Adaptive Tracking and Parameter Identification for Nonlinear Control Systems

Michael Malisoff, Roy P. Daniels Professor of Mathematics at Louisiana State University

Sponsors: AFOSR, NSF/DMS, NSF/EPCN Joint with Georgia Tech Systems Research Lab

North Carolina State University Mathematics Talk 4 November 2015

$$Y'(t) = \mathcal{F}(t, Y(t), \mathbf{u}(t, Y(t - \tau)), \Gamma, \delta(t)), \quad Y(t) \in \mathcal{Y}.$$
 (1)

 $\mathcal{Y} \subseteq \mathbb{R}^n$. $\delta : [0, \infty) \to \mathcal{D}$ is (nonstochastic) uncertainty. $\mathcal{D} \subseteq \mathbb{R}^m$. The vector Γ is constant but unknown. τ is a constant delay.

$$Y'(t) = \mathcal{F}(t, Y(t), \mathbf{u}(t, Y(t - \tau)), \Gamma, \delta(t)), \quad Y(t) \in \mathcal{Y}.$$
 (1)

 $\mathcal{Y} \subseteq \mathbb{R}^n$. $\delta : [0, \infty) \to \mathcal{D}$ is (nonstochastic) uncertainty. $\mathcal{D} \subseteq \mathbb{R}^m$. The vector Γ is constant but unknown. τ is a constant delay.

$$Y'(t) = \mathcal{G}(t, Y(t), Y(t - \tau), \Gamma, \delta(t)), \quad Y(t) \in \mathcal{Y},$$
 (2)

where $\mathcal{G}(t, Y(t), Y(t-\tau), \Gamma, d) = \mathcal{F}(t, Y(t), \mathbf{u}(t, Y(t-\tau)), \Gamma, d)$.

$$Y'(t) = \mathcal{F}(t, Y(t), \mathbf{u}(t, Y(t - \tau)), \Gamma, \delta(t)), \quad Y(t) \in \mathcal{Y}.$$
 (1)

 $\mathcal{Y} \subseteq \mathbb{R}^n$. $\delta : [0, \infty) \to \mathcal{D}$ is (nonstochastic) uncertainty. $\mathcal{D} \subseteq \mathbb{R}^m$. The vector Γ is constant but unknown. τ is a constant delay.

$$Y'(t) = \mathcal{G}(t, Y(t), Y(t - \tau), \Gamma, \delta(t)), \quad Y(t) \in \mathcal{Y}, \tag{2}$$

where
$$\mathcal{G}(t, Y(t), Y(t - \tau), \Gamma, d) = \mathcal{F}(t, Y(t), \mathbf{u}(t, Y(t - \tau)), \Gamma, d)$$
.

Problem: Given a trajectory Y_r , specify u and a dynamics for an estimate $\hat{\Gamma}$ of Γ such that the dynamics for the augmented error $\mathcal{E}(t) = (Y(t) - Y_r(t), \Gamma - \hat{\Gamma}(t))$ satisfies ISS with respect to δ .

$$Y'(t) = \mathcal{F}(t, Y(t), \mathbf{u}(t, Y(t - \tau)), \Gamma, \delta(t)), \quad Y(t) \in \mathcal{Y}.$$
 (1)

 $\mathcal{Y} \subseteq \mathbb{R}^n$. $\delta : [0, \infty) \to \mathcal{D}$ is (nonstochastic) uncertainty. $\mathcal{D} \subseteq \mathbb{R}^m$. The vector Γ is constant but unknown. τ is a constant delay.

$$Y'(t) = \mathcal{G}(t, Y(t), Y(t - \tau), \Gamma, \delta(t)), \quad Y(t) \in \mathcal{Y},$$
 (2)

where
$$\mathcal{G}(t, Y(t), Y(t-\tau), \Gamma, d) = \mathcal{F}(t, Y(t), \mathbf{u}(t, Y(t-\tau)), \Gamma, d)$$
.

Problem: Given a trajectory Y_r , specify u and a dynamics for an estimate $\hat{\Gamma}$ of Γ such that the dynamics for the augmented error $\mathcal{E}(t) = (Y(t) - Y_r(t), \Gamma - \hat{\Gamma}(t))$ satisfies ISS with respect to δ .

Flight control, electrical and mechanical engineering, etc.

$$Y'(t) = \mathcal{F}(t, Y(t), \mathbf{u}(t, Y(t - \tau)), \Gamma, \delta(t)), \quad Y(t) \in \mathcal{Y}.$$
 (1)

 $\mathcal{Y} \subseteq \mathbb{R}^n$. $\delta : [0, \infty) \to \mathcal{D}$ is (nonstochastic) uncertainty. $\mathcal{D} \subseteq \mathbb{R}^m$. The vector Γ is constant but unknown. τ is a constant delay.

$$Y'(t) = \mathcal{G}(t, Y(t), Y(t - \tau), \Gamma, \delta(t)), \quad Y(t) \in \mathcal{Y},$$
 (2)

where
$$\mathcal{G}(t, Y(t), Y(t-\tau), \Gamma, d) = \mathcal{F}(t, Y(t), \mathbf{u}(t, Y(t-\tau)), \Gamma, d)$$
.

Problem: Given a trajectory Y_r , specify u and a dynamics for an estimate $\hat{\Gamma}$ of Γ such that the dynamics for the augmented error $\mathcal{E}(t) = (Y(t) - Y_r(t), \Gamma - \hat{\Gamma}(t))$ satisfies ISS with respect to δ .

Flight control, electrical and mechanical engineering, etc. Persistent excitation.

$$Y'(t) = \mathcal{F}(t, Y(t), \mathbf{u}(t, Y(t - \tau)), \Gamma, \delta(t)), \quad Y(t) \in \mathcal{Y}.$$
 (1)

 $\mathcal{Y} \subseteq \mathbb{R}^n$. $\delta : [0, \infty) \to \mathcal{D}$ is (nonstochastic) uncertainty. $\mathcal{D} \subseteq \mathbb{R}^m$. The vector Γ is constant but unknown. τ is a constant delay.

$$Y'(t) = \mathcal{G}(t, Y(t), Y(t - \tau), \Gamma, \delta(t)), \quad Y(t) \in \mathcal{Y}, \tag{2}$$

where
$$\mathcal{G}(t, Y(t), Y(t-\tau), \Gamma, d) = \mathcal{F}(t, Y(t), \mathbf{u}(t, Y(t-\tau)), \Gamma, d)$$
.

Problem: Given a trajectory Y_r , specify u and a dynamics for an estimate $\hat{\Gamma}$ of Γ such that the dynamics for the augmented error $\mathcal{E}(t) = (Y(t) - Y_r(t), \Gamma - \hat{\Gamma}(t))$ satisfies ISS with respect to δ .

Flight control, electrical and mechanical engineering, etc. Persistent excitation. Annaswamy, Narendra, Teel..

ISS (Sontag, '89) generalizes uniform global asymptotic stability.

ISS (Sontag, '89) generalizes uniform global asymptotic stability.

$$\begin{split} \mathcal{E}'(t) &= \mathcal{G}(t, \mathcal{E}(t), \mathcal{E}(t-\tau), \Gamma), \ \mathcal{E}(t) \in \mathcal{Y} \\ &|\mathcal{E}(t)| \leq \gamma_1 \left(e^{t_0-t} \gamma_2(|\mathcal{E}|_{[t_0-\tau,t_0]})\right) \end{split} \tag{UGAS}$$

 γ_i 's are 0 at 0, strictly increasing, and unbounded. $\gamma_i \in \mathcal{K}_{\infty}$.

ISS (Sontag, '89) generalizes uniform global asymptotic stability.

$$\mathcal{E}'(t) = \mathcal{G}(t, \mathcal{E}(t), \mathcal{E}(t - \tau), \Gamma), \quad \mathcal{E}(t) \in \mathcal{Y}$$

$$|\mathcal{E}(t)| \le \gamma_1 \left(e^{t_0 - t} \gamma_2 (|\mathcal{E}|_{[t_0 - \tau, t_0]}) \right)$$
(UGAS)

 γ_i 's are 0 at 0, strictly increasing, and unbounded. $\gamma_i \in \mathcal{K}_{\infty}$.

$$\mathcal{E}'(t) = \mathcal{G}(t, \mathcal{E}(t), \mathcal{E}(t - \tau), \Gamma, \delta(t)), \quad \mathcal{E}(t) \in \mathcal{Y}$$

$$|\mathcal{E}(t)| \le \gamma_1 \left(e^{t_0 - t} \gamma_2 (|\mathcal{E}|_{[t_0 - \tau, t_0]})\right) + \gamma_3 (|\delta|_{[t_0, t]})$$
(ISS)

ISS (Sontag, '89) generalizes uniform global asymptotic stability.

$$\mathcal{E}'(t) = \mathcal{G}(t, \mathcal{E}(t), \mathcal{E}(t-\tau), \Gamma), \quad \mathcal{E}(t) \in \mathcal{Y}$$
 (\Sigma)

$$|\mathcal{E}(t)| \le \gamma_1 \left(e^{t_0 - t} \gamma_2 (|\mathcal{E}|_{[t_0 - \tau, t_0]}) \right)$$
 (UGAS)

 γ_i 's are 0 at 0, strictly increasing, and unbounded. $\gamma_i \in \mathcal{K}_{\infty}$.

$$\mathcal{E}'(t) = \mathcal{G}(t, \mathcal{E}(t), \mathcal{E}(t-\tau), \Gamma, \delta(t)), \quad \mathcal{E}(t) \in \mathcal{Y}$$
 (Σ_{pert})

$$|\mathcal{E}(t)| \le \gamma_1 \left(e^{t_0 - t} \gamma_2(|\mathcal{E}|_{[t_0 - \tau, t_0]}) \right) + \gamma_3(|\delta|_{[t_0, t]})$$
 (ISS)

Find γ_i 's by building special strict Lyapunov functions (LFs).

ISS (Sontag, '89) generalizes uniform global asymptotic stability.

$$\mathcal{E}'(t) = \mathcal{G}(t, \mathcal{E}(t), \mathcal{E}(t - \tau), \Gamma), \quad \mathcal{E}(t) \in \mathcal{Y}$$
 (\(\Sigma\)

$$|\mathcal{E}(t)| \le \gamma_1 \left(e^{t_0 - t} \gamma_2(|\mathcal{E}|_{[t_0 - \tau, t_0]}) \right)$$
 (UGAS)

 γ_i 's are 0 at 0, strictly increasing, and unbounded. $\gamma_i \in \mathcal{K}_{\infty}$.

$$\mathcal{E}'(t) = \mathcal{G}(t, \mathcal{E}(t), \mathcal{E}(t-\tau), \Gamma, \delta(t)), \quad \mathcal{E}(t) \in \mathcal{Y}$$
 (Σ_{pert})

$$|\mathcal{E}(t)| \leq \gamma_1 \left(e^{t_0 - t} \gamma_2(|\mathcal{E}|_{[t_0 - \tau, t_0]}) \right) + \gamma_3(|\delta|_{[t_0, t]}) \tag{ISS}$$

Find γ_i 's by building special strict Lyapunov functions (LFs).

When $\tau = 0$, a system is ISS iff it has an ISS LF (Sontag-Wang).



Collaborators: Fumin Zhang (GT) and Miroslav Krstic (UCSD)

PhD Students: S. Koga, R. Sizemore, J. Weston, N. Yao

Total 3-Year Budget: \$871,000 (LSU Portion: \$380,928)

For many systems, we design controls \underline{u} that ensure ISS under the delays $\underline{\tau}$ and uncertainties δ that prevail in engineering.

For many systems, we design controls $\underline{\textbf{\textit{u}}}$ that ensure ISS under the delays $\underline{\textbf{\textit{\tau}}}$ and uncertainties δ that prevail in engineering.

Interconnect the systems with dynamics for estimators $\hat{\Gamma}(t)$ that converge to Γ , and then use $\hat{\Gamma}(t)$ in \underline{u} , instead of Γ .

For many systems, we design controls $\underline{\textbf{\textit{u}}}$ that ensure ISS under the delays $\underline{\textbf{\textit{\tau}}}$ and uncertainties δ that prevail in engineering.

Interconnect the systems with dynamics for estimators $\hat{\Gamma}(t)$ that converge to Γ , and then use $\hat{\Gamma}(t)$ in \underline{u} , instead of Γ .

Under state constraints \mathcal{Y} , choose sets $\mathcal{S} \subseteq \mathcal{Y}$ to find maximal perturbation sets \mathcal{D} the system can tolerate without leaving \mathcal{S} .

For many systems, we design controls $\underline{\textbf{\textit{u}}}$ that ensure ISS under the delays $\underline{\textbf{\textit{\tau}}}$ and uncertainties δ that prevail in engineering.

Interconnect the systems with dynamics for estimators $\hat{\Gamma}(t)$ that converge to Γ , and then use $\hat{\Gamma}(t)$ in \underline{u} , instead of Γ .

Under state constraints \mathcal{Y} , choose sets $\mathcal{S} \subseteq \mathcal{Y}$ to find maximal perturbation sets \mathcal{D} the system can tolerate without leaving \mathcal{S} .

To handle delays τ , we use Lyapunov-Krasovskii or Razumikhin functions, or predictive or other dynamic controls.

For many systems, we design controls $\underline{\textbf{\textit{u}}}$ that ensure ISS under the delays $\underline{\textbf{\textit{\tau}}}$ and uncertainties δ that prevail in engineering.

Interconnect the systems with dynamics for estimators $\hat{\Gamma}(t)$ that converge to Γ , and then use $\hat{\Gamma}(t)$ in \underline{u} , instead of Γ .

Under state constraints \mathcal{Y} , choose sets $\mathcal{S} \subseteq \mathcal{Y}$ to find maximal perturbation sets \mathcal{D} the system can tolerate without leaving \mathcal{S} .

To handle delays τ , we use Lyapunov-Krasovskii or Razumikhin functions, or predictive or other dynamic controls.

Active magnetic bearings, bioreactors, brushless DC motors, heart rate controllers, human pointing motions, marine robots, microelectromechanical relays, neuromuscular electrical stimulation, underactuated ships, unmanned air vehicles,...

For many systems, we design controls $\underline{\mathbf{u}}$ that ensure ISS under the delays $\underline{\boldsymbol{\tau}}$ and uncertainties δ that prevail in engineering.

Interconnect the systems with dynamics for estimators $\hat{\Gamma}(t)$ that converge to Γ , and then use $\hat{\Gamma}(t)$ in \underline{u} , instead of Γ .

Under state constraints \mathcal{Y} , choose sets $\mathcal{S} \subseteq \mathcal{Y}$ to find maximal perturbation sets \mathcal{D} the system can tolerate without leaving \mathcal{S} .

To handle delays τ , we use Lyapunov-Krasovskii or Razumikhin functions, or predictive or other dynamic controls.

Active magnetic bearings, bioreactors, brushless DC motors, heart rate controllers, human pointing motions, marine robots, microelectromechanical relays, neuromuscular electrical stimulation, underactuated ships, unmanned air vehicles,...

My Joint Work with F. Mazenc and M. de Queiroz

We solved the tracking and parameter identification problem for

$$\begin{cases} \dot{x} = f(\xi) \\ \dot{z}_i = g_i(\xi) + k_i(\xi)\theta_i + \psi_i \mathbf{u}_i, & i = 1, 2, \dots, s. \end{cases}$$

$$(3)$$

$$(x, z) \in \mathbb{R}^{r+s}$$

$$\xi = (x, z) \in \mathbb{R}^{r+s}$$
.

My Joint Work with F. Mazenc and M. de Queiroz

We solved the tracking and parameter identification problem for

$$\begin{cases} \dot{x} = f(\xi) \\ \dot{z}_i = g_i(\xi) + k_i(\xi)\theta_i + \psi_i \mathbf{u}_i, & i = 1, 2, \dots, s. \end{cases}$$
(3)

$$\xi = (x, z) \in \mathbb{R}^{r+s}. \ (\theta, \psi) = (\theta_1, ..., \theta_s, \psi_1, ..., \psi_s) \in \mathbb{R}^{p_1 + ... + p_s + s}.$$

The C^2 reference trajectory $\xi_R = (x_R, z_R)$ is assumed to have some period T > 0 and satisfy $\dot{x}_R(t) = f(\xi_R(t))$ for all $t \ge 0$.

My Joint Work with F. Mazenc and M. de Queiroz

We solved the tracking and parameter identification problem for

$$\begin{cases} \dot{x} = f(\xi) \\ \dot{z}_i = g_i(\xi) + k_i(\xi)\theta_i + \psi_i \mathbf{u}_i, & i = 1, 2, \dots, s. \end{cases}$$
(3)

$$\xi = (x, z) \in \mathbb{R}^{r+s}. \ (\theta, \psi) = (\theta_1, ..., \theta_s, \psi_1, ..., \psi_s) \in \mathbb{R}^{p_1 + ... + p_s + s}.$$

The C^2 reference trajectory $\xi_R = (x_R, z_R)$ is assumed to have some period T > 0 and satisfy $\dot{x}_R(t) = f(\xi_R(t))$ for all $t \ge 0$.

Main PE Assumption: positive definiteness of the matrices

$$\mathcal{M}_i = \int_0^T \lambda_i^\top(t) \lambda_i(t) \, \mathrm{d}t \in \mathbb{R}^{(p_i+1) \times (p_i+1)}, \ 1 \le i \le s, \tag{4}$$

where $\lambda_i(t) = (k_i(\xi_R(t)), \dot{z}_{R,i}(t) - g_i(\xi_R(t)))$ for i = 1, 2, ..., s.

Two Other Key Assumptions

Two Other Key Assumptions

▶ We know V_f and a strict LF $V: [0, \infty) \times \mathbb{R}^{r+s} \to [0, \infty)$ for

$$\begin{cases} \dot{X} = f((X,Z) + \xi_R(t)) - f(\xi_R(t)) \\ \dot{Z} = v_f(t,X,Z) \end{cases}$$
 (5)

such that $-\dot{V}$ and V have positive definite quadratic lower bounds near 0, and V and V_f also have period T.

Key: Reduces the LF construction problem to (5).

Two Other Key Assumptions

▶ We know V_f and a strict LF $V: [0, \infty) \times \mathbb{R}^{r+s} \to [0, \infty)$ for

$$\begin{cases} \dot{X} = f((X,Z) + \xi_R(t)) - f(\xi_R(t)) \\ \dot{Z} = v_f(t,X,Z) \end{cases}$$
 (5)

such that $-\dot{V}$ and V have positive definite quadratic lower bounds near 0, and V and v_f also have period T.

Key: Reduces the LF construction problem to (5).

▶ There are known positive constants θ_M , ψ and $\overline{\psi}$ such that

$$\underline{\psi} < \psi_i < \overline{\psi} \text{ and } |\theta_i| < \theta_M$$
 (6)

for each $i \in \{1, 2, ..., s\}$. Known directions for the ψ_i 's.

The estimator evolves on $\{\prod_{i=1}^{s} (-\theta_{M}, \theta_{M})^{p_{i}}\} \times (\psi, \psi)^{s}$.

$$\begin{cases} \dot{\hat{\theta}}_{i,j} &= (\hat{\theta}_{i,j}^2 - \theta_M^2) \varpi_{i,j}, \quad 1 \leq i \leq s, 1 \leq j \leq p_i \\ \dot{\hat{\psi}}_i &= (\hat{\psi}_i - \underline{\psi}) (\hat{\psi}_i - \overline{\psi}) \Upsilon_i, \quad 1 \leq i \leq s \end{cases}$$

$$\text{Here } \hat{\theta}_i = (\hat{\theta}_{i,1}, \dots, \hat{\theta}_{i,p_i}) \text{ for } i = 1, 2, \dots, s ,$$
 (7)

Here
$$heta_i = (heta_{i,1}, \dots, heta_{i,p_i})$$
 for $i = 1,2,\dots,s$,

$$\varpi_{i,j} = -\frac{\partial V}{\partial \tilde{z}_i}(t,\tilde{\xi})k_{i,j}(\tilde{\xi}+\xi_R(t))$$

The estimator evolves on $\{\prod_{i=1}^{s} (-\theta_{M}, \theta_{M})^{p_{i}}\} \times (\psi, \overline{\psi})^{s}$.

$$\begin{cases}
\dot{\hat{\theta}}_{i,j} = (\hat{\theta}_{i,j}^2 - \theta_M^2)\varpi_{i,j}, & 1 \leq i \leq s, 1 \leq j \leq p_i \\
\dot{\hat{\psi}}_i = (\hat{\psi}_i - \underline{\psi})(\hat{\psi}_i - \overline{\psi})\Upsilon_i, & 1 \leq i \leq s
\end{cases} (7)$$

Here $\hat{\theta}_i = (\hat{\theta}_{i,1}, \dots, \hat{\theta}_{i,p_i})$ for $i = 1, 2, \dots, s$,

$$\varpi_{i,j} = -\frac{\partial V}{\partial \tilde{z}_i}(t,\tilde{\xi})k_{i,j}(\tilde{\xi} + \xi_R(t)) \text{ and}
\Upsilon_i = -\frac{\partial V}{\partial \tilde{z}_i}(t,\tilde{\xi})u_i(t,\tilde{\xi},\hat{\theta},\hat{\psi}).$$
(8)

$$\mathbf{u}_{i}(t,\tilde{\xi},\hat{\theta},\hat{\psi}) = \frac{\mathbf{v}_{f,i}(t,\tilde{\xi}) - g_{i}(\xi) - k_{i}(\xi)\hat{\theta}_{i} + \dot{z}_{R,i}(t)}{\hat{\psi}_{i}}$$
(9)

The estimator evolves on $\{\prod_{i=1}^{s}(-\theta_{M},\theta_{M})^{p_{i}}\}\times(\underline{\psi},\overline{\psi})^{s}$.

$$\begin{cases}
\dot{\hat{\theta}}_{i,j} = (\hat{\theta}_{i,j}^2 - \theta_M^2)\varpi_{i,j}, & 1 \leq i \leq s, 1 \leq j \leq p_i \\
\dot{\hat{\psi}}_i = (\hat{\psi}_i - \underline{\psi})(\hat{\psi}_i - \overline{\psi})\Upsilon_i, & 1 \leq i \leq s
\end{cases} (7)$$

Here $\hat{\theta}_i = (\hat{\theta}_{i,1}, \dots, \hat{\theta}_{i,p_i})$ for $i = 1, 2, \dots, s$,

$$\varpi_{i,j} = -\frac{\partial V}{\partial \tilde{z}_i}(t,\tilde{\xi})k_{i,j}(\tilde{\xi} + \xi_R(t)) \text{ and}
\Upsilon_i = -\frac{\partial V}{\partial \tilde{z}_i}(t,\tilde{\xi})\frac{u_i}{u_i}(t,\tilde{\xi},\hat{\theta},\hat{\psi}).$$
(8)

$$u_{i}(t,\tilde{\xi},\hat{\theta},\hat{\psi}) = \frac{v_{f,i}(t,\tilde{\xi}) - g_{i}(\xi) - k_{i}(\xi)\hat{\theta}_{i} + \dot{z}_{B,i}(t)}{\hat{\psi}_{i}}$$
(9)

Estimator and feedback can only depend on things we know.

The estimator evolves on $\{\prod_{i=1}^{s} (-\theta_{M}, \theta_{M})^{p_{i}}\} \times (\psi, \overline{\psi})^{s}$.

$$\begin{cases}
\dot{\hat{\theta}}_{i,j} = (\hat{\theta}_{i,j}^2 - \theta_M^2)\varpi_{i,j}, & 1 \leq i \leq s, 1 \leq j \leq p_i \\
\dot{\hat{\psi}}_i = (\hat{\psi}_i - \underline{\psi})(\hat{\psi}_i - \overline{\psi})\Upsilon_i, & 1 \leq i \leq s
\end{cases} (7)$$

Here $\hat{\theta}_i = (\hat{\theta}_{i,1}, \dots, \hat{\theta}_{i,p_i})$ for $i = 1, 2, \dots, s$,

$$\varpi_{i,j} = -\frac{\partial V}{\partial \tilde{z}_i}(t, \tilde{\xi}) k_{i,j} (\tilde{\xi} + \xi_R(t)) \text{ and}
\Upsilon_i = -\frac{\partial V}{\partial \tilde{z}_i}(t, \tilde{\xi}) \underline{u}_i(t, \tilde{\xi}, \hat{\theta}, \hat{\psi}).$$
(8)

$$u_{i}(t,\tilde{\xi},\hat{\theta},\hat{\psi}) = \frac{v_{f,i}(t,\tilde{\xi}) - g_{i}(\xi) - k_{i}(\xi)\hat{\theta}_{i} + \dot{z}_{B,i}(t)}{\hat{\psi}_{i}}$$
(9)

Estimator and feedback can only depend on things we know.

Barrier terms ensure that $\underline{\psi} < \hat{\psi}_i(t) < \overline{\psi}$ and $|\hat{\theta}_{i,j}(t)| < \theta_M$.

Augmented Error Dynamics

Tracking error: $\tilde{\xi} = (\tilde{x}, \tilde{z}) = \xi - \xi_R = (x - x_R, z - z_R)$ Parameter estimation errors: $\tilde{\theta}_i = \theta_i - \hat{\theta}_i$ and $\tilde{\psi}_i = \psi_i - \hat{\psi}_i$

$$\begin{cases} \dot{\tilde{x}} &= f(\tilde{\xi} + \xi_{R}(t)) - f(\xi_{R}(t)) \\ \dot{\tilde{z}}_{i} &= v_{f,i}(t,\tilde{\xi}) + k_{i}(\tilde{\xi} + \xi_{R}(t))\tilde{\theta}_{i} \\ &+ \tilde{\psi}_{i}u_{i}(t,\tilde{\xi},\hat{\theta},\hat{\psi}), \quad 1 \leq i \leq s \\ \dot{\tilde{\theta}}_{i,j} &= -\left(\hat{\theta}_{i,j}^{2} - \theta_{M}^{2}\right)\varpi_{i,j}, \quad 1 \leq i \leq s, \quad 1 \leq j \leq p_{i} \\ \dot{\tilde{\psi}}_{i} &= -\left(\hat{\psi}_{i} - \underline{\psi}\right)\left(\hat{\psi}_{i} - \overline{\psi}\right)\Upsilon_{i}, \quad 1 \leq i \leq s. \end{cases}$$
(AED)

Augmented Error Dynamics

Tracking error: $\tilde{\xi} = (\tilde{x}, \tilde{z}) = \xi - \xi_R = (x - x_R, z - z_R)$ Parameter estimation errors: $\tilde{\theta}_i = \theta_i - \hat{\theta}_i$ and $\tilde{\psi}_i = \psi_i - \hat{\psi}_i$

$$\begin{cases} \dot{\tilde{x}} &= f(\tilde{\xi} + \xi_{R}(t)) - f(\xi_{R}(t)) \\ \dot{\tilde{z}}_{i} &= v_{f,i}(t,\tilde{\xi}) + k_{i}(\tilde{\xi} + \xi_{R}(t))\tilde{\theta}_{i} \\ &+ \tilde{\psi}_{i}u_{i}(t,\tilde{\xi},\hat{\theta},\hat{\psi}), \quad 1 \leq i \leq s \\ \dot{\tilde{\theta}}_{i,j} &= -\left(\hat{\theta}_{i,j}^{2} - \theta_{M}^{2}\right)\varpi_{i,j}, \quad 1 \leq i \leq s, \quad 1 \leq j \leq p_{i} \\ \dot{\tilde{\psi}}_{i} &= -\left(\hat{\psi}_{i} - \underline{\psi}\right)\left(\hat{\psi}_{i} - \overline{\psi}\right)\Upsilon_{i}, \quad 1 \leq i \leq s. \end{cases}$$

$$\mathcal{Y} = \mathbb{R}^{r+s} \times \left(\prod_{i=1}^{s} \left\{\prod_{j=1}^{p_{i}}(\theta_{i,j} - \theta_{M}, \theta_{i,j} + \theta_{M})\right\}\right) \times \left(\prod_{i=1}^{s} \left(\psi_{i} - \overline{\psi}, \psi_{i} - \underline{\psi}\right)\right).$$

We build a strict LF for the augmented error dynamics for $\mathcal{E} = (\tilde{\xi}, \tilde{\theta}, \tilde{\psi}) = (\xi - \xi_R, \theta - \hat{\theta}, \psi - \hat{\psi})$ on its state space \mathcal{Y} .

We build a strict LF for the augmented error dynamics for $\mathcal{E} = (\tilde{\xi}, \tilde{\theta}, \tilde{\psi}) = (\xi - \xi_R, \theta - \hat{\theta}, \psi - \hat{\psi})$ on its state space \mathcal{Y} .

We start with this nonstrict barrier type LF on \mathcal{Y} :

$$V_{1}(t,\tilde{\xi},\tilde{\theta},\tilde{\psi}) = V(t,\tilde{\xi}) + \sum_{i=1}^{s} \sum_{j=1}^{p_{i}} \int_{0}^{\tilde{\theta}_{i,j}} \frac{m}{\theta_{M}^{2} - (m - \theta_{i,j})^{2}} dm + \sum_{i=1}^{s} \int_{0}^{\tilde{\psi}_{i}} \frac{m}{(\psi_{i} - m - \underline{\psi})(\overline{\psi} - \psi_{i} + m)} dm.$$

We build a strict LF for the augmented error dynamics for $\mathcal{E} = (\tilde{\xi}, \tilde{\theta}, \tilde{\psi}) = (\xi - \xi_R, \theta - \hat{\theta}, \psi - \hat{\psi})$ on its state space \mathcal{Y} .

We start with this nonstrict barrier type LF on \mathcal{Y} :

$$V_{1}(t,\tilde{\xi},\tilde{\theta},\tilde{\psi}) = V(t,\tilde{\xi}) + \sum_{i=1}^{s} \sum_{j=1}^{p_{i}} \int_{0}^{\tilde{\theta}_{i,j}} \frac{m}{\theta_{M}^{2} - (m - \theta_{i,j})^{2}} dm + \sum_{i=1}^{s} \int_{0}^{\tilde{\psi}_{i}} \frac{m}{(\psi_{i} - m - \underline{\psi})(\overline{\psi} - \psi_{i} + m)} dm.$$

On \mathcal{Y} , $\dot{V}_1 \leq -W(\tilde{\xi})$ for some positive definite function W.

Stabilization Analysis

We build a strict LF for the augmented error dynamics for $\mathcal{E} = (\tilde{\xi}, \tilde{\theta}, \tilde{\psi}) = (\xi - \xi_R, \theta - \hat{\theta}, \psi - \hat{\psi})$ on its state space \mathcal{Y} .

We start with this nonstrict barrier type LF on \mathcal{Y} :

$$V_{1}(t,\tilde{\xi},\tilde{\theta},\tilde{\psi}) = V(t,\tilde{\xi}) + \sum_{i=1}^{s} \sum_{j=1}^{p_{i}} \int_{0}^{\tilde{\theta}_{i,j}} \frac{m}{\theta_{M}^{2} - (m - \theta_{i,j})^{2}} dm + \sum_{i=1}^{s} \int_{0}^{\tilde{\psi}_{i}} \frac{m}{(\psi_{i} - m - \underline{\psi})(\overline{\psi} - \psi_{i} + m)} dm.$$

On \mathcal{Y} , $\dot{V}_1 \leq -W(\tilde{\xi})$ for some positive definite function W.

We transform V_1 into the desired strict LF for (AED).

Our Transformation

Our Transformation

Theorem: We can construct a function $\mathcal{L} \in \mathcal{K}_{\infty} \cap C^1$ such that

$$V^{\sharp}(t,\tilde{\xi},\tilde{\theta},\tilde{\psi}) = \mathcal{L}(V_{1}(t,\tilde{\xi},\tilde{\theta},\tilde{\psi})) + \sum_{i=1}^{s} \overline{\Omega}_{i}(t,\tilde{\xi},\tilde{\theta},\tilde{\psi}) , \qquad (10)$$

where
$$\overline{\Omega}_{i}(t, \tilde{\xi}, \tilde{\theta}, \tilde{\psi}) = -\tilde{z}_{i}\lambda_{i}(t)\alpha_{i}(\widetilde{\theta}_{i}, \widetilde{\psi}_{i}) + \frac{1}{T\overline{\psi}}\alpha_{i}^{\top}(\widetilde{\theta}_{i}, \widetilde{\psi}_{i})\Omega_{i}(t)\alpha_{i}(\widetilde{\theta}_{i}, \widetilde{\psi}_{i})$$
, (11)

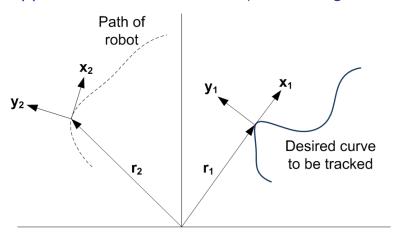
$$\alpha_{i}(\widetilde{\theta}_{i}, \widetilde{\psi}_{i}) = \begin{bmatrix} \widetilde{\theta}_{i}\psi_{i} - \theta_{i}\widetilde{\psi}_{i} \\ \widetilde{\psi}_{i} \end{bmatrix}, \text{ and}$$

$$\Omega_{i}(t) = \int_{t-T}^{t} \int_{m}^{t} \lambda_{i}^{\top}(s)\lambda_{i}(s)dsdm ,$$
(12)

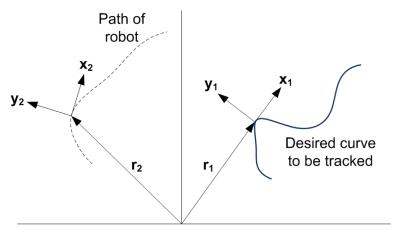
is a strict LF for (AED) on its state space \mathcal{Y} , so (AED) is UGAS.

Application: Marine Robots (with Georgia Tech)

Application: Marine Robots (with Georgia Tech)



Application: Marine Robots (with Georgia Tech)



 $\rho = |\mathbf{r_2} - \mathbf{r_1}|, \, \phi = \text{angle between } \mathbf{x_1} \text{ and } \mathbf{x_2}, \cos(\phi) = \mathbf{x_1} \cdot \mathbf{x_2}$

Zhang et al, IEEE CDC, '04: Steering control

$$\begin{cases} \dot{\rho} = -\sin(\phi) \\ \dot{\phi} = \frac{\kappa\cos(\phi)}{1+\kappa\rho} - u_0, \quad (\rho,\phi) \in (0,\infty) \times (-\pi/2,\pi/2) \end{cases}$$
(13)
$$u_0 = \frac{\kappa\cos(\phi)}{1+\kappa\rho} - h'(\rho)\cos(\phi) + \mu\sin(\phi), \quad \kappa = \text{curvature}$$
(14)
$$h(\rho) = \alpha \left\{ \rho + \frac{\rho_0^2}{\rho} - 2\rho_0 \right\}, \quad \rho_0 = \text{desired value for } \rho$$
(15)

Zhang et al, IEEE CDC, '04: Steering control

$$\begin{cases}
\dot{\rho} = -\sin(\phi) \\
\dot{\phi} = \frac{\kappa\cos(\phi)}{1+\kappa\rho} - \mathbf{u_0}, \quad (\rho,\phi) \in (0,\infty) \times (-\pi/2,\pi/2)
\end{cases}$$
(13)

$$u_0 = \frac{\kappa \cos(\phi)}{1 + \kappa \rho} - h'(\rho) \cos(\phi) + \mu \sin(\phi)$$
, $\kappa = \text{curvature}$ (14)

$$h(\rho) = \alpha \left\{ \rho + \frac{\rho_0^2}{\rho} - 2\rho_0 \right\}, \quad \rho_0 = \text{desired value for } \rho$$
 (15)

$$V(\rho,\phi) = -\ln\left(\cos(\phi)\right) + h(\rho) \tag{16}$$

Zhang et al, IEEE CDC, '04: Steering control

$$\begin{cases}
\dot{\rho} = -\sin(\phi) \\
\dot{\phi} = \frac{\kappa\cos(\phi)}{1+\kappa\rho} - \mathbf{u_0}, \quad (\rho,\phi) \in (0,\infty) \times (-\pi/2,\pi/2)
\end{cases}$$
(13)

$$u_0 = \frac{\kappa \cos(\phi)}{1+\kappa\rho} - h'(\rho)\cos(\phi) + \mu \sin(\phi)$$
, $\kappa = \text{curvature}$ (14)

$$h(\rho) = \alpha \left\{ \rho + \frac{\rho_0^2}{\rho} - 2\rho_0 \right\}, \quad \rho_0 = \text{desired value for } \rho$$
 (15)

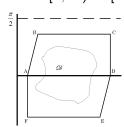
$$V(\rho,\phi) = -\ln\left(\cos(\phi)\right) + h(\rho) \tag{16}$$

New:
$$U(\rho,\phi) = -h'(\rho)\sin(\phi) + \frac{1}{\mu}\int_0^{V(\rho,\phi)}\Gamma_0(m)\mathrm{d}m$$
 (17)

We used U to prove ISS of the $(\rho - \rho_0, \phi)$ system, where $\dot{\rho} = -\sin(\phi), \quad \dot{\phi} = h'(\rho)\cos(\phi) - \mu\sin(\phi) + \delta$ (18) and $\delta: [0, \infty) \to [-\delta_{*i}, \delta_{*i}]$, on certain forward invariant sets H_i .

We used U to prove ISS of the $(\rho - \rho_0, \phi)$ system, where

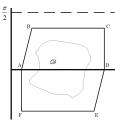
$$\dot{\rho} = -\sin(\phi), \quad \dot{\phi} = h'(\rho)\cos(\phi) - \mu\sin(\phi) + \delta$$
 (18) and $\delta : [0, \infty) \to [-\delta_{*i}, \delta_{*i}]$, on certain forward invariant sets H_i .



We used *U* to prove ISS of the $(\rho - \rho_0, \phi)$ system, where

$$\dot{\rho} = -\sin(\phi), \quad \dot{\phi} = h'(\rho)\cos(\phi) - \mu\sin(\phi) + \delta$$
 (18)

and $\delta : [0, \infty) \to [-\delta_{*i}, \delta_{*i}]$, on certain forward invariant sets H_i .

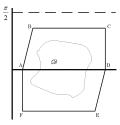


View the curve tracking state space $\mathcal{Y} = (0, \infty) \times (-\pi/2, \pi/2)$ as a union of hexagonal regions $H_1 \subseteq H_2 \subseteq \dots H_i \subseteq \dots$

We used *U* to prove ISS of the $(\rho - \rho_0, \phi)$ system, where

$$\dot{\rho} = -\sin(\phi), \quad \dot{\phi} = h'(\rho)\cos(\phi) - \mu\sin(\phi) + \delta$$
 (18)

and $\delta: [0,\infty) \to [-\delta_{*i},\delta_{*i}]$, on certain forward invariant sets H_i .

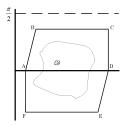


View the curve tracking state space $\mathcal{Y} = (0,\infty) \times (-\pi/2,\pi/2)$ as a union of hexagonal regions $H_1 \subseteq H_2 \subseteq \dots H_i \subseteq \dots$ For each i, all trajectories of (18) starting in H_i for all $\delta: [0,\infty) \to [-\delta_{*i},\delta_{*i}]$ stay in H_i .

We used *U* to prove ISS of the $(\rho - \rho_0, \phi)$ system, where

$$\dot{\rho} = -\sin(\phi), \quad \dot{\phi} = h'(\rho)\cos(\phi) - \mu\sin(\phi) + \delta$$
 (18)

and $\delta : [0, \infty) \to [-\delta_{*i}, \delta_{*i}]$, on certain forward invariant sets H_i .



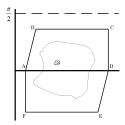
View the curve tracking state space $\mathcal{Y}=(0,\infty)\times(-\pi/2,\pi/2)$ as a union of hexagonal regions $H_1\subseteq H_2\subseteq\ldots H_i\subseteq\ldots$ For each i, all trajectories of (18) starting in H_i for all $\delta:[0,\infty)\to[-\delta_{*i},\delta_{*i}]$ stay in H_i .

Tight Disturbance Bound:

We used *U* to prove ISS of the $(\rho - \rho_0, \phi)$ system, where

$$\dot{\rho} = -\sin(\phi), \quad \dot{\phi} = h'(\rho)\cos(\phi) - \mu\sin(\phi) + \delta$$
 (18)

and $\delta: [0,\infty) \to [-\delta_{*i},\delta_{*i}]$, on certain forward invariant sets H_i .



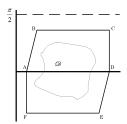
View the curve tracking state space $\mathcal{Y}=(0,\infty)\times(-\pi/2,\pi/2)$ as a union of hexagonal regions $H_1\subseteq H_2\subseteq\ldots H_i\subseteq\ldots$ For each i, all trajectories of (18) starting in H_i for all $\delta:[0,\infty)\to[-\delta_{*i},\delta_{*i}]$ stay in H_i .

Tight Disturbance Bound: Choose any $\delta_{*i} \in (0, \min\{\Delta_{*i}, \Delta_{**i}\})$.

We used *U* to prove ISS of the $(\rho - \rho_0, \phi)$ system, where

$$\dot{\rho} = -\sin(\phi), \quad \dot{\phi} = h'(\rho)\cos(\phi) - \mu\sin(\phi) + \delta$$
 (18)

and $\delta : [0, \infty) \to [-\delta_{*i}, \delta_{*i}]$, on certain forward invariant sets H_i .



View the curve tracking state space $\mathcal{Y}=(0,\infty)\times(-\pi/2,\pi/2)$ as a union of hexagonal regions $H_1\subseteq H_2\subseteq\ldots H_i\subseteq\ldots$ For each i, all trajectories of (18) starting in H_i for all $\delta:[0,\infty)\to[-\delta_{*i},\delta_{*i}]$ stay in H_i .

Tight Disturbance Bound: Choose any $\delta_{*i} \in (0, \min\{\Delta_{*i}, \Delta_{**i}\})$. $\Delta_{*i} = \min\{|h'(\rho)\cos(\phi)| : (\rho, \phi)^{\top} \in AB \cup ED\}$ $\Delta_{**i} = \min\{|h'(\rho)\cos(\phi) - \mu\sin(\phi)| : (\rho, \phi)^{\top} \in BC \cup EF\}$.

Our Adaptive Robust Tracking Control

$$\begin{cases} \dot{\rho} = -\sin(\phi) \\ \dot{\phi} = \frac{\kappa\cos(\phi)}{1+\kappa\rho} + K[\mathbf{u}+\delta] \end{cases}$$

$$\xi = (\rho, \phi), \, \theta_i = 0, \, \psi_i = K, \, f(\xi) = -\sin(\phi), \, g_i(\xi) = \frac{\kappa\cos(\phi)}{1+\kappa\rho}$$

$$(19)$$

Our Adaptive Robust Tracking Control

$$\begin{cases} \dot{\rho} = -\sin(\phi) \\ \dot{\phi} = \frac{\kappa\cos(\phi)}{1+\kappa\rho} + K[\mathbf{u}+\delta] \end{cases}$$

$$\xi = (\rho, \phi), \, \theta_i = 0, \, \psi_i = K, \, f(\xi) = -\sin(\phi), \, g_i(\xi) = \frac{\kappa\cos(\phi)}{1+\kappa\rho}$$

$$\text{Take } \mathbf{u} = -\mathbf{u}_0/\hat{K}.$$

$$(19)$$

Our Adaptive Robust Tracking Control

$$\begin{cases}
\dot{\rho} = -\sin(\phi) \\
\dot{\phi} = \frac{\kappa\cos(\phi)}{1+\kappa\rho} + K[\mathbf{u} + \delta]
\end{cases}$$

$$\xi = (\rho, \phi), \, \theta_i = 0, \, \psi_i = K, \, f(\xi) = -\sin(\phi), \, g_i(\xi) = \frac{\kappa\cos(\phi)}{1+\kappa\rho}$$
(19)

Take $u = -u_0/\hat{K}$. We proved ISS for the dynamics

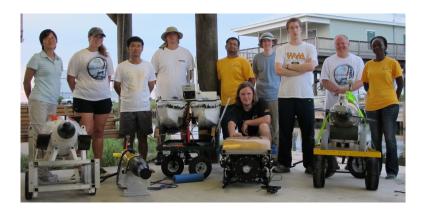
$$\begin{cases}
\dot{\tilde{q}}_{1} = -\sin(\tilde{q}_{2}) \\
\dot{\tilde{q}}_{2} = \frac{\kappa\cos(\tilde{q}_{2})}{1+\kappa(\tilde{q}_{1}+\rho_{0})} - \frac{\kappa}{\tilde{K}+K} \mathbf{u}_{0} - K\delta \\
\dot{\tilde{K}} = -(\tilde{K}+K-c_{\min})(c_{\max}-\tilde{K}-K)\frac{\partial U}{\partial \phi} \frac{\mathbf{u}_{0}}{\tilde{K}+K}
\end{cases} (20)$$

for $(\tilde{q}_1, \tilde{q}_2, \tilde{K}) = (\rho - \rho_0, \phi, \hat{K} - K)$ on each set in our sequence of hexagonal regions that fill $\mathcal{Y} = (0, \infty) \times (-\pi/2, \pi/2)$.





20 days of field work off Grand Isle.



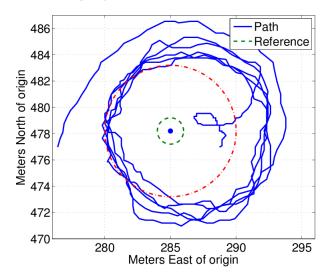
20 days of field work off Grand Isle. Search for oil spill remnants.



20 days of field work off Grand Isle. Search for oil spill remnants. Georgia Tech Savannah Robotics Team. Joint with F. Zhang.

(Loading Video...)

Circle Tracking by ASV Victoria



References with Hyperlinks

Malisoff, M., and F. Zhang, "Adaptive control for planar curve tracking under controller uncertainty," *Automatica*, Volume 49, Issue 5, 2013, pp. 1411-1418.

Mukhopadhyay, S., C. Wang, M. Patterson, M. Malisoff, and F. Zhang, "Collaborative autonomous surveys in marine environments affected by oil spills," in *Cooperative Robots and Sensor Networks, 2nd Edition*, Anis Koubaa, Ed., Studies in Computational Intelligence, Springer, New York, 2014, pp. 87-113.

Malisoff, M., and F. Zhang, "Robustness of adaptive control under time delays for three-dimensional curve tracking," *SIAM Journal on Control and Optimization*, Volume 53, Number 4, 2015, pp. 2203-2236.

Adaptive nonlinear controllers are useful for many engineering control systems with delays and uncertainties.

Adaptive nonlinear controllers are useful for many engineering control systems with delays and uncertainties.

Curve tracking controllers for autonomous marine vehicles are important for monitoring water quality, especially after oil spills.

Adaptive nonlinear controllers are useful for many engineering control systems with delays and uncertainties.

Curve tracking controllers for autonomous marine vehicles are important for monitoring water quality, especially after oil spills.

Our controls identify parameters and are adaptive and robust to the perturbations and delays that arise in field work.

Adaptive nonlinear controllers are useful for many engineering control systems with delays and uncertainties.

Curve tracking controllers for autonomous marine vehicles are important for monitoring water quality, especially after oil spills.

Our controls identify parameters and are adaptive and robust to the perturbations and delays that arise in field work.

We can prove these properties using ISS, dynamic extensions, and Lyapunov-Krasovskii functionals.

Adaptive nonlinear controllers are useful for many engineering control systems with delays and uncertainties.

Curve tracking controllers for autonomous marine vehicles are important for monitoring water quality, especially after oil spills.

Our controls identify parameters and are adaptive and robust to the perturbations and delays that arise in field work.

We can prove these properties using ISS, dynamic extensions, and Lyapunov-Krasovskii functionals.

We used our controls on student built marine robots to map residual crude oil from the Deepwater Horizon spill.

Adaptive nonlinear controllers are useful for many engineering control systems with delays and uncertainties.

Curve tracking controllers for autonomous marine vehicles are important for monitoring water quality, especially after oil spills.

Our controls identify parameters and are adaptive and robust to the perturbations and delays that arise in field work.

We can prove these properties using ISS, dynamic extensions, and Lyapunov-Krasovskii functionals.

We used our controls on student built marine robots to map residual crude oil from the Deepwater Horizon spill.

A promising research direction is to study adaptive robust control for heterogeneous fleets of autonomous marine vehicles.