy) - s$, it follows that all the edges of C except for xy are incident with the outer face. Therefore, by putting the edge xy back in, we obtain an embedding of G - s with all of its vertices still incident with the outer face, a contradiction.

Therefore, x and y are non-consecutive, which implies that the length of C is at least four. In fact $C = C_4$, for suppose that $C = C_n$ with $n \ge 5$. Then one of the two paths from x to y in C must have length at least three. Let f be an edge on that path with endpoints different from x and 155 y. Let s be an apex vertex in G/f. Then, again $s \in V(R - \{x, y\})$. Assume that $(G/f) - s \in \mathcal{O}$ 156 is embedded in the plane so that all of its vertices are incident with the outer face. Since all the 157 vertices of $(C/f) - \{x, y\}$ have degree = 2 in (G/f) - s, it follows that all the edges of C/f are 158 incident with the outer face. Therefore, by uncontracting edge $f \in E(C)$, we obtain an embedding 159 of G - s with all of its vertices still incident with the outer face, hence G - s is outerplanar, a 160 contradiction. Hence, we have shown that $L = C = C_4$.

Therefore, we have shown that if L has only one block, then L is 2-connected, and in fact $L = C_4$ with x and y non-adjacent. Now, we consider the more general case.

163 **Case 2.** L has at least two blocks.

Let B_x and B_y be two distinct blocks containing x and y, respectively. Then the block tree of L is, in fact, a path from B_x to B_y , for otherwise G would contain a cut-vertex. Every block on this path is either K_2 or is 2-connected. If L contains a block B that is 2-connected, then let $s, t \in V(B)$ be the two cut-vertices in L (or in the case of B_x and B_y the associated pair is given by the corresponding cut-vertex, and x or y, respectively). Then since G has a 2-separation (B, R')over $\{s, t\}$, it follows by the previous argument that $B = C_4$. Therefore, every block of L (which is a path) is either K_2 or C_4 .

Now suppose that L contains a block $B = C_4$, and let B' be any other block. Denote by G/B'171 the graph obtained by contracting all the edges of B'. Again, let s be an apex vertex in G/B'. 172 Then again $s \in V(R - \{x, y\})$. Assume that $(G/B') - s \in \mathcal{O}$ is embedded in the plane so that all 173 of its vertices are incident with the outer face. Since two of the non-adjacent vertices of B have 174 degree = 2 in (G/B') - s and since all the blocks are either K_2 or C_4 , it follows that all the edges of 175 B and, in fact, all the edges of L/B' are incident with the outer face. Therefore, by uncontracting 176 block B', we obtain an embedding of G-s with all of its vertices still incident with the outer face, 177 a contradiction. Hence, we have shown that L does not contain a block $B = C_4$, and therefore all 178 the blocks of L are K_2 's, or equivalently L is an induced path of length at least two from x to y. 179 Then, in fact, $L = P_2$, for suppose that $L = P_n$ with $n \ge 3$. Let f be an edge in $L = P_n$ with 180 endpoints different from x and y. Let s be an apex vertex in G/f. Then, again $s \in V(R - \{x, y\})$. 181

Assume that $(G/f) - s \in \mathcal{O}$ is embedded in the plane so that all of its vertices are incident with the outer face. Since all the vertices of $(L/f) - \{x, y\}$ have degree = 2 in (G/f) - s, it follows that all the edges of L/f are incident with the outer face. Therefore, by uncontracting edge f, we obtain an embedding of G - s with all of its vertices still incident with the outer face, a contradiction. Hence, we have shown that $L = P_2$. This proves (2).

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3. Connectivity 2: No Side in \mathcal{O}

In this section, we focus on Case 1 of the outline given in the Introduction. Namely, we prove Proposition 3.1, which says that if an obstruction $G \in \mathbf{ob}(\mathcal{O}^*) - \mathcal{S}$ has a 2-separation both sides of which are not outerplanar, then $G \in \mathcal{T}$.

Proposition 3.1. If $G \in ob(\mathcal{O}^*) - S$ is of connectivity 2 and has a 2-separation no side of which is in \mathcal{O} , then G is a member the family \mathcal{T} .

Proof. Let S = (L, R) be a 2-separation of G over $\{x, y\}$ no side of which is in \mathcal{O} . Since (R, L) is 193 also a 2-separation of G with the same property, we may assume without loss of generality that 194 $L \in \{L_1, L_2, L_3, L_4, L_5\}$ (see Lemma 2.2). Note that $R - \{x, y\}$ is outerplanar, for otherwise G 195 contains two disjoint K-graphs. Since $G \notin \mathcal{O}^*$, none of its vertices is apex. In particular, since x 196 is not apex, R - x contains a K-subdivision, which contains y (since $R - \{x, y\}$ is outerplanar). 197 Similarly, R - y contains a K-subdivision, which contains x. These two K-subdivisions must 198 intersect, otherwise G would contain two disjoint K-graphs. Hence, G must have the following 199 structure: 200



Note that each of the L_i (i = 1, ..., 5) contains C_4 as a minor (with the vertices x and ypreserved). Let G' be the graph obtained from G by reducing L (under the minor operation) to C_4 , so that (C_4, R) is a 2-separation of G' over $\{x, y\}$. Note that G' is a proper minor of G, hence by the minor-minimality of G, it follows that $G' \in \mathcal{O}^*$. If there are at least two internally disjoint paths in R from x to y, then G' has no apex vertex, a contradiction.



Hence, R has a cut-vertex z. Note that $R - z \in \mathcal{O}$, otherwise R contains two disjoint K-graphs.



Let R_1 and R_2 be the two sides of the 1-separation of R across z, such that $x \in R_1$ and $y \in R_2$. By applying Lemma 2.2 to the 2-separation in G over $\{x, z\}$, and to the 2-separation in G over $\{y, z\}$, we conclude that both $R_1, R_2 \in \{L_1, L_2, L_3, L_4, L_5\}$. Therefore, G is one of the 30 graphs $\{T_1, T_2, \ldots, T_{30}\}$ listed in Figure 4. It is straightforward to verify that each T_i is minor-minimal $\notin \mathcal{O}^*$ satisfying the hypothesis of Case 1. Hence $T_i \in \mathbf{ob}(\mathcal{O}^*)$ for $i = 1, \ldots, 30$.



FIGURE 4. \mathcal{T} family

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4. Connectivity 2: At Least One Side C_4

In this section and the next (Section 5) we focus on Case 2 of the outline given in the Introduction. Namely, we assume that every 2-separation of $G \in \mathbf{ob}(\mathcal{O}^*) - \mathcal{S}$ has one side that is outerplanar, which by Lemma 2.2 implies that that side is P_2 or C_4 . In this section, we focus on the case that *G* has a 2-separation one side of which is C_4 (Subcase 2.1 of the outline given in the Introduction). We prove Proposition 4.1, which says that in this case $G \in \mathcal{G} \cup \mathcal{J}$. In the next section, we analyze the case that every 2-separation of G has one side that is P_2 (Subcase 2.2).

Before we state and prove Proposition 4.1, we introduce some necessary terminology and nota-219 tion. If $P = u_1, u_2, \ldots, u_n, u_{n+1}$ is a path on n vertices, then we define its *length* to be n, and denote 220 P by P_n . We call the set $\{u_2, u_3, \ldots, u_n\}$ the *interior* of P and denote it by int(P). Two paths 221 P and Q are said to be *internally disjoint* if their interiors are disjoint. If $C = u_1, u_2, \ldots u_n, u_1$ is 222 a cycle, then its length is n, and we denote C by C_n . An edge $e \notin E(C)$ with both endpoints in 223 V(C) is called a *chord* of C. If $C = u_1, u_2, \ldots u_n, u_1$ is a cycle embedded in the plane with ver-224 tices listed in the clockwise order around C, then we denote by $C[u_i, u_j]$ the set $\{u_i, u_{i+1}, \ldots, u_j\}$ 225 if $i \leq j$, or the set $\{u_i, u_{i+1}, \dots, u_n, u_1, \dots, u_j\}$ if i > j. Similarly, $C[u_i, u_j] := C[u_i, u_j] - \{u_j\}$, 226 $C(u_i, u_j] := C[u_i, u_j] - \{u_i\}$, and $C(u_i, u_j) := C[u_i, u_j] - \{u_i, u_j\}$. Also, if $P = u_1, u_2, \dots, u_n$ is a path, 227

then we define $P[u_i, u_j]$, $P[u_i, u_j)$, $P(u_i, u_j]$, and $P(u_i, u_j)$ analogously, and so $int(P) = P(u_1, u_n)$.

Proposition 4.1. If $G \in ob(\mathcal{O}^*) - S$ is of connectivity 2 and one side of every 2-separation of G is in \mathcal{O} and, moreover, if G has a 2-separation S over $\{x, y\}$ one side of which is C_4 , then following holds true:

- (1) If $G \{x, y\} \notin \mathcal{O}$ for some such S, then G is a member of the family \mathcal{G} ;
- (2) If $G \{x, y\} \in \mathcal{O}$ for every such S, then G is a member of the family \mathcal{J} .

4.1. **Proof of (1).** Let S = (L, R) be a 2-separation G over $\{x, y\}$ such that one side of it, say, L, is C_4 , and let $G - \{x, y\} \notin \mathcal{O}$. Then $R - \{x, y\} \notin \mathcal{O}$ and hence $R - \{x, y\}$ contains a K-subdivision, call it K'. Note that if R does not have at least two internally disjoint paths from x to y, then Rhas a cut-vertex z separating x and y, and hence G has a 2-separation (L', R') over $\{x, z\}$ or over $\{y, z\}$ with the property that $R' \notin \mathcal{O}$, and either $L' \notin \mathcal{O}$ (violating the the hypothesis that one side of every 2-separation of G is in \mathcal{O}) or $L' \in \mathcal{O}$ but with L' different from P_2 and C_4 (violating Lemma 2.2), a contradiction. Hence,

1. R has at least two internally disjoint paths from x to y.

Also, note that R does not have a path P from x to y disjoint from K', for otherwise G would contain two disjoint K-graphs (namely K' and the $K_{2,3}$ -subdivision formed from the union of Land P). Therefore G has the following structure:



Note that,

246 2. A graph with the above structure does not belong to \mathcal{O}^* .

This is because none of its vertices is apex: if $v \in V(G) - V(K')$, then v is not apex, because of K'; and if $v \in V(K')$, then R - v has a path from x to y, which along with L forms a $K_{2,3}$ -subdivision in G - v, hence v is not apex.

Fix a planar embedding of G. Let C be the outer cycle of K'. Let $S_x \subseteq V(C)$ and $S_y \subseteq V(C)$ be the sets of vertices of C from which there is a path to x, or respectively to y, that doesn't contain other vertices of C. It follows, by **1**, that $|S_x| \ge 2$ and $|S_y| \ge 2$, hence $|S_x \cup S_y| \ge 2$. However, if $|S_x \cup S_y| = 2$ (see the following figure), then let $\{a, b\} := S_x = S_y$, and note that Ghas a 2-separation (L'', R'') over $\{a, b\}$, where $L'' = K' \notin \mathcal{O}$ and R'' contains a subdivision of $K_{2,4}$, hence $R'' \notin \mathcal{O}$, a contradiction because one side of (L'', R'') must be in \mathcal{O} .



Hence, $|S_x \cup S_y| \ge 3$. Also note that, by **2**, the paths from S_x to x and S_y to y are actually simple edges, for otherwise we could perform a contraction along such a path, and by **2**, the resulting graph would still be outside of \mathcal{O}^* , contradicting the minor-minimality of G.

Since K' is a subdivision of either K_4 or $K_{2,3}$, it follows that actually $K' = K_4$ or K' is a subdivision of $K_{2,3}$. If $K' = K_4$, then in view of all the observations above, G is the following graph:



It is easy to verify that the above graph is minor-minimal $\notin \mathcal{O}^*$ satisfying the hypothesis of (1) and the initial hypothesis of Proposition 4.1. We label it G_1 , and so $G_1 \in \mathbf{ob}(\mathcal{O}^*)$.

So now, $K' \neq K_4$, and so K' is a subdivision of $K_{2,3}$. Therefore K' consists of the outer cycle C and a path Q of length at least 2 connecting two non-adjacent vertices of C. Note that Q has length exactly 2, for otherwise we could perform a contraction along Q, and by 2, the resulting graph would still be outside of \mathcal{O}^* , contradicting the minor-minimality of G. Let Q = a, c, b, so that $a, b \in V(C)$. Then since K' is a subdivision of $K_{2,3}$, we have:

3. There is at least one vertex in C(a, b) and at least one in C(b, a).

270 Thus, G has the following structure:



It is straightforward to verify that the following graphs are minor-minimal $\notin \mathcal{O}^*$ satisfying the hypothesis of (1) and the initial hypothesis of Proposition 4.1 (except the second one, which is minor-minimal after contracting e; the resulting graph is $J_1 \in \mathcal{J}$ from Figure 6). We label them G_2, G_3, G_4, G_5 . Hence $J_1, G_i \in \mathbf{ob}(\mathcal{O}^*)$ for $i = 1, \ldots, 5$.



In the remainder of the proof, we assume furthermore that $G \notin \{J_1, G_1, G_2, G_3, G_4, G_5\}$. Let $x_1, x_2 \in S_x$ and $y_1, y_2 \in S_y$ in the clockwise order x_1, x_2, y_1, y_2 around C. First, assume that all four can be chosen so that they are all distinct. Then, if $a, b \in C[x_1, x_2]$ or $a, b \in C[y_1, y_2]$, then by **3**, $G \ge_m J_1$, a contradiction. If $a, b \in C[x_2, y_1]$ or $a, b \in C[y_2, x_1]$, then by **3**, $G \ge_m G_2$, a contradiction. Finally, if a and b are in distinct segments among $C(x_1, x_2), C(y_1, y_2), C(x_2, y_1),$ $C(y_2, x_1)$, or if $\{a, b\} = \{x_1, y_1\}$ or if $\{a, b\} = \{x_2, y_2\}$, then $G \ge_m G_5$, a contradiction.



Therefore x_1, x_2, y_1, y_2 cannot be chosen to be all distinct. Since $|S_x| \ge 2$ and $|S_y| \ge 2$, and $|S_x \cup S_y| \ge 3$, it follows that $|S_x \cup S_y| = 3$. Hence, we let $x_1 = y_2$ and $x_2 \ne y_1$, as in the figure below.



Now, if a is in one of $C(x_1, x_2)$ or $C(y_1, x_1)$, say $C(y_1, x_1)$, then: if $b \in C[y_1, x_1]$, then by **3**, $G \ge_m J_1$, a contradiction; if $b \in C(x_1, x_2]$, then $G \ge_m G_4$; finally, if $b \in C(x_2, y_1)$, then $G \ge_m G_3$. Hence, we have shown that neither a nor b can be in $C(x_1, x_2) \cup C(y_1, x_1)$. If $a = x_1$, then if $b = x_2$ or y_1 , then by **3**, $G \ge_m J_1$, a contradiction; and if $b \in C(x_2, y_1)$, then $G \ge_m G_3$, a contradiction. So finally, both a and b must be in $C[x_2, y_1]$. But, then it follows by **3** that $G \ge_m G_2$, a contradiction. This concludes the proof of (1) of Proposition 4.1.

Figure 5 shows slightly different embeddings of the G_i 's from the ones above.



FIGURE 5. \mathcal{G} family

4.2. **Proof of (2).** It is straightforward to verify that the graphs in Figure 6 are minor-minimal $\notin \mathcal{O}^*$ satisfying the hypothesis of (2) and the initial hypothesis of Proposition 4.1. We label them J₁, J₂, J₃, J₄, J₅. Hence $J_i \in \mathbf{ob}(\mathcal{O}^*)$ for i = 1, ..., 5.



FIGURE 6. \mathcal{J} family

In the remainder of the proof, we assume that $G \notin \{J_1, J_2, J_3, J_4, J_5, Q_2\}$, where $Q_2 \in \mathcal{Q}$ from Figure 8. Since $R - \{x, y\} \in \mathcal{O}$, it follows by the same arguments as in the proof of Proposition 3.1, that G must have the following structure:



where K' is a K-subdivision contained in R - x containing y (so that $K' - y \in \mathcal{O}$), and K'' is a K-subdivision contained in R - y containing x (so that $K'' - x \in \mathcal{O}$). Note that,

1. R does not have a path P from x to y that is internally disjoint from $K' \cup K''$.

For otherwise, G would have a 2-separation (L', R') over $\{x, y\}$, with $L' = L \cup P \notin \mathcal{O}$ and $R' = R \notin \mathcal{O}$, contradicting the hypothesis the one side must be in \mathcal{O} .

Also, note that if R does not have at least two internally disjoint paths from x to y, then R has a cut-vertex z. Note that z lies at the intersection of K' and K'' (for otherwise K' and K'' would be disjoint, or $R - \{x, y\}$ would not be outerplanar). But, $R - z \in \mathcal{O}$ (for otherwise K' and K'' would be disjoint), therefore $G - z \in \mathcal{O}$, a contradiction. Hence, R has at least two internally disjoint paths from x to y.



Note that,

2. A graph with the above structure (on the right) does not belong to \mathcal{O}^* .

This is because none of its vertices is apex: if $v \in V(G) - V(K')$, then v is not apex, because of K'; if $v \in V(G) - V(K'')$, then v is not apex, because of K''; and if $v \in V(K') \cap V(K'')$, then R - vhas a path from x to y, which along with L forms a $K_{2,3}$ -subdivision in G - v, hence v is not apex. Fix a planar embedding of G with x and y incident with the outer face. Since R does not have a cut-vertex, it is 2-connected. Let C be the outer cycle of R, so that the rest of R is embedded

- in the closed disk bounded by C. Let P_1 and P_2 be the two internally disjoint paths from x to y whose union is C. Note that neither P_1 nor P_2 is a simple edge, since $xy \notin E(G)$. Note that,
- **3.** There must be a path P_3 between $int(P_1)$ and $int(P_2)$ such that $V(P_3) \cap V(C) = \{a, b\}$, where $a \in int(P_1)$ and $b \in int(P_2)$ are the endpoints of P_3 .
- For otherwise, one of $int(P_1)$ or $int(P_2)$ would be vertex-disjoint from $K' \cup K''$, contradicting 1.



Let \mathcal{P} be the set of paths with property **3**. By **3**, it follows that \mathcal{P} is non-empty. Let $l(\mathcal{P})$ be the length of the longest path in \mathcal{P} .

We first suppose that $l(\mathcal{P}) = 1$. Then, all of the paths in \mathcal{P} are simple edges. Let $a_1, a_2, \ldots, a_s \in$ 321 $int(P_1)$ be the left endpoints of the paths in \mathcal{P} in the order of vertices in P_1 from x to y, and 322 similarly let $b_1, b_2, \ldots, b_t \in int(P_2)$ be the right endpoints of the paths in \mathcal{P} in the order of vertices 323 in P_2 from x to y. Note that, for any $i = 1, \ldots, s - 1$ (and for any $j = 1, \ldots, t - 1$), if $a_i a_{i+1}$ (or 324 $b_j b_{j+1}$ is not a simple edge, then G has a 2-separation (L', R') over $\{a_i, a_{i+1}\}$ (or over $\{b_j, b_{j+1}\}$). 325 By the initial hypothesis of Proposition 4.1 and (2) of Lemma 2.2, $L' = P_2$ or C_4 . However, by the 326 hypothesis of (2) of Proposition 4.1, $L' \neq C_4$, because $G - \{a_i, a_{i+1}\}$ (and $G - \{b_j, b_{j+1}\}$) contains 327 a $K_{2,3}$ -subdivision. Hence, 328

4. For i = 1, ..., s - 1 and for j = 1, ..., t - 1, $a_i a_{i+1}$ and $b_j b_{j+1}$ are either simple edges or edges subdivided once.



Similarly, if xa_1, xb_1, ya_s , or yb_t is not a simple edge, then G has a 2-separation (L', R') over the corresponding 2-vertex set, and by the initial hypothesis of Proposition 4.1 and Lemma 2.2, $L' = P_2$ or C_4 . If $L'(x, a_1) = C_4$ and $L'(y, a_s) = C_4$ (or $L'(x, b_1) = C_4$ and $L'(y, b_t) = C_4$), then $G \ge_m J_3$, a contradiction (see figure below). Similarly, $L'(x, a_1) = C_4$ and $L'(y, b_t) = C_4$ (or $L'(x, b_1) = C_4$ and $L'(y, a_s) = C_4$), then $G \ge_m J_1$, a contradiction (see figure below).



Therefore, for one of the sides, say the x-side, we must have that xa_1 and xb_1 are either simple edges, or edges subdivided once. Therefore, it follows by 4 that the vertex y is apex in G, a contradiction since $G \notin \mathcal{O}^*$. Thus we have proved that $l(\mathcal{P}) \ge 2$.

Let $P = p_0 p_1 \dots p_n$ be a path in \mathcal{P} of length $n := l(\mathcal{P}) \ge 2$, with $p_0 \in int(P_1)$ and $p_n \in int(P_2)$. Since $G \ge_m J_1$, it follows that:

5a. For i = 0, 1, ..., n - 2, there is no path of length ≥ 2 from p_i to $int(P_2)$ that is internally disjoint from $P \cup C$.

Note that, by choice of P, the same holds true for i = n - 1. Similarly:

6a. For i = 2, 3, ..., n, there is no path of length ≥ 2 from p_i to $int(P_1)$ that is internally disjoint from $P \cup C$.

And, by choice of P, the above also holds true for i = 1. Therefore, equivalently:

5b. For i = 0, 1, ..., n - 1, all the paths from p_i to $int(P_2)$ that are internally disjoint from $P \cup C$ are simple edges.

6b. for i = 1, 2, ..., n, all the paths from p_i to $int(P_1)$ that are internally disjoint from $P \cup C$ are simple edges.

Let P_{11} and P_{12} be the subpaths of P_1 from x to p_0 , and from p_0 to y, respectively. Similarly, Let P_{21} and P_{22} be the subpaths of P_2 from x to p_n , and from p_n to y, respectively. Let C_x be the cycle formed from the union of the paths P, P_{11} and P_{21} , and let C_y be the cycle formed from the union of the paths P, P_{12} and P_{22} .

- Again, since $G \not\ge_m J_1$, it follows that:
- 356 7. All the paths in \mathcal{P} that are internally disjoint from P are simple edges.

It follows by **5b** and **6b**, that G does not have a non-trivial bridge (where by a trivial bridge, we understand a simple edge) with one foot in int(P) and another in $int(P_1) \cup int(P_2)$. Also, if G has a non-trivial bridge with two feet in P, then if the feet are consecutive vertices of P, then this violates the choice of P; and if they are non-consecutive, then $G \ge_m J_1$, a contradiction. Therefore: **8a.** The only non-trivial bridges of G that attach to int(P) have exactly two feet: one in int(P)and the other at x or y.

Let B be a non-trivial bridge that attaches to int(P). Then, it follows by **8a** that B has one foot, call it p, in int(P) and the other at x or y, say x. Then G has a 2-separation (L', R') over $\{x, p\}$, and it follows by the hypothesis of Proposition 4.1 and Lemma 2.2 that $L' = P_2$ or C_4 . Hence, $B - \{x, p\}$ is a single vertex, or a pair of non-adjacent vertices. We call such a bridge a P_2 -bridge, or a C_4 -bridge over $\{x, p\}$, respectively. Thus we have shown: **8b.** If B is a non-trivial bridge with one foot $p \in int(P)$ and the other at x (or y), then B is a P_2 or C_4 -bridge over $\{x, p\}$ (over $\{y, p\}$ respectively).

Let F_0 be the set of edges with one endpoint in $int(P_1) - \{p_0\}$ and the other in $int(P_2) - \{p_n\}$, 370 and let F_1 be the set of edges whose both endpoints are non-consecutive vertices of P. Let F_2 be 371 the set of edges with one endpoint in $\{p_0, p_1, \ldots, p_{n-2}\}$ and the other in $int(P_2) - \{p_n\}$, and let F_3 372 be the set of edges with one endpoint in $\{p_2, p_3, \ldots, p_n\}$ and the other in $int(P_1) - \{p_0\}$. Note that 373 F_0, F_1, F_2 , and F_3 are pairwise disjoint. Let $F := F_0 \cup F_1 \cup F_2 \cup F_3$ if $n \ge 3$. For shorthand, we 374 will say that an edge or a vertex is embedded in the top or in the bottom, if it is embedded in the 375 closed disk bounded by C_x or in the closed disk bounded by C_y , respectively. We now prove the 376 following: 377

9. If $F \neq \emptyset$, then all edges of F can be embedded on one side: top or bottom.

Pf. First, suppose that the claim in **9** is not true due to two edges e and f of F_1 . If the endpoints of $e = p_{i_0}p_{i_1}$ and $f = p_{i_2}p_{i_3}$ overlap, in the sense that $i_0 < i_2 < i_1 < i_3$, then $G \ge_m J_1$, a contradiction (see figure below).



If the endpoints of e and f do not overlap (in the sense that $i_0 < i_1 < i_2 < i_3$) and, without loss 382 of generality, e is in the top and f is in the bottom, then since G does not have a 2-separation over 383 $\{p_{i_0}, p_{i_1}\}$ (by the initial hypothesis of Proposition 4.1 and (2) of Lemma 2.2), and since the vertices 384 p_{i_0} , p_{i_1} are non-consecutive in P, there is a path from a vertex in $P(p_{i_0}, p_{i_1})$ to P_{12} (note that if 385 the path is to a vertex in $int(P_2)$, then $G \ge_m J_1$ as in the overlapping case above; and similarly 386 if the path is to a vertex $p_{i_4} \in P$ for some $i_4 < i_0$ or $i_4 > i_1$). Similarly, since G does not have a 387 2-separation over $\{p_{i_2}, p_{i_3}\}$, there is a path from a vertex in $P(p_{i_2}, p_{i_3})$ to P_{21} . Therefore $G \ge_m Q_2$, 388 a contradiction (see figure below). 389



Second, suppose that the claim in **9** is not true due to two edges e and f of F_2 (the proof for F_3 is similar). Hence, both e and f have one endpoint in $\{p_0, p_1, \ldots, p_{n-2}\}$, however e has the other endpoint in $int(P_{21})$ and f in $int(P_{22})$. Then, $G - \{x, y\}$ contains a $K_{2,3}$ -subdivision, contradicting the hypothesis that $G - \{x, y\}$ is in \mathcal{O} .

Third, suppose that the claim in **9** is not true due to an edge $e \in F_2$, embedded, say, in the bottom, and an edge $f \in F_3$ embedded in the top. Then G contains the following minor, which contains a Q_2 -minor, a contradiction (see figure below).



Fourth, suppose that the claim in **9** is not true due to an edge $e \in F_1$, embedded, say, in the bottom, and an edge $f \in F_2$ (the proof for $f \in F_3$ is similar) embedded in the top. Let $p_{i_0}q := f$

with $i_0 \in \{0, 1, ..., n-2\}$ and $q \in int(P_{21})$, and let $p_{i_1}p_{i_2} := e$ with $i_1 < i_2$. If $i_1 \ge i_0$, then $G - \{x, y\}$ contains a K_4 -subdivision, a contradiction. Hence, $i_1 < i_0$. If $i_2 = n$, then since $i_0 \in \{0, 1, ..., n-2\}$, it follows that $G - \{x, y\}$ contains a $K_{2,3}$ -subdivision, a contradiction. If $i_2 \in (i_0, n-1]$, then $G \ge_m J_1$ (as in the overlapping case), a contradiction. Therefore, $i_2 \le i_0$ and since G does not have a 2-separation over $\{p_{i_1}, p_{i_2}\}$ (by the initial hypothesis of Proposition 404 4.1 and (2) of Lemma 2.2), there is a path from a vertex in $P(p_{i_1}, p_{i_2})$ to $P_{12} - \{p_0\}$, and thus Gcontains the following minor, which contains a Q_2 -minor, a contradiction (see figure below).



Finally, suppose that the claim **9** is not true due to an edge $e \in F_0$, embedded, say, in the top, and an edge $f \in F_0 \cup F_1 \cup F_2 \cup F_3$ embedded in the bottom (the case $f \in F_0$ is illustrated below). Then, it can easily be checked that $G - \{x, y\}$ contains a K_4 - or $K_{2,3}$ -subdivision, a contradiction. This proves **9**.



As in the $l(\mathcal{P}) = 1$ case, let $a_1, a_2, \ldots, a_s \in int(P_1)$ be the left endpoints of the paths in \mathcal{P} in the order of vertices on P_1 from x to y, and similarly let $b_1, b_2, \ldots, b_t \in int(P_2)$ be the right endpoints of the paths in \mathcal{P} in the order of vertices on P_2 from x to y. Similarly to 4, we have that:

10. For i = 1, ..., s - 1 and for j = 1, ..., t - 1, $a_i a_{i+1}$ and $b_j b_{j+1}$ are either simple edges or edges subdivided once.

Similarly, if xa_1 , xb_1 , ya_s , or yb_t is not a simple edge, then G has a 2-separation (L', R') over the corresponding 2-vertex set, and by the initial hypothesis of Proposition 4.1 and Lemma 2.2, $L' = P_2$ or C_4 . Thus:

418 **11.** If xa_1, xb_1, ya_s , or yb_t is not a simple edge, then $L'(x, a_1), L'(x, b_1), L'(y, a_s), L'(y, b_t) \in$

419 $\{P_2, C_4\}$, respectively (equivalently, G has a P_2 - or C_4 -bridge over $\{x, a_1\}$, $\{x, b_1\}$, $\{y, a_s\}$, or 420 $\{y, b_t\}$, respectively).

- We now have two possibilities: either $F \neq \emptyset$ or $F = \emptyset$. We consider them below as Cases 1 and 2, respectively.
- 423 Case 1. $F \neq \emptyset$.

It follows from **9** that all the edges of F can be embedded, say, in the bottom (hence there are no edges of F embedded in the top). We will show that since G does not contain J_i -minor for i = 1, ..., 5, the vertex x will be apex in G, obtaining a contradiction. To do this, we first prove the following.

- 428 12. The only vertices embedded in the bottom are those lying on the cycle C_y .
- Pf. We prove this claim by showing that there are no non-trivial bridges embedded in the interior of the disk bounded by C_y . So assume that there is such a bridge B. First, if B has a foot in int(P), then by **8a** and **8b**, it follows that the other foot of B is y. Since $F \neq \emptyset$, it contains an

edge $e \in F_i$ for some i = 0, 1, 2, 3. Actually, $e \notin F_0$, for otherwise e would cross B, a contradiction. If $e \in F_1$, then G contains the following minor, which contains a Q_2 -minor, contradiction (see figure below).



And if $e \in F_2$ (the proof for F_3 is similar), then G contains the following minor, which again contains a Q_2 -minor, contradiction (see figure below).



Therefore *B* has its feet in $P_{12} \cup P_{22}$, but it cannot have a foot in P_{12} and another in P_{22} , because this would contradict either **5b**, **6b**, or **7**. Hence, *B* has all of its feet in P_{12} or all in P_{22} ; by symmetry, we may assume that in P_{12} . Let *p* and *q* be the first and last feet of *B* in the order of vertices on P_{12} . Then *G* has a 2-separation (L', R') over $\{p, q\}$, and by the initial hypothesis of Proposition 4.1 and Lemma 2.2, $L' = P_2$ or C_4 , so that *B* is a P_2 - or C_4 -bridge over $\{p, q\}$. Since $F \neq \emptyset$, it follows that $B \neq C_4$ for otherwise *G* would contain a J_2 -, J_4 -, or J_5 -minor (see figure below).



Hence, $B = P_2$, and so B is a subgraph of P_{12} . This proves **12**.

It follows by **12** that $L'(y, a_s) \neq C_4$ and $L'(y, b_t) \neq C_4$. Hence, ya_s and yb_t are either simple edges, or edges subdivided once. However, $L'(x, a_1)$ and $L'(x, b_1)$ could be either P_2 or C_4 , or xa_1 and xb_1 could be simple edges.

By the fact that there are no edges of F in the top, and from **8a**, **8b**, **10**, and **11**, it follows that the only possible edges in the top are:

- 450 edges from p_1 to P_{11} ;
- 451 edges from p_{n-1} to P_{21} ;
- 452 edges from int(P) to x;
- edges that are part of the P_2 or C_4 -bridges from int(P) to x;
- edges that are part of the P_2 or C_4 -bridges from a_1 or b_1 to x;
- 455 edges of the cycle C_x ;



Hence, the only possible vertices lying in the interior of the disk bounded by C_x are those from the P_2 - or C_4 -bridges from $int(P) \cup \{a_1, b_1\}$ to x. Hence, from this and **12** it follows that G - x is outerplanar (i.e. x is an apex vertex of G), a contradiction.

459 Case 2. $F = \emptyset$.

- 462 edges from p_1 to P_1 ;
- 463 edges from p_{n-1} to P_2 ;
- 464 edges from int(P) to x or to y;
- edges that are part of the $+P_2$ or C_4 -bridges from int(P) to x or to y;
- edges that are part of the P_2 or C_4 -bridges from a_1 or b_1 to x, and from a_s or b_t to y;
- 467 edges of the cycles C_x and C_y .

If there are no P_2 - or C_4 -bridges from int(P) to x nor to y, then, just as in the proof of the $l(\mathcal{P}) = 1$ case, if $L'(x, a_1) = C_4$ and $L'(y, a_s) = C_4$ (or $L'(x, b_1) = C_4$ and $L'(y, b_t) = C_4$), then $G \ge_m J_3$. Similarly, if $L'(x, a_1) = C_4$ and $L'(y, b_t) = C_4$ (or $L'(x, b_1) = C_4$ and $L'(y, a_s) = C_4$), then $G \ge_m J_1$. Therefore, for one of the sides, say the x-side, we must have that xa_1 and xb_1 are either simple edges, or edges subdivided once. Hence, G - y is outerplanar, a contradiction.

Hence, there is a P_2 - or C_4 -bridge from int(P) to x or to y, but there cannot be such bridges to both x and y, for otherwise G would contain a Q_2 -minor. Hence, there is a P_2 - or C_4 -bridge from int(P) to, say x, but not to y. Then, $L'(y, a_s) \neq C_4$ and $L'(y, b_t) \neq C_4$, for otherwise $G \ge_m J_5$ (see figure below).



Therefore, ya_s and yb_t are either simple edges, or edges subdivided once. Hence, G - x is outerplanar, a contradiction (see figure below).



- This concludes the proof of (2) of Proposition 4.1.
- 480

5. Connectivity 2: One Side Always P_2

In this section, we focus on the case that every 2-separation of $G \in \mathbf{ob}(\mathcal{O}^*) - \mathcal{S}$ has one side that is P_2 (Subcase 2.2 of the outline given in the Introduction). We prove the following proposition, which says that, in this case, $G \in \mathcal{H} \cup \mathcal{Q}$.

Proposition 5.1. If $G \in ob(\mathcal{O}^*) - S$ is of connectivity 2 and for every 2-separation S of G over {x, y} one side is P_2 , then the following holds true:

(1) If $G - \{x, y\} \notin \mathcal{O}$ for some such S, then G is a member of the family \mathcal{H} ;

Again, by the fact F is empty, and from **8a**, **8b**, **10**, and **11**, it follows that the only possible edges in G are:

(2) If $G - \{x, y\} \in \mathcal{O}$ for every such S, then G is a member of the family \mathcal{Q} .

We define a few terms first. A graph H is *internally* 3-connected if it is 2-connected, and for every 2-cut $\{s,t\}$, $H - \{s,t\}$ has two connected components, one of which is a single vertex. We say that a vertex in H is *pendant* if its degree in H is 1. Similarly, we say that an edge in H is *pendant* if it is incident with a pendant vertex. Before presenting a proof of Proposition 5.1, we first establish some preliminary observations based on the hypotheses of Proposition 5.1, which will be used later in the proof.

It follows from the hypothesis of Proposition 5.1 that G is internally 3-connected. Let (L, R) be 494 a 2-separation over vertices $\{x, y\}$ such that $L = P_2$. Let v be the third (middle) vertex of L. Since 495 G is minor-minimal $\notin \mathcal{O}^*$, G/vy has an apex vertex a (i.e. a such that $(G/vy) - a \in \mathcal{O}$). Note 496 that $a \neq y$ and $a \neq x$, for otherwise y (or x, respectively) is an apex vertex in G, a contradiction. 497 Since deq(v) = 2, it follows that G/vy is also internally 3-connected. Hence, the only possible 1-498 separations in (G/vy) - a are those that separate a pendant vertex. Call such 1-separations trivial. 499 Therefore, (G/vy) - a is 2-connected up to trivial 1-separations (pendant edges), and outerplanar. 500 Fix a planar embedding of G so that all the vertices of $(G/vy) - a \in \mathcal{O}$ and a are incident with 501 the outer face (i.e. infinite face). Since (G/vy) - a is 2-connected up to trivial 1-separations, it 502 follows that all the vertices of $(G/vy) - a \in \mathcal{O}$ lie along a cycle C, except (possibly) for the vertices 503 of degree 1 in (G/vy) - a that are adjacent to some vertex of C. Note that such vertices have 504 degree 2 in G/vy (and in G), and that no two of them are adjacent to the same vertex c of C, 505 for otherwise G has a 2-separation (L', R') over $\{c, a\}$ such that $L' = C_4$ or $L' \notin \mathcal{O}$ and $R' \notin \mathcal{O}$, 506 contradicting the hypothesis of Proposition 5.1. Since $v \in G - a \notin \mathcal{O}$, it follows that v is embedded 507 in the interior of the disk bounded by C. We have 508

509 1. The edges of G are:

510 - edges of C;

- *chords* of C, that is, edges not in E(C) with both endpoints in C (note that such edges are embedded in the interior of the disk bounded by C);

513 - edges xv and vy, with $x, y \in V(C)$;

- edges with one endpoint in C and the other at a (or such edges subdivided once).

Also note that there are no two consecutive vertices in C of degree 2, since such vertices and their neighbors would induce a P_3 or a C_4 in G giving rise to a 2-separation violating the hypothesis of Proposition 5.1.

In this context, by a *neighbor of a*, we mean a vertex u in C such that au is actually an edge of G or an edge subdivided once. As usual, we denote by N(a) the set of neighbors of a. Since $xy \notin E(G)$, it follows that G has vertices in both C(x, y) and C(y, x). Furthermore,

521 **2.** *a* must have a neighbor in both C(x, y) and C(y, x).

For otherwise, G has a 2-separation over $\{x, y\}$ contradicting the hypothesis of Proposition 5.1. Note that a chord must have both of its endpoints in C[x, y] or C[y, x]. We say that two chords $c := c_1c_2$ and $d := d_1d_2$ are non-overlapping if their endpoints satisfy $c_1 < c_2 \leq d_1 < d_2$ in the cyclic order of C, and are said to be nested if $c_1 \leq d_1 < d_2 \leq c_2$ or $d_1 \leq c_1 < c_2 \leq d_2$. It follows from 1 that: **3.** If $c := c_1 c_2$ is a chord with $c_1 < c_2$ (in the clockwise order restricted to C[x, y] or C[y, x]), then *a* has a neighbor in $C(c_1, c_2)$.

For otherwise, G has a 2-separation over $\{c_1, c_2\}$ contradicting the hypothesis of Proposition 5.1. Also,

4. Within a single segment C[x, y] or C[y, x], there are no non-overlapping chords (or equivalently, all the chords are nested).

Suppose that the chords $c := c_1c_2$ and $d := d_1d_2$ are non-overlapping with $c_1 < c_2 \leq d_1 < d_2$ within, say C[x, y]. Then, by **3**, *a* has a neighbor in $C(c_1, c_2)$ and in $C(d_1, d_2)$, and by **2**, it has a neighbor in C(y, x). Then, *G* contains the following graph as a minor, which we label Q_1 , and which can easily be verified to belong to $\mathbf{ob}(\mathcal{O}^*)$. This is a contradiction, since *G* is minor-minimal $\notin \mathcal{O}^*$.



538 5.1. Proof of (1). Let $G - \{x, y\} \notin \mathcal{O}$ for a 2-separation S = (L, R) of G over $\{x, y\}$. Hence 539 $G - \{x, y\}$ contains a K-subdivision as a subgraph, call it K'. By 1, it follows that a is a cut-vertex 540 in $G - \{x, y\}$, hence, without loss of generality, K' is a subgraph of G - C[y, x]. Let C' be the outer 541 cycle of K'. Then, $|V(C') \cap C(x, y)| \ge 2$, for otherwise if $u := V(C') \cap C(x, y)$, then it follows by 542 1 that G has a 2-separation (L', R') over $\{a, u\}$ such that $L' \notin \mathcal{O}$ and $R' \notin \mathcal{O}$, contradicting the 543 hypothesis that one side of (L', R') must be P_2 (and so in \mathcal{O}).



Let $s, t \in V(C') \cap C(x, y)$ be the first and last vertices, respectively, of $V(C') \cap C(x, y)$ in the clockwise order of C(x, y). Note that $s \neq x$ and $t \neq y$. Also, since G does not contain two disjoint K-graphs, it follows that:

547 5. G does not have a chord with one endpoint in C[x, s) and the other in C(t, y].

It is straightforward to verify that the graphs in Figure 7 are minor-minimal $\notin \mathcal{O}^*$ satisfying the hypothesis of (1) and the initial hypothesis of Proposition 5.1. We label them H_1, H_2, H_3, H_4, H_5 . Hence $H_i \in \mathbf{ob}(\mathcal{O}^*)$ for i = 1, ..., 5.

Therefore, if a has at least two neighbors in C(y, x), or one neighbor $z \in C(y, x)$ and $C(y, z) \neq \emptyset$ and $C(z, x) \neq \emptyset$, then it is easy to verify that G contains an H_i -minor for some i = 1, ..., 5 (see figure below). Hence, let z be the only neighbor of a in C(y, x). We only need to consider two cases: either both C(y, z) and C(z, x) are empty, or one of them is empty, say C(y, z), and the other is not.



FIGURE 7. \mathcal{H} family



First, suppose that $C(y,z) = \emptyset$ and $C(z,x) \neq \emptyset$. So $yz \in E(G)$. Then G has the following structure as a subgraph:



558 6. In G/yz, the only apex vertex is s.

This is because an apex vertex in G/yz must destroy both K' and the $K_{2,3}$ -subdivision with outer cycle C. Hence it must be a vertex in $V(C') \cap C(x, y)$. If $u \in C(s, t]$ is apex, then since s, t, and a all lie on C', it follows that in G/yz - u there is a path P' in C' from a to s; this path, combined with the (possibly subdivided) edge ay (= az) and the path along C from y to s form an outer cycle of a $K_{2,3}$ -subdivision with inner path x, v, y. Hence, G/yz - u contains a $K_{2,3}$ -subdivision, a contradiction. This proves **6**.

565 7. y (= z) is a cut-vertex in G/yz - s.

Note that there are no edges (or edges subdivided once) from a to C(z, x) in G/yz, since z is the only neighbor of a in C(y, x) in G. Also, note that there are no edges (or edges subdivided once) from a to C[x, s) in G/yz, for otherwise G/yz - s contains a $K_{2,3}$ -subdivision, contradicting **6**. Finally, there are no chords from C[x, s) to C(s, t] in G/yz, for otherwise G/yz - s contains a $K_{2,3}$ -subdivision. These facts combined with **5** imply **7**.

Therefore, it follows by 7 that after uncontracting edge yz in G/yz - s, the resulting graph G - sis also outerplanar, a contradiction since $G \notin \mathcal{O}^*$. Now consider the other case that both C(y, z) and C(z, x) are empty (so that $yz, zx \in E(G)$). Recall that z is the only neighbor of a in C(y, x). Then G has the following structure as a subgraph:



575 Similary to 6, we obtain the following fact.

576 8. In $G \setminus az$, the only possible apex vertices are s and t.

577 We use the above to prove the following key fact.

578 9. One or both of the following hold:

(i) xs is an edge of G (or an edge subdivided once) and deg(x) = 3;

(ii) yt is an edge of G (or an edge subdivided once) and deg(y) = 3.

Note that if a vertex in C(x,s) or C(t,y) has degree ≥ 3 , then it is a neighbor of a or an 581 endpoint of a chord. Similarly, if $deg(x) \ge 4$ or $deg(y) \ge 4$, then x, respectively y, is a neighbor 582 of a or an endpoint of a chord. To prove 9, we first note that a does not have neighbors in both 583 C[x,s) and C(t,y], for otherwise $G \setminus az$ has no apex vertex (since neither s nor t is apex in $G \setminus az$), 584 a contradiction. Hence, by symmetry, we may assume that a has no neighbors in C[x, s). Then, 585 by 3, there are no chords with both endpoints in C[x,s]. If a has a neighbor in C(t,y], then there 586 are no chords with one endpoint in C[x, s] and the other in C(s, t], for otherwise $G \mid az$ has no apex 587 vertex (note that the other endpoint cannot lie in C(t, y] by 5), and thus (i) holds. And if a has no 588 neighbors in C(t, y] then, again by 3, there are no chords with both endpoints in C[t, y]. Therefore, 589 the only chords in G are those with one endpoint in C[x, s) and the other in C(s, t] (in which case 590 (ii) holds), or those with one endpoint in C[s,t) and the other in C(t,y) (in which case (i) holds), 591 but not both, since two such chords would either cross or would be non-overlapping, violating 4. 592 This proves 9. 593

⁵⁹⁴ By symmetry, we may assume that (i) holds in **9**, so that xs is an edge of G (or an edge subdivided ⁵⁹⁵ once, in which case denote the subdividing vertex by w). In the remainder of the proof, by G/xs⁵⁹⁶ we mean the graph obtained from G by contracting the path (of length 1 or 2) along C from s to ⁵⁹⁷ x.

598 Similarly to 6 and 8, we obtain:

10. In G/xs, the only apex vertex is s (= x), unless (ii) in **9** also holds, then t may also be apex. If (ii) does not hold, then either a has a neighbor in C(t, y] or G has a chord with one endpoint in C[s, t) and the other in C(t, y]. And in either case t is not apex in G/xs.

Note that $(G/xs) - s = G - \{x, s\}$ (or possibly $(G/xs) - s = G - \{x, w, s\}$ if xs is subdivided). Re-embed the graph $(G/xs) - s \in \mathcal{O}$ (if necessary), so that all of its vertices are incident with the outer face. In (G/xs) - s, deg(z) = 2 and deg(v) = 1, hence edges zy and vy are also incident with the outer face. Since yz is a simple edge, by putting x (and possibly w) back in, we obtain an embedding of G - s in which all the vertices are still incident with the outer face, hence G - sis outerplanar, a contradiction (see figure below).



Finally, if t is also apex in G/xs, then by the above, (ii) in **9** also holds, so that yt is an edge of G (or an edge subdivided once, in which case denote the subdividing vertex by u) and deg(y) = 3. Since $(G/xs) - t \in \mathcal{O}$, there is a face f in the current embedding incident with all the vertices of (G/xs) - t. Since the path (of length 1 or 2) from s to x can be uncontracted along C, it follows that f is also incident with all the vertices of G - t, a contradiction since $G \notin \mathcal{O}^*$ (see figure below).



This concludes the proof of (1) in the case that both C(y, z) and C(z, x) are empty, as well as the proof of (1) of Proposition 5.1.

5.2. **Proof of (2).** It is straightforward to verify that the graphs in Figure 8 are minor-minimal $\notin \mathcal{O}^*$ satisfying the initial hypothesis and the hypothesis of (2) of Proposition 5.1. We label them 617 Q_1, Q_2, Q_3, Q_4, Q_5 . Hence $Q_i \in \mathbf{ob}(\mathcal{O}^*)$ for $i = 1, \ldots, 5$.



FIGURE 8. Q family

In the remainder of the proof, we assume that $G \notin \{Q_1, Q_2, Q_3, Q_4, Q_5\}$. Observe that now the vertex *a* from **2** satisfies

620 **11.** $deg(a) \ge 3$.

For otherwise, if deg(a) = 2, then let the two neighbors of a be a_1 and a_2 (in C(x, y) and C(y, x), respectively, by 2). Note that there is a chord with one endpoint in $C[x, a_1)$ and the other in $C(a_1, y]$, for otherwise, it follows by 1 that a_1 is apex in G, a contradiction. Similarly, there is a chord with one endpoint in $C[y, a_2)$ and the other in $C(a_2, x]$, for otherwise, it follows by 1 that a_2 is apex in G, a contradiction. Since deg(a) = 2, it follows that G has a 2-separation over $\{a_1, a_2\}$ such that $G - \{a_1, a_2\}$ contains a $K_{2,3}$ -subdivision, contradicting the hypothesis of (2) that

- 627 $G \{a_1, a_2\} \in \mathcal{O}$. This proves **11**.
- Case 1. G has no chords.
- 629 Subcase 1.1. $|N(a) \cap C(x, y)| = 1$ and $|N(a) \cap C(y, x)| = 1$.
- Then, there is a subdivided edge ay, for otherwise x is apex. Also, there is a subdivided edge ax, for otherwise y is apex, and hence $G \ge_m Q_2$, a contradiction.
- 632 Subcase 1.2. $|N(a) \cap C(x, y)| = 2$ and $|N(a) \cap C(y, x)| = 1$.

First suppose that $x, y \notin N(a)$. Let $a_1 \in N(a) \cap C(y, x)$ and $a_2, a_3 \in N(a) \cap C(x, y)$ in the clockwise order around C. Then, there is a vertex in $C(a_2, a_3)$, for otherwise a_1 is apex. Edge aa_3 is subdivided, for otherwise x is apex. Edge aa_2 is subdivided, for otherwise y is apex. There is a vertex in $C(y, a_1)$, for otherwise a_2 is apex. Finally, there is a vertex in $C(a_1, x)$, for otherwise a_3 is apex, and hence $G \ge_m Q_4$.

Next, suppose that $x \in N(a)$, but $y \notin N(a)$. Then, edge aa_3 is subdivided, for otherwise x is apex. Edge ax is not subdivided, for otherwise $G \ge_m Q_2$. Edge aa_2 is subdivided, for otherwise yis apex. Finally, there is a vertex in $C(a_1, x)$, for otherwise a_3 is apex, and hence $G \ge_m J_1$.

Finally, suppose that $x, y \in N(a)$. Then, at least one of aa_3 , ay is subdivided, for otherwise x is apex. Also, at least one of aa_2 , ax is subdivided, for otherwise y is apex. If aa_2 and aa_3 are, then $G \ge_m J_1$. If ax and ay are, then $G \ge_m Q_2$. Finally, if ax and aa_3 are, or a_2 and ay are, then again $G \ge_m Q_2$, a contradiction.

645 Subcase 1.3. $|N(a) \cap C(x, y)| \ge 3$ and $|N(a) \cap C(y, x)| = 1$.

Let $a_1 \in N(a) \cap C(y, x)$ and $a_2, a_3 \in N(a) \cap C(x, y)$ be such that a_2 is the vertex in $N(a) \cap C(x, y)$ closest to x, and a_3 is the vertex in $N(a) \cap C(x, y)$ closest to y. Note that if $u \in N(a) \cap C(a_2, a_3)$, then edge au is not subdivided, for otherwise $G - \{x, y\}$ contains a $K_{2,3}$ -subdivision, contradicting the hypothesis that $G - \{x, y\} \in \mathcal{O}$.

Therefore, at least one of aa_3 , ay (if $ay \in E(G)$) is subdivided, for otherwise x is apex. Also, at least one of aa_2 , ax (if $ax \in E(G)$) is subdivided, for otherwise y is apex. Hence, $G \ge_m Q_2$, a contradiction.

653 Subcase 1.4. $|N(a) \cap C(x,y)| \ge 2$ and $|N(a) \cap C(y,x)| \ge 2$.

Let $a_1, a_2 \in N(a) \cap C(y, x)$ and $a_3, a_4 \in N(a) \cap C(x, y)$ be such that a_1 and a_4 are the two neighbors of a closest to y, and a_2 and a_3 are the two neighbors of a closest to x. Note that if $u \in N(a) \cap (C(a_1, a_2) \cup C(a_3, a_4))$, then edge au is not subdivided, for otherwise $G - \{x, y\}$ contains a $K_{2,3}$ -subdivision, contradicting the hypothesis that $G - \{x, y\} \in \mathcal{O}$.

Therefore, at least one of aa_1 , aa_4 , ay (if $ay \in E(G)$) is subdivided, for otherwise x is apex. Also, at least one of aa_2 , aa_3 , ax (if $ax \in E(G)$) is subdivided, for otherwise y is apex. Hence, it follows from these two facts that if $ay \in E(G)$ and it is subdivided, then $G \ge_m Q_2$, a contradiction. Similarly, if $ax \in E(G)$ and it is subdivided, then $G \ge_m Q_2$, a contradiction. Hence, if $ax \in E(G)$ or $ay \in E(G)$, then they are not subdivided. Finally, if aa_1 and aa_2 are, or if aa_3 and aa_4 are,

- then $G \ge_m Q_5$, a contradiction. And if aa_1 and aa_3 are, or if aa_2 and aa_4 are, then $G \ge_m Q_2$, a contradiction. This concludes the proof of (2) of Proposition 5.1 in Case 1.
- $\mathbf{Case \ 2.} \ G \ has \ a \ chord.$
- 666 We first strengthen **3** to the following:

12. If $c := c_1 c_2$ is a chord with $c_1 < c_2$ (in the clockwise order restricted to C[x, y] or C[y, x]), then

- 668 *a* has a neighbor in $C(c_1, c_2)$. Furthermore, for any such neighbor *w*, the edge *aw* is not subdivided.
- For otherwise, G would have a 2-separation over $\{a, w\}$ such that $G \{a, w\}$ has $K_{2,3}$ -subdivision contradicting the hypothesis that $G - \{a, w\} \in \mathcal{O}$.
- The following two claims greatly limit the structure of G.

Claim 1. Let $c = c_1c_2$, with $c_1, c_2 \in C(x, y)$ in the clockwise order around C, be an innermost chord of G (in the sense that there are no other chords with both endpoints in $C[c_1, c_2]$). Then adoes not have two neighbors in $C(c_1, c_2)$.

Pf. Suppose that a does have two neighbors $a_1, a_2 \in C(c_1, c_2)$. By **12**, edges aa_1 and aa_2 are not subdivided. Also, a does not have any other neighbors in C(x, y), for otherwise $G - \{x, y\}$ would contain a K_4 -subdivision, violating the hypothesis of (2) that $G - \{x, y\} \in \mathcal{O}$. Also, $C(a_1, a_2) = \emptyset$, for otherwise $G - \{x, y\}$ would contain a $K_{2,3}$ -subdivision, violating the hypothesis of (2). Note that possibly, edges c_1a_1 and a_2c_2 are subdivided once, but since c is an innermost chord, there are no other vertices in $C(c_1, c_2)$. If a has at least two neighbors in C(y, x), then $G \ge_m Q_5$, a contradiction. Hence, let z be the only neighbor of a in C(y, x).

We let u be an apex vertex in $G \setminus a_1 a_2$, and we assume that the graph $(G \setminus a_1 a_2) - u \in \mathcal{O}$ is embedded in the plane with all of its vertices incident with the outer face. Note that $u \in \{z, c_1, c_2\}$, for otherwise: if $u \in \{a_1, a_2\}$, then clearly u is apex in G, a contradiction; if $u \in \{a\} \cup C(c_1, a_1) \cup$ $C(a_2, c_2)$, then $(G \setminus a_1 a_2) - u$ contains a $K_{2,3}$ -subdivision; and if $u \in \{v\} \cup C(c_2, z) \cup C(z, c_1)$, then $(G \setminus a_1 a_2) - u$ contains a K_4 -subdivision.

If u = z, then the only neighbors of a are a_1 , a_2 and z (because if x or y is a neighbor of athen $(G \setminus a_1 a_2) - z$ contains a $K_{2,3}$ -subdivision). Then, in $(G \setminus a_1 a_2) - z$, deg(a) = 2, hence edges aa_1 and aa_2 are incident with the outer face, and by putting the edge a_1a_2 back in, we obtain an embedding of G - z in which all the vertices are still incident with the outer face, hence G - z is outerplanar, a contradiction.

Finally, suppose that $u = c_1$ (the case $u = c_2$ is symmetric). If c_1a_1 is subdivided once, then let *b* be the subdividing vertex. Then, in $(G \setminus a_1a_2) - c_1$, then $deg(a_1) = 1$ (except if c_1a_1 is subdivided by *b*, then $deg(a_1) = 2$, but a_1 is adjacent to *b* with deg(b) = 1, that is a_1b is a pendant edge), and $deg(a_2) = 2$. Hence edges aa_2 and aa_1 (and possibly a_1b) are incident with the outer face, and since aa_2 is a simple edge, we can put edge a_1a_2 back in to obtain an embedding of $G - c_1$ in which all the vertices are still incident with the outer face, a contradiction. This proves Claim 1.

698 Claim 2. G does not have a chord with both endpoints distinct from x and y.

Pf. Suppose that G does have a chord with endpoints $s, t \in C(x, y)$ in the clockwise order around C. We may assume, without loss of generality, that st is the innermost chord, in the sense that there are no other chords with both endpoints in C[s,t]. By **12**, there is a vertex $w \in N(a) \cap C(s,t)$ and the edge aw is not subdivided. Also, by Claim 1, $N(a) \cap C(s,t) = \{w\}$. Also, a does not have neighbors in both C(x,s] and C[t,y), for otherwise $G - \{x,y\}$ would contain a K_4 -subdivision, violating the hypothesis that $G - \{x, y\} \in \mathcal{O}$. Also, by 4, G does not have chords with both endpoints in C[x, s] or both in C[t, y]. Let $z \in N(a) \cap C(y, x)$. First, we show Claim 2a and then Claim 2b. They are needed for the proof of Claim 2.

- 707 Claim 2a. Neither s nor t can be a neighbor of a.
- 708 Pf. By symmetry, we may assume that t is a neighbor of a, so that s is not. Then, $C(x,s] \cap N(a) =$
- 709 \emptyset . Also, $C(w,t) = \emptyset$, for otherwise $G \{x, y\}$ would contain a $K_{2,3}$ -subdivision. Also, edges sw
- and ta are possibly subdivided once, but by choice of chord c, there are no other vertices in C(s,t). Hence G contains the following subgraph:



First, suppose that edge ta is subdivided by vertex u. Then $C(t, y] \cap N(a) = \emptyset$, for otherwise 712 $G \ge_m Q_3$. For the same reason, we have that $(C(y,z) \cup C(z,x)) \cap N(a) = \emptyset$. Hence, the only 713 neighbor of a other than z, w and t is possibly x. Furthermore, if $ax \in E(G)$ then it is not 714 subdivided for otherwise $G \ge_m Q_2$. Also, note that the remaining chords whose endpoints lie in 715 C[x,y] must have one of their endpoints at t, and the other in C[x,s), for otherwise 4 is violated, 716 or the subdivided edge ta violates 12. It follows from all of the above that if $C(z, x) = \emptyset$, then t is 717 apex in G, a contradiction. Hence $C(z, x) \neq \emptyset$. Then, if $ax \in E(G)$, then $G \ge_m J_1$ (by contracting 718 z to y, contracting s to x, and deleting ws). Thus $ax \notin E(G)$. Therefore, since $C(w,t) = \emptyset$, 719 if G has no chords with one endpoint in C[y, z) and the other in C(z, x], then z is apex in G, 720 a contradiction. Hence, G does have at least one such chord c. If c has one endpoint in C(z, x)721 and the other in C[y, z), then $G \ge_m Q_3$ (by contracting z to a, and s to x). Hence, c has one 722 endpoint at x and the other in C(y, z), but then again $G \ge_m Q_2$ (by deleting st, contracting z to 723 a, contracting s to x, and contracting t to y), a contradiction. Thus we have shown that ta is not 724 subdivided, that is $ta \in E(G)$. 725

We let p be an apex vertex in $G \setminus wt$, and we assume that the graph $(G \setminus wt) - p \in \mathcal{O}$ is embedded in the plane with all of its vertices incident with the outer face. Note that $p \notin \{w, t\}$, for otherwise p is apex in G. In fact, it is easy to see that if $p \notin \{z\} \cup C[x, s]$, then p is not apex in $G \setminus wt$, a contradiction. G and $G \setminus wt$ contain the following subgraphs, respectively:



Suppose that p = z. Then, a has no neighbors other than w, t, and z, for otherwise $(G \setminus wt) - p$ contains a K_4 -subdivision. Therefore, in the graph $(G \setminus wt) - p$, deg(a) = 2, hence edges aw and at are incident with the outer face, and we can put edge wt back in, to obtain an embedding of

G - z in which all the vertices are still incident with the outer face, hence G - z is outerplanar, a contradiction.

Therefore $p \in C[x,s]$. Recall from above that $C(x,s] \cap N(a) = \emptyset$. Note that there are no 735 chords with one endpoint in C[x, p) and the other in C[t, y], for otherwise $(G \setminus wt) - p$ contains 736 a $K_{2,3}$ -subdivision. Also, if a chord has one endpoint in C(p, s], then its other endpoint is t, for 737 otherwise $(G \setminus wt) - p$ contains a K₄-subdivision. For simplicity, assume that $c = c_1 t$ is the only 738 such chord with $c_1 \neq s$. If there is more than one such chord, the argument is similar. Also, note 739 that edges pc_1, c_1s , and sw may be subdivided once, but the subdividing vertices can be ignored 740 for the purposes of this argument, as will be apparent soon. So for simplicity, we assume that pc_1 , 741 c_1s , and sw are simple edges. By the observations above, it follows that in $(G \setminus wt) - p$, deg(w) = 2, 742 and $deg(c_1) = 2$, hence edges wa, ws, c_1s and c_1t are incident with the outer face, which implies 743 that edge st is not. Therefore, since in $(G \setminus wt) - p$, deg(s) = 3, it follows that we can put edge wt 744 back in, to obtain an embedding of G-p in which all of the vertices are still incident with the outer 745 face, hence G - p is outerplanar, a contradiction (see figure below). Finally, note that if edges pc_1 , 746 c_1s , and sw are subdivided once, then its subdividing vertices are still incident with the outer face 747 in the above embedding of G - p, since in the above argument edges $c_1 s$ and sw are incident with 748 the outer face. This proves Claim 2a. 749



Therefore, neither s nor t is a neighbor of a. We now show furthermore:

751 Claim 2b. *a* does not have a neighbor in $C(x, s) \cup C(t, y)$.

Pf. By symmetry, suppose that $N(a) \cap C(t, y) \neq \emptyset$, so that $N(a) \cap C(x, s) = \emptyset$, and let $t' \in N(a) \cap C(t, y)$. Then, all the chords that have an endpoint in C(t, y) have the other endpoint at x, for otherwise **4** is violated, or $G - \{x, y\}$ contains a K_4 -subdivision. Also, $C(w, t) = \emptyset$, for otherwise $G - \{x, y\}$ would contain a $K_{2,3}$ -subdivision, violating the hypothesis of (2) that $G - \{x, y\} \in \mathcal{O}$.

First, suppose that edge t'a is subdivided by vertex u. Then, $C(t, t') \cap N(a) = \emptyset$, for otherwise 756 $G \ge_m Q_2$. Also, $C(t', y] \cap N(a) = \emptyset$, for otherwise $G \ge_m Q_3$. For the same reason, we have that 757 $(C(y,z) \cup C(z,x)) \cap N(a) = \emptyset$. Hence, the only neighbor of a other than z, w and t' is possibly x. 758 Furthermore, if $ax \in E(G)$ then it is not subdivided for otherwise $G \ge_m Q_2$. Now consider what 759 the remaining chords within C[x,y] are. Note that a chord cannot have an endpoint in C(t',y], 760 since it would violate either 4 or 12. And it cannot have an endpoint at t, since the other endpoint 761 would be in C[x, s], and G would contain a Q_2 -minor; and similarly it cannot have an endpoint at 762 C(t,t') (and hence the other at x). Hence, all the remaining chords whose endpoints lie in C[x,y]763 have an endpoint at t'. It follows from all of the above that if $C(z, x) = \emptyset$, then t' is apex in G, 764 a contradiction. Hence $C(z, x) \neq \emptyset$, and so $G \ge_m Q_5$ (by contracting s to x and deleting all the 765 chords incident with t'), a contradiction. Thus we have shown that t'a is not subdivided, that is 766 $t'a \in E(G).$ 767



We will now proceed to show, in a sequence of steps, that the only possible chords with both endpoints in C[x, y] other than st are the ones with one endpoint at x and the other in C[t', y). Recall from above that:

771 A. All chords that have an endpoint in C(t, y) have the other endpoint at x.

We prove

B. There is no chord with one endpoint at t and the other in C[x, s).

For otherwise, let $u \in C[x,s]$ be the other endpoint of such a chord, and choose u to be the 774 closest to s, in the sense that there are no chords with both endpoints in C[u, t] other than st 775 and ut. Note that, us and sw are either edges of G or edges subdivided once, but again we may 776 assume, without loss of generality, that us and sw are just simple edges. Let p be an apex vertex 777 in $G \setminus st$. It is easy to see that $p \in C[x, u]$. If p = u, then there are no more chords with an endpoint 778 at t, for otherwise $(G \setminus st) - u$ contains a $K_{2,3}$ -subdivision. Hence, in $(G \setminus st) - u$, deg(t) = 2 and 779 deq(s) = 1, hence edge wt and the pendant edge ws are incident with the outer face. Therefore, 780 since $C(w,t) = \emptyset$ (equivalently, $wt \in E(G)$), we can put edge st back into this embedding to obtain 781 an outerplanar embedding of G-u, a contradiction. Therefore, we must have $p \in C[x, u]$. Also, if 782 a chord has one endpoint in C(p, u], then its other endpoint is t, for otherwise if the other endpoint 783 is y, then $(G \setminus st) - p$ contains a K_4 -subdivision. For simplicity, assume that $c = c_1 t$ is the only 784 such chord with $c_1 \neq s$. If there is more than one such chord, the argument is similar. Also, note 785 that edges pc_1, c_1u, us and sw may be subdivided once, but again we may assume, without loss 786 of generality, that they are all just simple edges (since they will turn out to be incident with the 787 outer face in $(G \setminus st) - p$. By the observations above, it follows that in $(G \setminus st) - p$, deq(s) = 2, and 788 $deg(c_1) = 2$, hence edges su, sw, c_1u and c_1t are incident with the outer face, which implies that 789 edge ut is not. Therefore, since in $(G \setminus st) - p$, deg(u) = 3, it follows that by putting edge st back 790 in, we can embed G - p so that all the vertices are still incident with the outer face, hence G - p791 is outerplanar, a contradiction (see figure below). This proves **B**. 792



793 C. There is no chord with one endpoint in C(t, t') and the other at x.

Suppose the contrary, and let $u \in C(t, t')$ be the endpoint of such a chord. By **B**, there is no chord with one endpoint at t and the other in C[x, s), hence xs is an edge, or an edge subdivided once. Note that, xs and sw are either edges of G or edges subdivided once, but again we may assume, without loss of generality, that xs and sw are just simple edges. Let p be an apex vertex in $G \setminus st$. It is easy to see that p = x. Hence, in $(G \setminus st) - p$, deg(t) = 2 and deg(s) = 1, hence edge wt and the pendant edge ws are incident with the outer face. Therefore, by putting edge st back into this embedding, we obtain an outerplanar embedding of G - x, a contradiction. This proves **C**.

D. There is no chord with one endpoint at y and the other in C(x, s].

Suppose the contrary, and let $u \in C(x, s]$ be the endpoint of such a chord, and choose u to be 803 the closest to s, in the sense that there is no other chords with one endpoint at y and the other in 804 C(u, s]. Therefore, us and sw are either edges of G or edges subdivided once, but again we may 805 assume, without loss of generality, that us and sw are just simple edges. It is easy to see that u806 is the only possible apex vertex in $G \setminus wt$. First, if $u \in C(x, s)$, then in $(G \setminus wt) - u$, deg(s) = 2, 807 hence edges sw and st are incident with the outer face. Therefore, by putting edge wt back into 808 this embedding, we obtain an outerplanar embedding of G - u, a contradiction. Finally if u = s, 809 then in $(G \setminus wt) - s$, deq(t') = 3 and deq(t) = 1 = deq(w), hence edge t'a and pendant edges tt', aw 810 are incident with the outer face. Therefore, since at' is a simple edge, by putting edge wt back into 811 this embedding, we obtain an outerplanar embedding of G - s, a contradiction. This proves **D**. 812 It follows by **A** - **D** that: 813

E. The only possible chords with both endpoints in C[x, y] other than st are the ones with one endpoint at x and the other in C[t', y).

Hence, xs and sw are either edges of G or edges subdivided once, but again we may assume, 816 without loss of generality, that xs and sw are just simple edges. In the remainder of the proof 817 of Claim 2b, by G/xs we mean the graph obtained from G by contracting the path (of length 1 818 or 2) along C from s to x. Let p be an apex vertex in G/xs. It is easy to see that p = x or 819 p = t'. If p = x, then in (G/xs) - x, deg(w) = 2 = deg(t), hence edge wt is incident with the outer 820 face. Therefore, by putting edges ws and st back into this embedding, we obtain an outerplanar 821 embedding of G - x, a contradiction. And if p = t', then observe the following facts. First, there 822 are no chords with one endpoint at x and the other in C(t', y), therefore, by E, the only possible 823 chord with both endpoints in C[x, y] other than st is xt'. Second, a has no other neighbors, except 824 possibly x, for otherwise (G/xs) - t' contains a K_4 -subdivision. And if $x \in N(a)$, then xa is not 825 subdivided. Third, $C(z, x) = \emptyset$, and the only edges left in G are chords from x to C(y, z). These 826 facts account for all the edges of G. Hence t' is apex in G, a contradiction. This concludes the 827 proof of Claim 2b. 828

We now finish the proof of Claim 2. Note that edges sw and wt are possibly subdivided, but again we may assume, without loss of generality, that they are simple edges. It follows from Claims 2a and 2b that a does not have neighbors in $C(x,s] \cup C[t,y)$. Also, by 4, there are no chords with both endpoints in C[x,s] or both in C[t,y]. Again, we let p be an apex vertex in G/wa. It follows from 11 that besides w and z, a has another neighbor (in C[y,x]). Therefore $p \neq z$, since (G/wa) - z contains a K_4 -subdivision. In fact, it is easy to check that $p \in C[x,s] \cup C[t,y]$, for otherwise (G/wa) - p contains a K-subdivision.



By symmetry, we may assume that $p \in C[x, s]$. First, if p = s, then all the chords whose endpoints lie in C[x, y] have an endpoint at s, for otherwise (G/wa) - s contains a $K_{2,3}$ -subdivision. Thus, in (G/wa) - s, deg(t) = 2, hence edge ta is incident with the outer face. Therefore, in the current embedding of (G/wa) - s, we can subdivide edge ta by w to obtain an embedding of G - s in which all the vertices are still incident with the outer face, hence G - s is outerplanar, a contradiction.

Therefore, $p \in C[x, s]$. Then, by Claims 2a and 2b, a has no neighbors in C(p, s]. If a chord has 841 an endpoint in C(p, s], then its other endpoint is t, otherwise (G/wa) - p contains a K_4 -subdivision. 842 For simplicity, assume that $c = c_1 t$ is the only such chord with $c_1 \neq s$. If there is more than one 843 such chord, the argument is similar. Again, the edges pc_1 and c_1s may be subdivided once, but 844 the subdividing vertices can be ignored for the purposes of this argument. So for simplicity, we 845 assume that pc_1 and c_1s are simple edges. By the observations above, it follows that in (G/wa) - p, 846 $deg(c_1) = 2$, hence edges c_1s and c_1t are incident with the outer face, which implies that edge st is 847 not. Therefore, since in (G/wa) - p, deq(s) = 3, it follows that sa is also incident with the outer 848 face (and hence edge at is not, for otherwise the edges of the cycle a, t, c_1, s, a are all incident with 849 the outer face, which implies that those are all the vertices in (G/wa) - p, since (G/wa) - p has 850 no non-trivial 1-separations, a contradiction). Therefore, it follows that in the current embedding 851 of (G/wa) - p, we can delete edge sa, subdivide edge at by vertex w, and add edge ws and obtain 852 an embedding of G - p in which all the vertices are still incident with the outer face, hence G - p853 is outerplanar, a contradiction (see figure below). This concludes the proof of Claim 2. 854



We now finish the proof Case 2 ("G has a chord") and thus the entire proof of (2) of Proposition 5.1. By **4** and Claim 2, it follows that within each of the two segments C[x, y] and C[y, x] all the chords have an endpoint at x or all the chords have an endpoint at y. We have three subcases (of Case 2: "G has a chord"):

Subcase 2.1. There are chords within C[x, y] and within C[y, x], and the ones within C[x, y] have an endpoint at y, and the ones within C[y, x] have an endpoint at x.

Let c_1y and d_1x be innermost chords within C[x, y] and C[y, x], respectively. By **12**, a has a neighbor $w \in C(c_1, y)$, and a neighbor $z \in C(d_1, x)$, and edges aw and az are not subdivided.

First, suppose that a has a neighbor u such that edge au is subdivided. Then, by **12**, $u \notin C(c_1, y) \cup C(d_1, x)$. If $u \in C(x, c_1]$ or $u \in C(y, d_1]$, then $G \ge_m Q_3$ (by contracting za or wa, respectively). Therefore, $u \in \{x, y\}$, so by symmetry u = x. Since $G \not\ge_m Q_2$, it follows that 866 $N(a) \cap (C(x,w) \cup C(w,y)) = \emptyset$, $C(w,y) = \emptyset$, and if $y \in N(a)$, then ay is not subdivided. 867 Therefore, x is apex in G, a contradiction.

Therefore, for all neighbors u of a, au is a simple edge. Note that if a has no neighbors in $C(x,w) \cup C(w,y)$ and $C(w,y) = \emptyset$, then x is apex in G. Similarly, if a has no neighbors in $C(y,z) \cup C(z,x)$ and $C(z,x) = \emptyset$, then y is apex in G, a contradiction. Therefore, either $N(a) \cap$ $(C(x,w) \cup C(w,y)) \neq \emptyset$ or $C(w,y) \neq \emptyset$; and either $N(a) \cap (C(y,z) \cup C(z,x)) \neq \emptyset$ or $C(z,x) \neq \emptyset$. It can easily be seen that any one of the four combination yields a Q_2 -minor in G, a contradiction. **Subcase 2.2.** There are chords within C[x,y] and within C[y,x], and all chords of G have an endpoint at y.

Let c_1y and d_1y be innermost chords within C[x, y] and C[y, x], respectively. By **12**, a has a neighbor $w \in C(c_1, y)$, and a neighbor $z \in C(y, d_1)$, and edges aw and az are not subdivided.

Note that a has a neighbor $u \neq y$ such that au is subdivided, for otherwise y is apex in G, a 877 contradiction. Then, by **12**, $u \notin C(c_1, y) \cup C(y, d_1)$, hence $u \in C[x, c_1] \cup C[d_1, x]$. By symmetry, 878 we only need to consider $u \in C[x, c_1]$. First, if u = x, then since $G \not\ge_m Q_2$, it follows that 879 $N(a) \cap (C(x,w) \cup C(w,y) \cup C(y,z) \cup C(z,x)) = \varnothing, \ C(w,y) \cup C(y,z) = \varnothing, \ \text{and} \ \text{if} \ y \in N(a),$ 880 then ay is not subdivided. Therefore, x is apex in G, a contradiction. Second, if $u \in C(x, c_1)$, 881 then since $G \not\geq_m Q_3$, it follows that $N(a) \cap C(z, u) = \emptyset$. Also, since $G \not\geq_m Q_2$, it follows that 882 $N(a) \cap C(u, w) = \emptyset$, and $C(w, y) \cup C(y, z) = \emptyset$, and if $y \in N(a)$, then ay is not subdivided. 883 Therefore, u is apex in G, a contradiction. Therefore we must have $u = c_1$. Again, since $G \not\ge_m Q_3$, 884 it follows that $N(a) \cap C(z, u) = \emptyset$. And, since $G \not\geq_m Q_2$, it follows that $C(y, z) = \emptyset$, and if 885 $y \in N(a)$, then ay is not subdivided. Therefore, u is apex in G, a contradiction. 886

Subcase 2.3. All the chords of G lie within C[x, y] and they all have an endpoint at y.

Let $c_1 y$ be an innermost chord within C[x, y]. By **12**, a has a neighbor $w \in C(c_1, y)$, and edge *aw* is not subdivided.

Note that a has a neighbor $u \neq y$ such that au is subdivided, for otherwise y is apex in G, a 890 contradiction. Then, by 12, $u \notin C(c_1, y)$. Let $z \in C(y, x)$ be the neighbor of a closest to y, in 891 the sense that yz is an edge of G or an edge subdivided once. Then $u \in C(z, c_1)$, for otherwise 892 y is apex in G. First, if $u \in C(z, x]$, then $N(a) \cap C(u, x) = \emptyset$, for otherwise $G - \{x, y\}$ contains 893 a $K_{2,3}$ -subdivision. Also, since $G \not\geq_m Q_2$, it follows that $N(a) \cap C(x, w) = \emptyset$, $C(w, y) = \emptyset$, and 894 if $y \in N(a)$, then ay is not subdivided. Therefore, x is apex in G, a contradiction. Second, if 895 $u \in C(x, c_1)$, then, by 12, there are no chords with an endpoint in C(x, u). Also, since $G \not\geq_m Q_3$, 896 it follows that $N(a) \cap C(z, u) = \emptyset$, and since $G \not\geq_m Q_5$, we have that $C(y, z) = \emptyset$. Also, since 897 $G \not\geq_m Q_2$, it follows that $N(a) \cap C(u, w) = \emptyset$, and $C(w, y) = \emptyset$, and if $y \in N(a)$, then ay is not 898 subdivided. Therefore, u is apex in G, a contradiction. Therefore, we must have $u = c_1$. Hence, by 899 12, $c_1 y$ is the only chord in G. Again, since $G \not\geq_m Q_3$, it follows that $N(a) \cap C(z, u) = \emptyset$. Hence, 900 zx and $xc_1 (= xu)$ are either edges of G or edges subdivided once. Also, since $G \not\ge_m Q_2$, it follows 901 that if $y \in N(a)$, then ay is not subdivided. Hence, $C(y, z) \neq \emptyset$, for otherwise u is apex in G. 902 Finally, since $G \not\ge_m J_1$, it follows that $C(u, w) = \emptyset$ and $N(a) \cap C(w, y] = \emptyset$, and hence z is apex 903 in G, a contradiction. 904

This concludes the proof of Case 2 in (2), and the entire proof of (2) of Proposition 5.1. \Box

6. Connectivity 3

In this section, we focus on the case that $G \in \mathbf{ob}(\mathcal{O}^*) - S$ has connectivity three (recall from Lemma 2.2 that $G \in \mathbf{ob}(\mathcal{O}^*) - S$ is not 4-connected, and thus K_5 and Oct are the only 4-connected members of $\mathbf{ob}(\mathcal{O}^*)$). Here, we rely on the existence of contractible edges in 3-connected graphs (Lemma 6.2) and the minor-minimality of G to prove the following proposition, which says that such a G does not exist.

Proposition 6.1. There are no 3-connected graphs in $ob(\mathcal{O}^*) - \{K_5, K_{3,3}, Oct, Q\}$. In other words, the only graphs of connectivity 3 in $ob(\mathcal{O}^*)$ are $K_{3,3}$ and Q.

Lemma 6.2 (see [3]). If G is 3-connected and $|V(G)| \ge 5$, then G has an edge e such that G/e is also 3-connected.

Such an edge is called *contractible*. We denote by v_{xy} the new vertex obtained by contracting 917 edge xy in a graph.

The proof of Proposition 6.1 follows from Lemma 6.2 and two lemmas which are stated and proved below.

Lemma 6.3. There is no 3-connected graph G in $ob(\mathcal{O}^*) - \{K_5, K_{3,3}, Oct, Q\}$ that has a contractible edge xy such that v_{xy} is not apex vertex in G/xy.

Proof. Suppose otherwise that there exists a 3-connected graph G in $\mathbf{ob}(\mathcal{O}^*) - \{K_5, K_{3,3}, Oct, Q\}$ 922 that has a contractible edge xy such that v_{xy} is not apex vertex in G/xy, and hence there is an 923 apex vertex $a \neq v_{xy}$ in G/xy. Then, $(G/xy) - a \in \mathcal{O}$ is 2-connected. Since G is 3-connected (and 924 simple and planar), it has a unique planar embedding by the well-known theorem of Whitney from 925 1933 (see [2]). Since $(G/xy) - a \in \mathcal{O}$ is 2-connected, it follows that restricting this embedding to 926 (G/xy) - a, we have that all the vertices of (G/xy) - a lie on a cycle C' and are incident with 927 the outer face. This is so because, by Whitney's theorem, it follows that every simple 2-connected 928 outerplanar graph has a unique outerplanar embedding. Since $G - a \notin \mathcal{O}$, it follows that x or y, 929 say x, is embedded in the interior of the disk bounded by C, where $C \subseteq G$ is the cycle isomorphic 930 to C', and the corresponding isomorphism $\phi: V(C') \to V(C)$ is the identity map on $V(C') - v_{xy}$ 931 and $\phi(v_{xy}) = y$. 932

Let $u_1, u_2, \ldots, u_n \in V(C)$ $(n \ge 3)$ be the neighbors of x in the clockwise order around C. For $i = 1, \ldots, n$, let $S_i := C[u_i, u_{i+1}]$, where S_n is understood to be $C[u_n, u_1]$. We call the S_i 's the segments of C. We call u_i 's the endpoint vertices of the segments and the vertices in $C(u_i, u_{i+1})$ for $i = 1, \ldots, n$, the interior vertices of the segments. Two segments of S_i and S_j are said to be consecutive if |i - j| = 1, or $\{i, j\} = \{1, n\}$. We observe the following facts.

- 938 **1.** The edges of G are:
- 939 edges of C;
- 940 edges xu_i for i = 1, ..., n;

- chords of C, that is, edges not in E(C) with both endpoints in a single segment of C (note that such edges are embedded in the interior of the disk bounded by C);

- edges with one endpoint in C and the other at a.

- It follows by the above that: 944
- 2. Interior vertices of the segments are either endpoints of chords or neighbors of a. 945
- **3.** For every chord c_1c_2 in G with $c_1 < c_2$ (in the clockwise order of C restricted to the segment 946
- containing c_1c_2), a has a neighbor (in the usual sense, as opposed to the one from Section 5) in 947
- $C(c_1, c_2)$ (by 3-connectedness of G). 948
- Let $N(a) := N_G(a)$. We now prove: 949
- **4.** N(a) is covered by exactly two consecutive segments of C. 950
- Pf. First, we show that N(a) is covered by exactly two segments of C. If there are four internally 951 disjoint paths from a to x, then the subgraph of G formed from the union of those paths and C
- 952
- contains an Oct-minor, a contradiction. 953



Therefore, by Menger's theorem and the fact that G is 3-connected, it follows that G has a 3-cut 954 separating a and x. By 1 above, it follows that this 3-cut is a subset of V(C), and therefore at least 955 one of a or x has degree 3. Let $u \in \{a, x\}$ be such that $deg_G(u) = 3$, and let $v \in \{a, x\} - \{u\}$. The 956 three neighbors of u divide C into three segments. If all three segments contain interior vertices 957 that are in N(v), then G contains a Q-minor, a contradiction 958



Hence, one segment does not contain any interior vertices that are in N(v). Then, if u = x then 959 we are done. And similarly, if u = a then we are done. Hence, we have shown that N(a) is covered 960 by exactly two segments of C. 961

Furthermore, the two segments that cover N(a) are consecutive. Suppose not, and let S_i and 962 S_j be the two segments that cover N(a) with |i-j| > 1. If both of them contain at least two 963 neighbors of a, then two of those neighbors in each segment can be contracted to four distinct 964 endpoint vertices and thus $G \ge_m Oct$, a contradiction. Hence, one of them, say S_i , contains only 965 one neighbor of a, call it n_1 . Since $deg(a) \ge 3$, S_j must contain at least two neighbors of a: let n_2 966 be the closest one to u_i , and n_3 be the closest one to u_{i+1} . 967

Suppose n_1 is an endpoint vertex, so that $n_1 = u_i$ or u_{i+1} . Note that in this case $deg(x) \ge 5$, for 968 otherwise two consecutive segments cover N(a). Then, since $G \notin \mathcal{O}^*$, it follows that $C(n_2, n_3) \neq \emptyset$ 969 (for otherwise n_1 is an apex vertex). But then, $G \ge_m Q_1$, a contradiction (by deleting edge n_1x 970 and contracting n_2 to u_j , and n_3 to u_{j+1}). 971



Therefore, n_1 must be an interior vertex, so $n_1 \in C(u_i, u_{i+1})$. Again, since $G \notin \mathcal{O}^*$, there is a vertex in $C(n_2, n_3)$, or there is a chord with one endpoint in $C[u_i, n_1)$ and the other in $C(n_1, u_{i+1}]$ (for otherwise n_1 is an apex vertex). In the first case, $G \ge_m Q_1$ (just like above) while in the second, $G \ge_m Oct$ (by contracting edge n_1a), a contradiction. This proves 4.



We now show that C actually has exactly three segments.

5. C has exactly three segments, or equivalently deg(x) = 3, or equivalently n = 3.

Pf. By 4, we may assume that N(a) is covered by S_1 and S_2 . Since interior vertices are either endpoints of chords or neighbors of a, it follows by 2 and 3 that $C(u_i, u_{i+1}) = \emptyset$ for i = 3, 4, ..., n(where $u_{n+1} = u_1$).

Suppose that $n \ge 4$. By 4, it follows that a has neighbors in $C[u_1, u_2)$ and in $C(u_2, u_3]$. Therefore, in the graph $G \setminus xu_4$, none of the vertices a, u_2, x, u_4 can be apex (since the deletion of any one of them still leaves a $K_{2,3}$ -subdivision as a subgraph). Let s be an apex vertex in $G \setminus xu_4$. Then $s \in V(C)$. Therefore, the unique embedding of G restricted to the graph $(G \setminus xu_4) - s \in \mathcal{O}$ is an embedding in which all the vertices (including x) are incident with the outer face. By adding edge xu_4 to this embedding, we obtain an embedding of G - s in which all the vertices are incident with the outer face, a contradiction.

We have shown that for $i = 3, 4, ..., n xu_i \notin E(G)$ which, by 3-connectivity of G, implies that C has exactly three segments and proves 5.

By 5, G has the following general structure:



Therefore, let S_1 and S_2 cover N(a). It follows by **2**, and **3** that $C(u_3, u_1) = \emptyset$ (that is $u_3u_1 \in E(G)$). Also, similarly to **4** from the proof of Proposition 5.1, since $G \not\geq_m Q_1$, we have:

6. Within a single segment S_1 or S_2 , there are no non-overlapping chords (or equivalently, all the chords are nested).

We say that segment S_1 (respectively S_2) is of type-one, if $\{z\} := N(a) \cap C[u_1, u_2)$ with $z \neq u_1$, and $C(z, u_2) \neq \emptyset$ (respectively, $\{w\} := N(a) \cap C(u_2, u_3]$ with $w \neq u_3$, and $C(u_2, w) \neq \emptyset$). And we say that S_1 (respectively S_2) is of type-two, if $|N(a) \cap C[u_1, u_2)| \ge 2$ (respectively $|N(a) \cap C(u_2, u_3]| \ge$ 2). Note that if S_1 (respectively S_2) is not of type-one nor type-two, then $\{z\} := N(a) \cap C[u_1, u_2)$ and $zu_2 \in E(C)$ (respectively $\{w\} := N(a) \cap C(u_2, u_3]$ and $u_2w \in E(C)$). Finally, note that at least one of S_1 or S_2 is of type-one or type-two, for otherwise u_2 is apex in G.

1001 There are two cases to consider.

1002 **Case 1.** Each of S_1 and S_2 is of type-one or type-two.

Suppose that one of the segments, say S_2 is of type-one. Then, $\{w\} := N(a) \cap C(u_2, u_3]$ with 1003 $w \neq u_3$, and $C(u_2, w) \neq \emptyset$. Hence, it follows by 2, that there is a chord with one endpoint 1004 $c_1 \in C(u_2, w)$, and the other $c_2 \in C(w, u_3]$. Choose c_1 and c_2 so that the chord $c_1 c_2$ is innermost. 1005 Then by **6**, all other chords in S_2 have one endpoint in $C[u_2, c_1]$ and the other in $C[c_2, u_3]$. However, 1006 since S_1 is of type-one or type-two, we either have $\{z\} := N(a) \cap C[u_1, u_2)$ with $z \neq u_1$, and 1007 $C(z, u_2) \neq \emptyset$ (which by 2 implies that there is a chord with one endpoint in $C[u_1, z)$ and the other 1008 in $C(z, u_2)$, or $|N(a) \cap C[u_1, u_2)| \ge 2$. This implies that the only other chords in S_2 that do not 1009 have an endpoint at u_2 (that is, those that do have an endpoint in $C(u_2, c_1)$) have an endpoint at 1010 c_2 , for otherwise $G \ge_m Q_1$ (by contracting wa and za if necessary, see figure below). 1011



1012 Therefore, u_2 is apex in G, a contradiction.

Similarly, suppose that for one of the segments, say S_1 , is of type-two. Then, $|N(a) \cap C[u_1, u_2)| \ge$ 1013 2. If there are chords with endpoints distinct from u_2 in S_1 , then let d_1d_2 , with $d_1 < d_2$ in the 1014 cyclic order of C, be an innermost chord of S_1 with $d_2 \neq u_2$, and let $z \in N(a) \cap C(d_1, d_2)$. Then 1015 again, since S_2 is of type-one or type-two, we either have $\{w\} := N(a) \cap C(u_2, u_3]$ with $w \neq u_3$, 1016 and $C(u_2, w) \neq \emptyset$ (which by 2 implies that there is a chord with one endpoint in $C(u_2, w)$ and 1017 the other in $C(w, u_3)$, or $|N(a) \cap C(u_2, u_3)| \ge 2$. This implies that the only other chords in S_1 1018 that do not have an endpoint at u_2 (that is, those that do have an endpoint in $C(d_2, u_2)$) have an 1019 endpoint at d_1 , for otherwise $G \ge_m Q_1$ as above. Furthermore, $N(a) \cap (C(d_1, z) \cup C(z, u_2)) = \emptyset$, 1020 for otherwise $G \ge_m Q_1$ as above. Therefore again, u_2 is apex in G, a contradiction. 1021

1022 **Case 2.** Exactly one of the segments S_1 or S_2 is of type-one or type-two.

By symmetry, suppose that S_2 is not of type-one nor type-two, and that S_1 is. Then, $\{w\} := N(a) \cap C(u_2, u_3]$ and $u_2w \in E(C)$. We divide this case into two subcases depending on whether u_1u_2 is an edge of G.

1026 **Subcase 2.1.** $u_1u_2 \notin E(G)$.

Let s be an apex vertex in $G \setminus xu_3$, and we assume that the graph $(G \setminus xu_3) - s \in \mathcal{O}$ is embedded in the plane with all of its vertices incident with the outer face. Clearly, $s \neq x$ and $s \neq u_3$, for otherwise x or u_3 is apex in G, a contradiction. Also, $s \neq a$, since $(G \setminus xu_3) - a$ contains a $K_{2,3}$ subdivision (because $C(u_1, u_2) \neq \emptyset$, since S_1 is of type-one or type-two).

First, suppose that $w = u_3$. Then $u_2u_3 \in E(C)$ (that is, $C(u_2, u_3) = \emptyset$). If $s = u_2$ (or by 1031 symmetry, if $s = u_1$), then in $(G \setminus xu_3) - s$, $deg(u_3) = 2$ and deg(x) = 1 hence edges u_3u_1 , u_3a , and 1032 xu_1 are also incident with the outer face. Since u_3u_1 is a simple edge, by putting the edge xu_3 back 1033 in, we can embed G - s so that all the vertices are still incident with the outer face, hence G - s1034 is outerplanar, a contradiction (see figure below). 1035



Therefore, $s \notin \{u_1, u_2, u_3, x, a\}$, so that $s \in C(u_1, u_2)$. Then, in $(G \setminus xu_3) - s$, deg(x) = 2, and 1036 so $(G \setminus xu_3) - s$ has an outerplanar embedding such that edges xu_1 and xu_2 are incident with the 1037 outer face. Also, note that x, u_1, u_3, u_2 is a 4-cycle in $(G \setminus xu_3) - s$. Therefore, since $u_1u_2 \notin E(G)$, 1038 we can put the edge xu_3 back in to obtain an embedding of G-s in which all the vertices are still 1039 incident with the outer face, hence G - s is outerplanar, a contradiction (see figure below). 1040



Therefore, $w \neq u_3$ and so $w \in C(u_2, u_3)$. Since $u_2 w \in E(C)$, the only possible chords in S_2 have 1041 one endpoint at u_2 and the other in $C(w, u_3]$. Note that by Case 2 hypothesis, $u_3a \notin E(G)$. 1042 If $s = u_2$, then in $(G \setminus xu_3) - s$, $deg(u_3) = 2$ and deg(x) = 1, hence edge u_3u_1 and the other edge 1043 incident with u_3 , as well as the pendant edge xu_1 are all incident with the outer face. Since u_3u_1 1044 is a simple edge, by putting the edge xu_3 back in, we obtain an embedding of G-s in which all

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the vertices are still incident with the outer face, hence G - s is outerplanar, a contradiction. 1046 Now suppose $s = u_1$. If u_2u_3 is a chord of C, then in $(G \setminus xu_3) - s$, $deg(u_3) = 2$ and deg(x) = 1, 1047 hence edges u_3u_2 , u_3w , and xu_2 are incident with the outer face. Since u_3u_2 is a simple edge, by 1048 putting the edge xu_3 back in, we can embed G - s so that all the vertices are still incident with 1049 the outer face, hence G - s is outerplanar, a contradiction. Hence $u_2 u_3$ is not a chord of C. If G 1050 has a chord $c = u_2c_1$ with $c_1 \in C(w, u_3)$, then choose c_1 closest to u_3 , so that $c_1u_3 \in E(C)$. And if 1051 there is no such chord, then let $c_1 := w$. Then, in $(G \setminus x u_3) - s$, deg(x) = 1, and $deg(c_1) = 3$, but 1052 c_1 is adjacent to u_3 with $deg(u_3) = 1$, hence edge u_2c_1 and the pendant edges xu_2 and c_1u_3 are all 1053 incident with the outer face. Since u_2c_1 is a simple edge (even if $c_1 = w$), by putting the edge xu_3 1054 back in, we can embed G - s so that all the vertices are still incident with the outer face, hence 1055 G-s is outerplanar, a contradiction (see figure below). 1056



Similarly, if s = w, then G has no chords with one endpoint at u_2 and the other in $C(w, u_3]$, for otherwise $(G \setminus xu_3) - s$ contains a $K_{2,3}$ -subdivision (because $C(u_1, u_2) \neq \emptyset$, since S_1 is of type-one or type-two). Hence, $C(w, u_3) = \emptyset$. Therefore, in $(G \setminus xu_3) - s$, deg(x) = 2 and $deg(u_3) = 1$, hence edges xu_1 , and u_1u_3 are incident with the outer face. Since xu_1 is a simple edge, by putting the edge xu_3 back in, we can embed G - s so that all the vertices are still incident with the outer face, hence G - s is outerplanar, a contradiction.

Therefore, $s \notin \{u_1, u_2, u_3, x, a, w\}$, and so $s \in C(u_1, u_2)$ (by 1). Again, if G has a chord $c = u_2c_1$ with $c_1 \in C(w, u_3)$, then choose c_1 closest to u_3 , so that $c_1u_3 \in E(G)$. And if there is no such chord, then let $c_1 := w$. Then, in $(G \setminus xu_3) - s$, deg(x) = 2, hence edges xu_2 and xu_1 are incident with the outer face. Also, note that x, u_1, u_3, c_1, u_2 is a 5-cycle in $(G \setminus xu_3) - s$. Therefore, since $u_1u_2 \notin E(G)$ (the Subcase 2.1 hypothesis) and $u_1c_1 \notin E(G)$ (by 1), we can put the edge xu_3 back in (even if $u_2u_3 \in E(G)$) to obtain an embedding of G - s in which all the vertices are still incident with the outer face, hence G - s is outerplanar, a contradiction (see figure below).



1070 Subcase 2.2. $u_1u_2 \in E(G)$.

If all chords within S_1 have an endpoint at u_1 or all have an endpoint at u_2 , then u_1 , or u_2 respectively, is apex in G, a contradiction. Hence, there is a chord with both endpoints in $C(u_1, u_2)$. Let $c_1c_2 \in E(G)$ be the innermost chord with $c_1, c_2 \in C(u_1, u_2)$ (in the sense that there are no other chords with both endpoints in $C[c_1, c_2]$), and let $a_1 \in N(a) \cap C(c_1, c_2)$.

Suppose that $a_2 \neq a_1$ is another neighbor of a in $C(c_1, c_2)$. Then by choice of c_1c_2 , we have that 1075 $deg(a_1) = 3 = deg(a_2)$. Note that a has no other neighbors in $C(u_1, u_2)$, for otherwise G contains 1076 two disjoint K-graphs, a contradiction. Let s be an apex vertex in $G \setminus a_1 a_2$, and we assume that the 1077 graph $(G \setminus a_1 a_2) - s \in \mathcal{O}$ is embedded in the plane with all of its vertices incident with the outer face. 1078 It is easy to see that s = w (regardless of whether $w = u_3$), for otherwise: if $s \in \{a\} \cup C(u_1, u_2)$, 1079 then $(G \setminus a_1 a_2) - s$ contains a K_4 -subdivision; and if $u \in \{u_1, u_2\} \cup C(w, u_3]$, then $(G \setminus a_1 a_2) - s$ 1080 contains a $K_{2,3}$ -subdivision. Therefore s = w, and hence the only neighbors of a are a_1 , a_2 and w 1081 (because if u_1 or u_2 is a neighbor of a then $(G \setminus a_1 a_2) - s$ contains a $K_{2,3}$ -subdivision). Then, in 1082 $(G \setminus a_1 a_2) - s$, deg(a) = 2, hence edges aa_1 and aa_2 are incident with the outer face, and by putting 1083 the edge a_1a_2 back in, we obtain an embedding of G-s in which all the vertices are still incident 1084 with the outer face, hence G - s is outerplanar, a contradiction. 1085

Hence, we have shown that a_1 is the only neighbor of a in $C(c_1, c_2)$.

We now show furthermore that $N(a) \cap (C(u_1, c_1] \cup C[c_2, u_2)) = \emptyset$. For suppose otherwise, and let $c_3 \in C(u_1, c_1]$ (the argument for $c_3 \in C[c_2, u_2)$ is similar). Then $N(a) \cap C[c_2, u_2) = \emptyset$ (for otherwise $G \ge_m 2K_4$). Let s be an apex vertex in $G \setminus c_1 a_1$. Then clearly $s \in \{u_2, w\}$. If s = w, then since w is apex in $G \setminus c_1 a_1$ we have that: $c_3 = c_1$; $N(a) \cap (C[u_1, c_1] \cup \{u_2\}) = \emptyset$; and G does not have any chords with one endpoint at u_2 and the other in C(w, u] (in the case that $w \neq u_3$). Therefore w is apex in G, a contradiction. If $s = u_2$, then since u_2 is apex in $G \setminus c_1 a_1$, it follows that G has no chords with one endpoint in $C[u_1, c_1)$ and the other in $C[c_2, u_2)$. Hence all chords of G have one endpoint at c_1 or at u_2 . Therefore u_2 is apex in G, a contradiction.

Hence, we have shown that $N(a) \cap (C(u_1, a_1) \cup C(a_1, u_2)) = \emptyset$. Thus the only possible neighbors of a (other than a_1 and w) are u_1 and u_2 . In fact, at least one of them is a neighbor of a since $deg_G(a) \ge 3$. Let s be an apex vertex in G/aa_1 . Then clearly $s \in \{u_1, u_2\}$. Suppose that $s = u_2$ (the argument for $s = u_1$ is similar). Since u_2 is apex in G/aa_1 , it follows that G has no chords with one endpoint in $C[u_1, c_1)$ and the other in $C[c_2, u_2)$. Hence all chords of G have one endpoint at c_1 or at u_2 . Therefore u_2 is apex in G, a contradiction. This concludes the proof of Lemma 6.3.

Lemma 6.4. There is no 3-connected graph G in $ob(\mathcal{O}^*) - \{K_5, K_{3,3}, Oct, Q\}$ with the property that for every contractible edge xy in G the vertex v_{xy} is apex in G/xy.

1104 Proof. Suppose otherwise that there exists a 3-connected graph G in $\mathbf{ob}(\mathcal{O}^*) - \{K_5, K_{3,3}, Oct, Q\}$ 1105 with the property that for any contractible edge xy in G the vertex v_{xy} is an apex vertex in G/xy. 1106 The following claim provides a way of testing whether an edge in a 3-connected graph is contractible. 1107 **1.** Let G be a 3-connected graph with edge xy. Then, G/xy is 3-connected if and only if $G - \{x, y\}$ 1108 $(= (G/xy) - v_{xy})$ is 2-connected.

1109 *Pf.* If G/xy is 3-connected, then clearly $(G/xy) - v_{xy}$ is 2-connected. Now, suppose that $G - \{x, y\}$ 1110 $(= (G/xy) - v_{xy})$ is 2-connected and that G/xy is not 3-connected, so that G/xy has a 2-cut. Since 1111 *G* is 3-connected, it follows that v_{xy} is one of the vertices in that 2-cut (for otherwise, this 2-cut 1112 would also be a 2-cut in *G*). Therefore, $(G/xy) - v_{xy}$ has a cut-vertex, a contradiction which proves 1113 **1**.

Let xy be a contractible edge in G. Then, by $\mathbf{1}$, $(G/xy) - v_{xy} \in \mathcal{O}$ is 2-connected. Since G is 3-connected it has a unique planar embedding. Restricting this embedding to $(G/xy) - v_{xy}$, we have that all the vertices of $(G/xy) - v_{xy}$ lie on a cycle C and are incident with the outer face.

Let $x_1, x_2, \ldots, x_m \in V(C)$ $(m \ge 2)$ be the neighbors of x in the clockwise order around C. And let $y_1, y_2, \ldots, y_n \in V(C)$ $(n \ge 2)$ be the neighbors of y in the clockwise order around C. Note that $x_i \notin C(y_1, y_n)$ for all i and $y_j \notin C(x_1, x_m)$ for all j, for otherwise G would contain a $K_{3,3}$ -minor. Also, note that possibly $x_m = y_1$ or $y_n = x_1$.

- 1121 **2.** The edges of G are:
- 1122 edges of C;
- 1123 edges xx_i for i = 1, ..., m, and yy_j for j = 1, ..., n;

- chords of C, that is, edges not in E(C) with both endpoints in C (note that such edges are embedded in the interior of the disk bounded by C);

- 1126 edge *xy*.
- Just as in the proof of Lemma 6.3, it follows from 2 that:
- 1128 **3.** The vertices of C are either endpoints of chords or neighbors of x or y.
- **1129 4.** For every chord c_1c_2 in G with $c_1 < c_2$ (in the clockwise order restricted to the segment 1130 containing c_1c_2), there is a neighbor of x or y in $C(c_1, c_2)$.
- Also, since neither y nor x is apex in G, it follows, respectively, that:

- 1132 5. $C(x_1, x_m) \neq \emptyset$ and $C(y_1, y_n) \neq \emptyset$.
- Hence G has the following general structure:



Before we proceed, we prove a claim regarding the structure of G.

1135 **6.** G does not have a chord with both endpoints in $C[y_n, y_1]$. And by symmetry, the same statement 1136 holds for $C[x_m, x_1]$.

Pf. Let c_1c_2 be a chord of G with both endpoints in $C[y_n, y_1]$. Without loss of generality, we may 1137 assume that c_1c_2 is the innermost such chord, in the sense that there are no other chords with both 1138 endpoints in $C[c_1, c_2]$. By 4, it follows that x has a neighbor s in $C(c_1, c_2)$. Note that x does not 1139 have another such neighbor t in $C(c_1, c_2)$, for otherwise edge st is contractible (because $G - \{s, t\}$ 1140 is 2-connected), but $(G/st) - v_{st} \notin \mathcal{O}$ (because it contains a $K_{2,3}$ -subdivision, since $C(y_1, y_n) \neq \emptyset$), 1141 a contradiction because v_{st} by the assumption of the proof is an apex vertex in G/st. So, the only 1142 vertex in $C(c_1, c_2)$ is s. But then, edge xs is contractible (because $G - \{x, s\}$ is 2-connected), and 1143 $(G/xs) - v_{xs} \notin \mathcal{O}$ (because it contains a $K_{2,3}$ -subdivision, since $C(y_1, y_n) \neq \emptyset$), a contradiction. 1144 This proves 6. 1145

1146 By **6**, we have:

1147 7. The only chords in G have one endpoint in $C(x_1, x_m)$ and the other in $C(y_1, y_n)$.

1148 The following claim further tightens up the structure of G.

1149 8. There is exactly one vertex in $C(x_1, x_m)$ and exactly one in $C(y_1, y_n)$.

1150 *Pf.* Suppose that $C(x_1, x_m)$ has two vertices s and t. Then, by **3** it follows that both s and t are 1151 neighbors of x, or endpoints of chords whose other endpoints lie in $C(y_1, y_n)$ by **7**, or both. Note 1152 that st is contractible (by **1**, because $G - \{s, t\}$ is 2-connected), and $(G/st) - v_{st} \notin \mathcal{O}$ (because it 1153 contains a K_4 -subdivision, consisting of the cycle formed by edge xx_m , the clockwise path along C1154 from x_m to x_1 , and edge x_1x ; and the three spokes from y to this cycle), violating the hypothesis 1155 of Lemma 6.4. This proves **8**.

With the structure of G restricted by **6** and **8**, we are ready to finish the proof of the lemma. Let s and t be the unique vertices in $C(x_1, x_m)$ and $C(y_1, y_n)$, respectively. Note that $st \in E(G)$, for otherwise any one of x_1, x_m, y_1, y_n is apex in G, a contradiction. Also, it follows by **2** and **7** that $C(x_m, y_1) = \emptyset$ and $C(y_n, x_1) = \emptyset$.

1160 If $x_m \neq y_1$ and $y_n \neq x_1$, then $G \ge_m Q$, a contradiction (see figure below).



Hence, by symmetry, we have either the case that $x_m \neq y_1$ and $y_n = x_1$, or that $x_m = y_1$ and $y_n = x_1$. In either case, we cannot have that both $sx, ty \in E(G)$, for otherwise $G \ge_m Oct$ (see figure below).



Hence, by symmetry, $sx \notin E(G)$, and it follows that x_m is apex, a contradiction. This concludes the proof of the lemma.

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