

**Commutative Rings: Examples from number theory (cont.)****3.a. Algebraic Number Fields.**

**Proposition.** *If  $\theta$  is an algebraic number, then: a)  $\mathbb{Q}[\theta]$  is finite-dimensional as a  $\mathbb{Q}$ -vector space, and b)  $\mathbb{Q}[\theta]$  is a field.*

*Proof of a).* Proved last time

*Proof of b).* Suppose that  $\alpha \in \mathbb{Q}[\theta] \setminus \{0\}$ . Since  $\mathbb{Q}[\theta]$  has  $\mathbb{Q}$ -dimension  $n$ ,  $\{1, \alpha, \alpha^2, \dots, \alpha^n\}$  satisfy a  $\mathbb{Q}$ -linear relation, say:

$$0 = c_j \alpha^j + c_{j+1} \alpha^{j+1} + \dots + c_k \alpha^k, \quad 0 \leq j < k \leq n, \quad c_j, \dots, c_k \in \mathbb{Q}, \quad c_j \neq 0, \quad c_k \neq 0.$$

We can cancel  $\alpha^j$  and divide by  $c_j$  to get:

$$0 = 1 + \frac{c_{j+1}}{c_j} \alpha + \frac{c_{j+2}}{c_j} \alpha^2 + \dots + \frac{c_k}{c_j} \alpha^{k-j}.$$

Thus,

$$1 = \alpha \left( \frac{-c_{j+1}}{c_j} + \frac{-c_{j+2}}{c_j} \alpha + \dots + \frac{-c_k}{c_j} \alpha^{k-j-1} \right).$$

**3.b. Algebraic Integers.** Suppose  $x \in \mathbb{C}$ . We say that  $x$  is an *algebraic integer* if  $x$  satisfies polynomial equation of the form

$$0 = X^n + a_{n-1} X^{n-1} + \dots + a_1 X + a_0, \quad a_{n-1}, \dots, a_0 \in \mathbb{Z}.$$

We are requiring that the coefficient of the highest degree term be 1—such a polynomial is said to be *monic*. We are also requiring that all other coefficients be in  $\mathbb{Z}$ . Examples of algebraic integers are  $i$  (a root of  $X^2 + 1$ ),  $\sqrt{2}$  (a root of  $X^2 - 2$ ),  $\frac{-1+i\sqrt{3}}{2}$  (a root of  $X^3 - 1$ ) and any  $n^{\text{th}}$  root of unity (roots of  $X^n - 1 = 0$ ). A complex number of the form  $a + bi$ ,  $a, b \in \mathbb{Z}$  is called a Gaussian integer. Every Gaussian integer is an algebraic integer because  $a + bi$  is a root of  $X^2 - 2aX + a^2 + b^2$ .

**Proposition.** *The algebraic integers form a subring of  $\mathbb{C}$ .*

*Proof.* (See Knapp, page 340.) We need to show that sums and products of algebraic integers are algebraic integers. Suppose  $x$  and  $y$  are algebraic integers satisfying equations  $0 = x^m + a_{m-1} x^{m-1} + \dots + a_1 x + a_0$  and  $0 = y^n + b_{n-1} y^{n-1} + \dots + b_1 y + b_0$ . Let  $M \subseteq \mathbb{C}$  be the  $\mathbb{Z}$ -module generated by all products  $x^i y^j$  with  $0 \leq i < m$  and  $0 \leq j < n$ . Then by virtue of the monic equations that  $x$  and  $y$  satisfy,  $xM \subseteq M$  and  $yM \subseteq M$ , so  $(x \pm y)M \subseteq M$  and  $xyM \subseteq M$ . The desired result then follows from

**Lemma.** *Suppose  $A$  is a finitely-generated (additive) subgroup of  $\mathbb{C}$ , and suppose  $z \in \mathbb{C}$ . If  $zA \subseteq A$ , then  $z$  is an algebraic integer.*

*Proof.*  $A$  is finitely generated and torsion-free, so it is a free  $\mathbb{Z}$ -module. Let  $z_1, \dots, z_r$  be a basis. Since  $zz_i \in A$ , there are unique integers  $c_{ij}$  such that

$$zz_i = \sum_{j=1}^n c_{ij} z_j, \quad i = 1, \dots, n.$$

This equation says that  $z$  is an eigenvalue for the matrix  $C = \{c_{ij}\}$  with eigenvector  $\begin{pmatrix} z_1 \\ \vdots \\ z_n \end{pmatrix}$ .

Thus  $\det(zI - C) = 0$ . Now  $\det(zI - C) = 0$  is monic as a polynomial in  $z$ . The entries in  $C$  are integers, so  $\det(zI - C)$  has integer coefficients. Thus,  $z$  is an algebraic integer. /////  
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Let  $\mathcal{O}$  denote the ring of algebraic integers. If  $\mathbb{Q}[\theta]$  is an algebraic number field, then its ring of integers is  $\mathcal{O} \cap \mathbb{Q}[\theta]$ .

**Fact.**  $\mathcal{O} \cap \mathbb{Q} = \mathbb{Z}$ . *Proof.* Any rational number may be expressed as  $p/q$  with  $p$  and  $q$  integers,  $q > 0$  and  $(p, q) = 1$ . Pick any rational number in  $\mathcal{O}$  and write it this way. Then  $0 = (p/q)^n + a_{n-1}(p/q)^{n-1} + \cdots + a_0$  for some  $a_i \in \mathbb{Z}$ . Multiply by  $q^n$  to obtain  $0 = p^n + a_{n-1}p^{n-1}q + \cdots + a_0q^n$ . This shows that  $p^n$  is a multiple of  $q$ , and since  $(p, q) = 1$  this implied that  $q = 1$ .

### Homework.

In preparation for material coming soon (Friday or next Monday), **look up** the definitions of: “irreducible element”, “prime element”, “unique factorization domain”, “principal ideal domain”.

The following are due Monday, October 29.

**Exercise.** Show that  $A[x]$  has the following universal mapping property. If  $T$  is any (commutative) ring,  $t_0 \in T$  is any element and  $\phi : A \rightarrow T$  is any ring homomorphism, then there is a unique ring homomorphism  $\bar{\phi} : A[x] \rightarrow T$  that agrees with  $\phi$  on  $A$  and satisfies  $\bar{\phi}(x) = t_0$ .

**Exercise.** Show that  $\mathcal{O} \cap \mathbb{Q}[i] = \mathbb{Z}[i]$ . ( $\mathbb{Z}[i]$  is called the *ring of Gaussian integers*.) Hint. You may use the fact that if  $a + bi$ ,  $a, b \in \mathbb{R}$  is a root of a polynomial with real coefficients, the  $a - bi$  is also a root.

**Exercise.** Knapp, page 440, Problem 8.

**Challenge.** What is  $\mathcal{O} \cap \mathbb{Q}[\sqrt{-3}]$ ? (Hint: It is not  $\mathbb{Z}[\sqrt{-3}]$ ; there are more elements.)