Adaptive Tracking and Parameter Identification: Theory and Marine Robotic Applications

**Michael Malisoff** 

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that makes the  $Y = (\tilde{P}, \tilde{\xi}) = (P - \hat{P}, \xi - \xi_R)$  system UGAS to 0.

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ZP. Jiang, E. Justh, P. Krishnaprasad, V. Lumelsky, A. Stepanov

Simpler 2D case: Boundary following with gyroscopic control.



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Zhang-Justh-Krishnaprasad, IEEE-CDC'04.

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 $\rho = |\mathbf{r_2} - \mathbf{r_1}|, \phi = \text{angle between } \mathbf{x_1} \text{ and } \mathbf{x_2}, \cos(\phi) = \mathbf{x_1} \cdot \mathbf{x_2}$ 

$$\begin{cases} \dot{\rho} = -\sin(\phi) \\ \dot{\phi} = \frac{\kappa\cos(\phi)}{1+\kappa\rho} - \frac{u_b}{\nu}, \quad (\rho, \phi) \in \mathcal{X} = (0, +\infty) \times (-\pi/2, \pi/2) \end{cases}$$
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$$u_{b} = \frac{\kappa\cos(\phi)}{1+\kappa\rho} - h'(\rho)\cos(\phi) + \mu\sin(\phi) \qquad (4)\\h(\rho) = \alpha \left\{ \rho + \frac{\rho_{0}^{2}}{\rho} - 2\rho_{0} \right\}, \quad \rho_{0} = \text{desired value for } \rho \qquad (5)\end{cases}$$

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$$U(\rho,\phi) = -h'(\rho)\sin(\phi) + \frac{1}{\mu}\int_0^{V(\rho,\phi)}\Gamma_0(m)\mathrm{d}m \tag{7}$$

# Strictification (Mazenc-M-Zhang, TAC)

Theorem 1: The closed loop system (CL) has the strict Lyapunov function

$$\begin{aligned} & U(\rho, \phi) = \\ & -h'(\rho) \sin(\phi) + \frac{1}{\mu} \int_0^{V(\rho, \phi)} \gamma(m) dm + \Gamma(V(\rho, \phi)) + V(\rho, \phi), \\ & \text{where } \gamma(q) = \frac{2(q+2\rho_0)^3}{\rho_0^4} + 1 + 0.5\mu^2 + \mu, \\ & \Gamma(q) = \frac{18}{\rho_0} q + 9 \left(\frac{2}{\rho_0}\right)^4 q^4, \text{ and } V(\rho, \phi) = -\ln(\cos(\phi)) + h(\rho) \\ & \text{on its state space } \mathcal{X} = (0, +\infty) \times (-\pi/2, \pi/2). \end{aligned}$$

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on its state space  $\mathcal{X} = (0, +\infty) \times (-\pi/2, \pi/2).$   
 $U(\rho(t), \phi(t)) > V(\rho(t), \phi(t))$  (PD)

 $\frac{d}{dt}U(\rho(t),\phi(t)) \leq -0.5[h'(\rho(t))\cos(\phi(t))]^2 - \sin^2(\phi(t)) \quad (\mathsf{SD})$ 

### Unknown Control Gains (M-Zhang)

$$\begin{cases} \dot{\rho} = -\sin(\phi) \\ \dot{\phi} = \frac{\kappa\cos(\phi)}{1+\kappa\rho} + K\boldsymbol{u} \end{cases}$$

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for the augmented error  $(\tilde{q}_1, \tilde{q}_2, \tilde{K}) = (\rho - \rho_0, \phi, \hat{K} - K)$  on each set  $S_i \times (c_{\min} - K, c_{\max} - K)$  where  $S_i$  is a nested sequence of compact sets that fill our state space  $\mathcal{X}$  and  $c_{\min} < K < c_{\max}$ .

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$$U^{\sharp}(\tilde{q},\tilde{K}) = U(\tilde{q}+\mathcal{E}) + \int_{0}^{\tilde{K}} \frac{\ell}{(\ell+K-c_{\min})(c_{\max}-\ell-K)} d\ell .$$
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Strict Decay:  $\dot{V}^{\sharp} \leq -\alpha_0(V^{\sharp})$ , with  $\alpha_0$  positive definite (13)




20 days of field work off Grand Isle.



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 $\bar{c}, \underline{c}$ : Known upper and lower bounds on unknown  $\kappa_0$ 

# Nested Hexagons that Fill $\ensuremath{\mathcal{X}}$



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$$m{A} = (
ho_*, 0)^{ op}, \, m{B} = (2
ho_*, \mu
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ho_* + ar{K}
ho_0, \mu
ho_*)^{ op}, \ m{D} = (
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ho_0, -\mu
ho_*)^{ op}, \, ext{and} \, m{F} = (
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Fix one such hexagon S and a perturbation bound  $\overline{\Delta}$  such that

 $\dot{\rho} = -\sin(\phi), \quad \dot{\phi} = h'(\rho)\cos(\phi) - \mu\sin(\phi) + \delta(t)$  (17) has S as a forward invariant set for all  $\delta : [0, \infty) \to [-\bar{\Delta}, \bar{\Delta}].$ 

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Augmented Error:  $(\tilde{q}, \tilde{\kappa}_0) = (\tilde{q}_1, \tilde{q}_2, \tilde{\kappa}_0) = (\rho - \rho_0, \phi, \hat{\kappa}_0 - \kappa_0)$ 

# Curvature Identification (M-Sizemore-Zhang)

$$\begin{cases} \dot{\tilde{q}}_{1} = -\sin(\tilde{q}_{2}) \\ \dot{\tilde{q}}_{2} = h'(\tilde{q}_{1} + \rho_{0})\cos(\tilde{q}_{2}) - \mu\sin(\tilde{q}_{2}) \\ & -\frac{\tilde{\kappa}_{0}\cos(\phi)}{(1+\kappa_{0}(\rho-\rho_{0}))(1+(\kappa_{0}+\tilde{\kappa}_{0})(\rho-\rho_{0}))} \\ \dot{\tilde{\kappa}}_{0} = \frac{(\kappa_{0}+\tilde{\kappa}_{0}-\underline{c})(\bar{c}-\kappa_{0}-\tilde{\kappa}_{0})}{(1+(\rho-\rho_{0})\hat{\kappa}_{0})^{2}}\cos(\tilde{q}_{2})\frac{\partial U}{\partial\phi}(\rho,\phi). \end{cases}$$
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(19)

# Curvature Identification (M-Sizemore-Zhang)

$$\begin{cases} \dot{\tilde{q}}_{1} = -\sin(\tilde{q}_{2}) \\ \dot{\tilde{q}}_{2} = h'(\tilde{q}_{1} + \rho_{0})\cos(\tilde{q}_{2}) - \mu\sin(\tilde{q}_{2}) \\ -\frac{\tilde{\kappa}_{0}\cos(\phi)}{(1+\kappa_{0}(\rho-\rho_{0}))(1+(\kappa_{0}+\tilde{\kappa}_{0})(\rho-\rho_{0}))} \\ \dot{\tilde{\kappa}}_{0} = \frac{(\kappa_{0}+\tilde{\kappa}_{0}-\underline{c})(\bar{c}-\kappa_{0}-\tilde{\kappa}_{0})}{(1+(\rho-\rho_{0})\tilde{\kappa}_{0})^{2}}\cos(\tilde{q}_{2})\frac{\partial U}{\partial\phi}(\rho,\phi). \\ \bar{\mathcal{M}}_{1} \geq \frac{1}{\mu}\gamma(V(\rho,\phi)) + L\Gamma'(V(\rho,\phi)) + \frac{1}{2L} \\ \text{and } \bar{\mathcal{M}}_{2} \geq \frac{\rho-\rho_{0}}{h'(\rho)}\max\left\{1, \frac{\rho-\rho_{0}}{h'(\rho)\cos^{2}(\phi)}\right\} \text{ on } \mathcal{S} \end{cases}$$
(19)  
Theorem: If the bounds  $\underline{c} \geq 0$  and  $\bar{c} > 0$  on  $\kappa_{0}$  satisfy  
 $\bar{c} < \underline{c} + \min\left\{\frac{\bar{A}}{4}, \frac{1}{2\sqrt{\tilde{\mathcal{M}}_{1}}}, \frac{1}{2\sqrt{2\tilde{\mathcal{M}}_{2}(2+\tilde{\mathcal{M}}_{1})}}\right\} \text{ and } \bar{c} < \frac{1}{2(\rho_{0}-\rho_{*})}$ (20)

then (18) is GAS to 0 on  $\{(\tilde{q}, \tilde{\kappa}_0) : (\rho, \phi) \in S, \hat{\kappa}_0 \in (\underline{c}, \overline{c})\}.$ 

# Plots of $\tilde{q}_1(t) = \rho(t) - \rho_0$ , $\tilde{q}_2(t) = \phi(t)$ , and $\hat{\kappa}(t)$



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 $\underline{c} = 0, \, \overline{c} = 2/3, \, \delta(t) = 2.5 \sin(t)$  added to control

# Plots of $\tilde{q}_1(t) = \rho(t) - \rho_0$ , $\tilde{q}_2(t) = \phi(t)$ , and $\hat{\kappa}(t)$







Speed  $\alpha = ds/dt \neq 0$ . Controls: *u* and *v*.  $\kappa_n$  and  $\kappa_g$  are  $C^1$  and nonpositive valued.



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**Goal:** Find *u* and *v* such that  $|\mathbf{r_1}(t) - \mathbf{r_2}(t)| \rightarrow \rho_c$  for a desired  $\rho_c > 0$  and  $\mathbf{x_1} \cdot \mathbf{x_2} \rightarrow 1$ , while compensating for additive uncertainty and delays and identifying control gains.

#### Our New Variables and Control Design

 $(\rho_1, \rho_2) = ((\mathbf{r}_2 - \mathbf{r}_1) \cdot \mathbf{y}_1, (\mathbf{r}_2 - \mathbf{r}_1) \cdot \mathbf{z}_1)$  has desired value  $(\rho_{c1}, \rho_{c2})$ .  $\rho_c = |(\rho_{c1}, \rho_{c2})|$ . Shape vars:  $\varphi = \mathbf{x}_1 \cdot \mathbf{x}_2, \beta = \mathbf{y}_1 \cdot \mathbf{x}_2, \gamma = \mathbf{z}_1 \cdot \mathbf{x}_2$ 

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$$u = a_{1}(\mathbf{x}_{1} \cdot \mathbf{y}_{2}) + a_{2}(\mathbf{y}_{1} \cdot \mathbf{y}_{2}) + a_{3}(\mathbf{z}_{1} \cdot \mathbf{y}_{2}),$$

$$v = a_{1}(\mathbf{x}_{1} \cdot \mathbf{z}_{2}) + a_{2}(\mathbf{y}_{1} \cdot \mathbf{z}_{2}) + a_{3}(\mathbf{z}_{1} \cdot \mathbf{z}_{2}),$$

$$a_{1} = \mu, \ a_{2} = -h'_{1}(\rho_{1}) + \frac{\alpha\kappa_{n}}{\varphi}, \ a_{3} = -h'_{2}(\rho_{2}) + \frac{\alpha\kappa_{g}}{\varphi}, \text{ and} \quad (21)$$

$$h_{i}(\rho_{i}) = \begin{cases} \bar{c} (\rho_{i} + \rho_{ci}^{2}/\rho_{i} - 2\rho_{ci}), & \rho_{i} \in (0, \rho_{ci}) \\ \frac{\bar{c}}{\rho_{ci}}(\rho_{i} - \rho_{ci})^{2}, & \rho_{i} \ge \rho_{ci} \end{cases}$$

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New state  $Y = (\rho_1, \zeta, \rho_2, \theta)$  takes its values in  $\mathcal{X}^{\sharp}$ , where  $(\varphi, \beta, \gamma) = (\cos(\zeta)\cos(\theta), -\sin(\zeta)\cos(\theta), \sin(\theta))$  and where  $\mathcal{X}^{\sharp} = (0, \infty) \times (-\pi/2, \pi/2) \times (0, \infty) \times (-\pi/2, \pi/2).$ 

A Key Ingredient: Strict Lyapunov Function

$$\dot{\rho}_{1} = -\sin(\zeta)\cos(\theta)$$

$$\dot{\zeta} = -\frac{1}{\cos^{2}(\theta)} \left[ \alpha \kappa_{n} \sin^{2}(\theta) - h_{1}'(\rho_{1})\cos(\zeta)\cos(\theta) + \alpha \kappa_{g}\sin(\theta)\sin(\zeta)\cos(\theta) + \mu\sin(\zeta)\cos(\theta) \right]$$

$$\dot{\rho}_{2} = \sin(\theta)$$

$$\dot{\theta} = \alpha \kappa_{g} \frac{\sin^{2}(\zeta)}{\cos(\zeta)} - h_{2}'(\rho_{2})\cos(\theta) - \mu\cos(\zeta)\sin(\theta) + \left( -h_{1}'(\rho_{1}) + \frac{\alpha \kappa_{n}}{\cos(\theta)\cos(\zeta)} \right)\sin(\zeta)\sin(\theta)$$
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(22)

Theorem (MZ, SICON'15): We can build a function  $\mathcal{L}$  such that

$$U(Y) = -h'_1(\rho_1)\sin(\zeta)\cos(\theta) + h'_2(\rho_2)\sin(\theta) + \int_0^{V(Y)} \mathcal{L}(q)dq$$

is a strict Lyapunov function for (22) on  $\mathcal{X}^{\sharp}$  for the equilibrium  $(\rho_{c1}, 0, \rho_{c2}, 0)$ , where  $V(Y) = -\ln(\cos(\theta)\cos(\zeta)) + h_1(\rho_1) + h_2(\rho_2)$ .

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Challenges remain for other systems where P enters nonlinearly.

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#### Thanks for your attention and interest!