

Trace Forms and Stickelberger Relations

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Let N/K be a tamely ramified abelian extension of odd degree and let $G = \text{Gal}(N/K)$. This paper studies the equivariant isometry class of the trace form $t_{N/K}$ restricted to the square root of the inverse different $A_{N/K}$. The failure of $A_{N/K}$ to admit an orthonormal normal basis is measured by an invariant $\rho_{N/K}$ in the unitary class group $\text{UCl}(O_K G)$. This paper shows that for Kummer extensions of odd prime degree, there are Stickelberger-like conditions that determine when a class in $\text{UCl}(O_K G)$ can be realized as the ρ -invariant of some tame G -extension. © 1995 Academic Press, Inc.

1. INTRODUCTION

Let K be a number field and let N/K be an abelian extension of *odd* degree with Galois group G . It follows from Hilbert's formula [16, Chap. IV, Proposition 4] that the different $\mathfrak{D}_{N/K}$ has even order at all finite places of N . Thus $\mathfrak{D}_{N/K}$ admits a square root and we denote by $A_{N/K}$ the ideal $\mathfrak{D}_{N/K}^{-1/2}$. It is easy to verify that $A_{N/K}$ is preserved by G and is self-dual with respect to the trace form $t_{N/K}(x, y) = \text{Tr}_{N/K}(xy)$ (this property actually characterizes $A_{N/K}$ uniquely among the fractional ideals of N). In this paper we shall be concerned with the study of the pair $(A_{N/K}, t_{N/K})$ as a G -equivariant symmetric bilinear form over O_K .

Let t_G be the standard symmetric bilinear form on the group algebra KG , that is, the form for which the group elements form an orthonormal basis over K . Clearly this form is G -invariant. A pair (L, b) consisting of an $O_K G$ -lattice L and a G -invariant symmetric bilinear form $b: L \times L \rightarrow O_K$ is said to belong to the *principal genus* if (L, b) and $(O_K G, t_G)$ are everywhere

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locally isometric as G -forms. We show that there is a natural one-to-one correspondence between the G -isometry classes of forms in the principal genus and the elements of the unitary class group $\text{UCl}(O_K G)$ (see next section).

A sufficient condition for $(A_{N/K}, t_{N/K})$ to be in the principal genus is that N/K be tamely ramified. Hence, when N/K is tame, the form $(A_{N/K}, t_{N/K})$ defines a class $\rho_{N/K}$ in the unitary class group $\text{UCl}(O_K G)$. We define the subset of *realizable classes* of $\text{UCl}(O_K G)$ by

$$\text{RU}(O_K G) = \{\rho_{N/K} : N/K \text{ tame } G\text{-extension}\}.$$

The main result of this paper is that for Kummer extensions of prime odd order the subset $\text{RU}(O_K G)$ is actually a subgroup and is characterized by a hermitian version of the Stickelberger relations. More precisely, let Δ be the automorphism group of G and let $S \subset \mathbb{Z}\Delta$ be the hermitian Stickelberger ideal (see Section 3 for the definition). Let $\text{Cl}(O_K G)$ be the locally free class group of $O_K G$. Our main result is that there is a natural homomorphism

$$S \otimes_{\mathbb{Z}\Delta} \text{Cl}(O_K G) \rightarrow \text{UCl}(O_K G),$$

whose image is exactly $\text{RU}(O_K G)$. In particular, $\text{RU}(O_K G)$ is a subgroup, which is not obvious *a priori*.

Note the analogy of the above result with McCulloh's characterization of the subset $R(O_K G) = \{\text{cl}(O_N) : N/K \text{ tame } G\text{-extension}\}$ of the locally free class group $\text{Cl}(O_K G)$. In [12] McCulloh showed the inequality $R(O_K G) = \text{Cl}''(O_K G)^J$, where $J \subset \mathbb{Z}\Delta$ is the classical Stickelberger ideal and $\text{Cl}''(O_K G)$ is the kernel of the homomorphism $\text{Cl}(O_K G) \rightarrow \text{Cl}(O_K)$ induced by the augmentation map. Our computations in Section 3 are largely inspired by McCulloh's methods.

2. THE UNITARY CLASS GROUP

Let \mathcal{L} denote the set of $O_K G$ -lattices L of KG such that (L, t_G) is in the principal genus, i.e., such that (L, t_G) is everywhere locally G -isometric to the unit form $(O_K G, t_G)$.

The following theorem characterizes \mathcal{L} .

(2.1) THEOREM. *Let $L \subset KG$ be an $O_K G$ -lattice. Then L is in \mathcal{L} if and only if $LL^* = O_K G$.*

Proof. Suppose that L is in \mathcal{L} . Then for all primes \mathfrak{p} the localization $L_{\mathfrak{p}}$ admits a generator $u_{\mathfrak{p}}$ with $u_{\mathfrak{p}} u_{\mathfrak{p}}^* = 1$. Thus $L_{\mathfrak{p}} L_{\mathfrak{p}}^* = u_{\mathfrak{p}} u_{\mathfrak{p}}^* O_{K_{\mathfrak{p}}} G = O_{K_{\mathfrak{p}}} G$ for all primes \mathfrak{p} .

Conversely, suppose that $LL^* = O_K G$. Then L is invertible as a fractional $O_K G$ -ideal, therefore projective over $O_K G$, and hence locally free. Write $L_p = u_p O_{K_p} G$ for all primes p . The following computation shows that L is self-dual with respect to t_G :

$$\begin{aligned} t_G(x, L_p) \subseteq O_{K_p} &\Leftrightarrow t_G(x, u_p g) \subseteq O_{K_p} && \text{for all } g \in G \\ &\Leftrightarrow t_G(u_p^* x, g) \subseteq O_{K_p} && \text{for all } g \in G \\ &\Leftrightarrow u_p^* x \in O_{K_p} G \\ &\Leftrightarrow u_p u_p^* x \in u_p O_{K_p} G = L_p \\ &\Leftrightarrow x \in L_p \end{aligned}$$

(note that $u_p u_p^*$ is a unit of $O_{K_p} G$). Thus L is self-dual. By [8, Corollary 2.4], we may conclude that L belongs to \mathcal{L} . ■

(2.2) COROLLARY. \mathcal{L} is a group with respect to multiplication.

Proof. Follows immediately from the condition $LL^* = O_K G$. ■

Let $KG^{(1)}$ be the subgroup of KG^* of units satisfying $uu^* = 1$. Note that $KG^{(1)}$ is the automorphism group of the G -form (KG, t_G) . The group $KG^{(1)}$ acts on \mathcal{L} by multiplication and its orbits are precisely the isometry classes in the principal genus.

(2.3) DEFINITION. The unitary class group $\text{UCl}(O_K G)$ is defined by the exact sequence

$$KG^{(1)} \xrightarrow{i} \mathcal{L} \rightarrow \text{UCl}(O_K G) \rightarrow 0,$$

where $i(u) = uO_K G$.

It follows from the considerations above that $\text{UCl}(O_K G)$ classifies the G -isometry classes of lattices in \mathcal{L} . It is known from general results (see, for instance, [14, Theorem 1.1]) that $\text{UCl}(O_K G)$ is a finite group. The canonical projection $\mathcal{L} \rightarrow \text{UCl}(O_K G)$ will be denoted by cl .

Remark. Using an equivariant version of the weak Hasse principle we can see that actually every G -form (M, b) in the principal genus can be embedded isometrically and equivariantly into (KG, t_G) and hence is G -isometric to some form (L, t_G) with L in \mathcal{L} . Therefore $\text{UCl}(O_K G)$ classifies in fact *all* the forms in the principal genus.

Now let N/K be a tame G -extension. Choose an equivariant isometry $f: (N, t_{N/K}) \rightarrow (KG, t_G)$ (this is possible by Bayer and Lenstra [1]). Then $f(A_{N/K})$ is self-dual with respect to t_G and, by tameness, is locally free over

$O_K G$. These conditions ensure that $f(A_{N/K})$ is in \mathcal{L} (see [8, Corollary 2.4]). Thus we can define

$$\rho_{N/K} = \text{ucl}(f(A_{N/K})).$$

Since f is unique up to multiplication by an element of $KG^{(1)}$, the definition of $\rho_{N/K}$ is independent of the choice of f .

The *realizable subset* $\text{RU}(O_K G)$ of $\text{UCl}(O_K G)$ is by definition

$$\text{RU}(O_K G) = \{\rho_{N/K} : N/K \text{ is a tame } G\text{-extension}\}.$$

It follows from the results in [6; 8, Theorem 4.1] that for $K = \mathbb{Q}$, the realizable subset $\text{RU}(\mathbb{Z}G)$ is reduced to the identity. It follows from examples contained in [2] that this need not be the case for general K . In the next section we shall investigate the nature of $\text{RU}(O_K G)$ for G of odd prime order l and K containing the l th roots of unity.

Remark. In [8], we associated to every tame G -extension N/K a canonical lattice $M_{N/K}$ in $O_K[G]$ such that $(M_{N/K}, t_G)$ is locally everywhere G -isometric to $(O_N, t_{N/K})$. From [8, Theorem 3.3], the invariant $\rho_{N/K}$ also measures the failure of $(O_N, t_{N/K})$ to be globally equivariantly isometric to $(M_{N/K}, t_G)$.

3. KUMMER EXTENSIONS OF PRIME DEGREE

Throughout this section we let l denote an odd prime number and G the cyclic group of order l . We shall also assume that the ground field K contains the l th roots of unity. Our first goal is to calculate for a G -extension N/K the invariant $\rho_{N/K}$ in $\text{UCl}(O_K G)$. Letting $N = K(\sqrt[l]{a})$ for a suitable $a \in K$, we shall express $\rho_{N/K}$ in terms of the \mathfrak{p} -valuations of a .

The following notation will be in the force henceforth.

- $O_{\mathfrak{p}}$ the \mathfrak{p} -adic completion of O_K .
- $O_{(l)}$ the semi-localization of O_K at l , i.e.,
the ring $\{x \in K \mid \text{ord}_{\mathfrak{p}}(x) \geq 0 \text{ for all } \mathfrak{p} \mid l\}$.
- \mathfrak{I} the group of ideals of K relatively prime to l .
- \mathfrak{l} the ideal generated in O_K by $(\zeta - 1)$, where ζ is a primitive l th root of unity.
- \hat{G} the character group of G .
- $\mathbb{Z}\hat{G}^+$ the subgroup of the group ring $\mathbb{Z}\hat{G}$ of elements fixed by the canonical involution $\chi \mapsto \chi^*$.
- e_{χ} the idempotent in KG corresponding to the character $\chi \in \hat{G}$.
- A the automorphism group of G .

- δ_r the automorphism of G given by $\delta_r(g) = g^r$
($r \not\equiv 0 \pmod{l}$).
- $t(r)$ the unique integer with $t(r) \equiv r \pmod{l}$
and $|t(r)| \leq (l-1)/2$.
- \bar{K} the algebraic closure of K .

In order to determine $\rho_{N/K}$ we will use a particular presentation of the unitary class group. Let $\mathfrak{g}: \mathbb{Z}\hat{G}/\mathbb{Z}\hat{G}^+ \rightarrow \mathfrak{I}$ be a homomorphism. We attach to \mathfrak{g} a lattice $L(\mathfrak{g})$ in KG by prescribing its local components:

$$L(\mathfrak{g})_{\mathfrak{p}} = \begin{cases} O_{\mathfrak{p}}G & \text{if } \mathfrak{p} \mid l, \\ \sum_{\chi} \mathfrak{g}(\chi) O_{\mathfrak{p}}e_{\chi} & \text{if } \mathfrak{p} \nmid l. \end{cases}$$

Note that $L(\mathfrak{g})L(\mathfrak{g})^* = O_KG$, since $\mathfrak{g}(\chi)\mathfrak{g}(\chi^*) = 1$. Hence $L(\mathfrak{g})$ represents a class $L_{\star}(\mathfrak{g})$ in $\text{UCl}(O_KG)$.

(3.1) THEOREM. *The above construction yields a presentation*

$$O_{(l)}G^{(1)} \xrightarrow{t} \text{Hom}(\mathbb{Z}\hat{G}/\mathbb{Z}\hat{G}^+, \mathfrak{I}) \xrightarrow{L_{\star}} \text{UCl}(O_KG) \rightarrow 0, \tag{1}$$

where $O_{(l)}G^{(1)}$ is the subgroup of elements u in $O_{(l)}G^{\times}$ satisfying $uu^* = 1$.

Proof. Let $u \in O_{(l)}G^{(1)}$ and write $u = \sum_{\chi} u_{\chi}e_{\chi}$. The map t is defined by $t(u)(\chi) = u_{\chi}O_K$. It is obvious from the definition of L that $\text{Im}(t) = \text{Ker}(L_{\star})$. Thus we shall only check the surjectivity of L_{\star} . The image of L_{\star} in $\text{UCl}(O_KG)$ consists precisely in the ideal classes that admit a representative J such that $O_{(l)}J = O_{(l)}G$. Starting out with an ideal I in KG with $I I^* = O_KG$, we shall show that there exists $u \in KG$ with $uu^* = 1$ and such that $J = uI$ fulfills the condition $O_{(l)}J = O_{(l)}G$.

Since the ring $O_{(l)}G$ is semi-local the ideal $IO_{(l)}$ is principal. Let $a \in KG$ be a generator for $IO_{(l)}$ and let $b = aa^*$. Since $I I^* O_{(l)} = bO_{(l)}G = O_{(l)}G$, the element b must be a unit in the ring $O_{(l)}G$. We shall show that there exists a unit c in $O_{(l)}G$ such that $b = cc^*$. Let $\varepsilon: KG \rightarrow K$ be the augmentation map. Since $\varepsilon(b) = \varepsilon(a)^2$ is a unit in $O_{(l)}$, replacing a by $a\varepsilon(a)^{-1}$ we may assume that $\varepsilon(a) = 1$.

Since l is odd, it is enough to show that b^l can be written in the form $b^l = cc^*$ for some unit c of $O_{(l)}G$. Using the well-known congruence $z^{-l} \equiv \varepsilon(z)^l \pmod{l}$ for $z \in O_KG$, we have $b^l \equiv 1 \pmod{l}$. Let $e_0 \in KG$ be the idempotent corresponding to the trivial character of G and choose an idempotent $e \in KG$ such that $e_0 + e + e^* = 1$ and $ee^* = 0$ (recall that K contains the l th roots of unity and therefore KG is split over K). Let $c = e_0 + b^l e + e^*$. Clearly $cc^* = b^l$. Write $b^l = 1 + lw$, with w in $O_{(l)}G$. With this notation we have $c = 1 + lew$, which shows that c is in $O_{(l)}G$, as required.

Write $l = 2k + 1$ and let $u = a^{-1}b^{-k}c$. By the construction of c we have $uu^* = 1$. Thus the ideal $J = uI$ is in the same class as I and, since b and c are units of $O_{(l)}G$, we have $JO_{(l)} = uaO_{(l)}G = O_{(l)}G$, as desired. ■

Let N/K be a tame G -extension and choose a primitive element $\alpha \in N$ such that $a = \alpha^l$ is in K and satisfies $a \equiv 1 \pmod{l}$ (this is always possible by tameness; see [12, Proposition 3.1.1]). Let $\psi \in \hat{G}$ be the character defined by $\psi(g) = \alpha^g/\alpha$.

(3.2) PROPOSITION. *Let $\mathfrak{f}: \hat{G} \rightarrow \mathfrak{A}$ be the map defined at the character $\chi = \psi'$ by the condition*

$$|\text{ord}_{\mathfrak{p}}(\mathfrak{f}(\psi')^l a^{lr})| \leq (l-1)/2 \quad \text{for all primes } \mathfrak{p}. \quad (2)$$

Then \mathfrak{f} induces a homomorphism $\mathbb{Z}\hat{G}/\mathbb{Z}\hat{G}^+ \rightarrow \mathfrak{A}$ and $L_(\mathfrak{f}) = \rho_{N/K}$.*

Proof. Let $\mathbf{r}: \bar{K} \otimes_K N \rightarrow \bar{K}G$ be the canonical homomorphism given by $\mathbf{r}(x \otimes y) = \sum_{g \in G} xy^g g^{-1}$. It is very easy to verify that \mathbf{r} is $\bar{K}G$ -equivalent and that it transforms the trace form $t_{N/K}$ on $\bar{K} \otimes_K N$ into the canonical standard form t_G on $\bar{K}G$. For an element $y \in N$ and a character $\chi \in \hat{G}$ we denote by $(y | \chi)$ the resolvent of y with respect to χ , that is,

$$(y | \chi) = \sum_{g \in G} \chi^*(g) y^g.$$

Clearly we have

$$\mathbf{r}(1 \otimes y) = \sum_{\chi \in \hat{G}} (y | \chi) e_{\chi},$$

where e_{χ} is the idempotent correspondent to the character χ . The resolvent $(y | \chi)$ has the property

$$(y | \chi)^g = \chi(g)(y | \chi).$$

In particular, $\alpha^{-r}(y | \psi^r)$ is invariant under G for all integers r , that is, it lies in the ground field K . Thus we can write

$$(A_{N/K} | \psi^r) = \mathfrak{f}(\psi^r) \alpha^{lr} \quad (3)$$

for some ideal $\mathfrak{f}(\psi^r)$ of K . Since \mathbf{r} is an isometry, $\mathbf{r}(O_N \otimes A_{N/K})$ is a locally free self-dual lattice in NG and by Theorem 2.2 it must satisfy the equality $\mathbf{r}(O_N \otimes A_{N/K}) \mathbf{r}(O_N \otimes A_{N/K})^* = O_N G$. This in turn implies $(A_{N/K} | \psi^r)(A_{N/K} | \psi^{*r}) = (1)$. By (3) we have $\mathfrak{f}(\psi^r) \mathfrak{f}(\psi^{*r}) = (1)$.

Let \mathfrak{p} be a prime of K above l . Since N/K is tame, the prime \mathfrak{p} is unramified and therefore $\mathbf{r}(O_N \otimes A_{N/K})_{\mathfrak{p}} = \mathbf{r}(O_N \otimes O_N)_{\mathfrak{p}} = \mathbf{r}(O_N)_{\mathfrak{p}} G$. Hence $(O_N)_{\mathfrak{p}}(A_{N/K} | \psi^r)_{\mathfrak{p}} = (O_N)_{\mathfrak{p}}$. Since α was chosen relatively prime to l , we must have $\mathfrak{f}(\chi)_{\mathfrak{p}} = (1)$. Hence $\mathfrak{f}(\chi)$ is relatively prime to l .

On the other hand, for a prime p not dividing l we have

$$\begin{aligned} \mathfrak{r}(1 \otimes A_{N/K})_{\mathfrak{p}} &= \sum_{\chi \in G} (A_{N/K} | \chi)_{\mathfrak{p}} e_{\chi} \\ &= \sum_{r \bmod l} \mathfrak{f}(\psi^r)_{\mathfrak{p}} \alpha^{(r)} e_{\psi^r}. \end{aligned}$$

Thus, letting $u = \sum_r \alpha^{(r)} e_{\psi^r}$, we have

$$\mathfrak{r}(1 \otimes A_{N/K}) = L(\mathfrak{f}) u.$$

This shows that \mathfrak{f} is a representative of the class of $\rho_{N/K}$ (observe that $u^{-1}\mathfrak{r}$ gives a G -isometry between $A_{N/K}$ and $L(\mathfrak{f})$).

We shall now show that \mathfrak{f} satisfies the inequality (2) (and therefore it is determined by this condition). On the one hand, since $A_{N/K}$ is an \mathcal{O}_N -ideal and is stable by G , we have $(A_{N/K} | \chi) \mathcal{O}_N \subseteq A_{N/K}$. On the other hand, by self-duality, $(A_{N/K} | \chi)(A_{N/K} | \chi^*) \mathcal{O}_N = \mathcal{O}_N$. Thus

$$A_{N/K}^{-1} \subseteq (A_{N/K} | \chi) \mathcal{O}_N \subseteq A_{N/K} \quad (4)$$

for all $\chi \in \hat{G}$. Let \mathfrak{P} be a prime of N . Taking \mathfrak{P} -valuations in (4), we have

$$-\text{ord}_{\mathfrak{P}}(A_{N/K}) \geq \text{ord}_{\mathfrak{P}}(A_{N/K} | \chi) \mathcal{O}_N \geq \text{ord}_{\mathfrak{P}}(A_{N/K}). \quad (5)$$

Using (3) we obtain the inequalities

$$-\text{ord}_{\mathfrak{P}}(A_{N/K}) \geq \text{ord}_{\mathfrak{P}}(\mathfrak{f}(\psi^r)) + t(r) \text{ord}_{\mathfrak{P}}(\alpha) \geq \text{ord}_{\mathfrak{P}}(A_{N/K}). \quad (6)$$

For \mathfrak{P} unramified over K we have $\text{ord}_{\mathfrak{P}}(A_{N/K}) = 0$ and $\text{ord}_{\mathfrak{P}}(a) = l \text{ord}_{\mathfrak{P}}(\alpha)$. Thus

$$l \text{ord}_{\mathfrak{P}}(\mathfrak{f}(\psi^r)) + t(r) \text{ord}_{\mathfrak{P}}(a) = 0.$$

This proves (2) for unramified p (observe that $\text{ord}_{\mathfrak{P}}(a) \equiv 0 \pmod{l}$ in this case).

For \mathfrak{P} ramified over K we have $\text{ord}_{\mathfrak{P}}(A_{N/K}) = -(l-1)/2$, $\text{ord}_{\mathfrak{P}}(a) = \text{ord}_{\mathfrak{P}}(\alpha)$, and $\text{ord}_{\mathfrak{P}}(\mathfrak{f}(\psi^r)) = l \text{ord}_{\mathfrak{P}}(\mathfrak{f}(\psi^r))$. Replacing these values in (6) shows (2) also in this case. ■

We shall now characterize the classes produced by the construction above. The automorphism group A acts in an obvious way on the groups appearing in (1) and it is not difficult to see that (1) is actually a presentation of $\text{UCl}(\mathcal{O}_K G)$ as A -modules. We define the *hermitian Stickelberger element* ϕ in $\mathbb{Z}A$ by

$$\phi = \sum_{\substack{r = -(l-1)/2 \\ r \neq 0}}^{(l-1)/2} r \delta_r^{-1}.$$

Note the resemblance of ϕ with the classical Stickelberger element

$$\theta = \sum_{r=1}^{l-1} r\delta_r^{-1}$$

(see [11, 12]). Note also that ϕ and θ are related by the congruence $\phi \equiv \theta \pmod{l}$.

Similarly, we define the *hermitian Stickelberger ideal* by

$$S = \frac{\phi}{l} \mathbb{Z}\Delta \cap \mathbb{Z}\Delta.$$

Some technical statements are needed to prove our main result in this section.

(3.3) LEMMA. Let $\mathfrak{g}: \hat{G} \rightarrow \mathfrak{F}$ be the map defined by

$$\text{ord}_v(\mathfrak{g}(\psi^r)) = \begin{cases} 1 & \text{if } r \cdot \text{ord}_v(a) \equiv 1 \pmod{l}, \\ 0 & \text{otherwise.} \end{cases}$$

Then

$$\mathfrak{g}^\phi(\psi^r) = \mathfrak{f}(\psi^r)^l a^{lr}. \quad (7)$$

Proof. By direct computation,

$$\begin{aligned} \text{ord}_v(\mathfrak{g}^\phi(\psi^r)) &= \sum_{s \in \mathfrak{F}_f} t(s) \text{ord}_v(\mathfrak{g}(\psi^{s^{-1}r})) \\ &= t(r \cdot \text{ord}_v(a)) \\ &= (t(r \cdot \text{ord}_v(a)) - t(r) \text{ord}_v(a)) + t(r) \text{ord}_v(a) \\ &= \text{ord}_v(\mathfrak{f}(\psi^r)^l a^{lr}). \quad \blacksquare \end{aligned}$$

Let $T \subset \mathbb{Z}\Delta$ be the subgroup generated by l and $\delta_r - r$ ($1 \leq r \leq l-1$). It can be easily verified that T is a $\mathbb{Z}\Delta$ -ideal.

(3.4) LEMMA. Let \mathfrak{f} and \mathfrak{g} be as above. Then

$$L_*(\mathfrak{f})^\alpha = L_*(\mathfrak{g}^{\phi\alpha}) \quad (8)$$

for all $\alpha \in T$.

Proof. It is sufficient to prove (8) for the generators of T . For $\alpha = l$, this follows from (7). Nox let $\alpha = \delta_s - s$. Applying α to (7), we have

$$\mathfrak{g}^{\phi\alpha}(\psi^r) = \mathfrak{f}^{l/s}(\psi^r) a^{l(r/s) - s(r)}.$$

Hence

$$\mathfrak{g}^{\phi s/l}(\psi^r) = \bar{f}^s(\psi^r) a^{(trs) - st(r))/l}$$

(observe that $t(rs) - st(r) \equiv 0 \pmod{l}$). Since $a \equiv 1 \pmod{l}$, the function $\psi^r \mapsto a^{(trs) - st(r)/l}$ lies in the kernel of L_* for all $1 \leq s \leq l-1$. Thus $L_*(\bar{f})^s = L_*(\mathfrak{g}^{\phi s/l})$. ■

(3.5) LEMMA. *The ideal $T \subset \mathbb{Z}A$ satisfies the equalities*

- (1) $[\mathbb{Z}A : T] = l$.
- (2) $\phi\mathbb{Z}A + T = \mathbb{Z}A$.
- (3) $S = (\phi/l) T$.

Proof. Let $c: A \rightarrow \mathbb{F}_l^\times$ be the character given by $c(\delta_r) \equiv r \pmod{l}$. By definition T is the kernel of the induced ring homomorphism $c: \mathbb{Z}A \rightarrow \mathbb{F}_l$. In particular T has index l in $\mathbb{Z}A$. By direct computation, we see that $c(\phi) = -1$. Hence $\phi\mathbb{Z}A + T = \mathbb{Z}A$, as required. This proves (1) and (2). By direct computation we see $\phi(\delta_r - r) \equiv 0 \pmod{l}$, thus $\phi T \subseteq lS$. Conversely, $lS \subseteq \phi\mathbb{Z}A \cap T = \phi T$ by (2). ■

We are now ready to state and prove our main theorem. Recall that $\text{RU}(O_K G)$ denotes the subset of $\text{UCL}(O_K G)$ of realizable classes.

(3.6) THEOREM. *Let $S = (\phi/l) \mathbb{Z}A \cap \mathbb{Z}A$. Then the map*

$$\begin{aligned} v: S \otimes_{\mathbb{Z}A} \text{Cl}(O_K G) &\rightarrow \text{UCL}(O_K G) \\ \gamma \otimes \text{cl}(I) &\mapsto \text{ucl}(I^\gamma) \end{aligned}$$

is well-defined and its image is $\text{RU}(O_K G)$. In particular, $\text{RU}(O_K G)$ is a subgroup of $\text{UCL}(O_K G)$.

Proof. Let I be a locally free lattice in KG and $\gamma \in S$. We shall see that I^γ defines a class in the unitary class group. Let $\sigma = \delta_{-1}$ (i.e., the automorphism of G given by group inversion). It is easy to see from the definition of ϕ that $(1 + \sigma)S = 0$, hence, for $\gamma \in S$, we have

$$\begin{aligned} I^\gamma (I^\gamma)^* I^{\gamma(1+\sigma)} \\ = O_K G. \end{aligned}$$

Similarly, for $u \in KG^\times$ we have

$$u^\gamma (u^\gamma)^* = 1. \tag{9}$$

This shows that the class of F in $\mathrm{UCl}(O_K G)$ depends solely on the class of I in $\mathrm{Cl}(O_K G)$. Therefore the correspondence $(\gamma, I) \mapsto I^\gamma$ induces a well-defined map

$$v: S \otimes_{\mathbb{Z}\mathcal{A}} \mathrm{Cl}(O_K G) \rightarrow \mathrm{UCl}(O_K G),$$

which is clearly a $\mathbb{Z}\mathcal{A}$ -homomorphism.

We shall show now that the realizable classes lie in the image of v . Let N/K be a tame G -extension and let $\mathfrak{f}: \mathbb{Z}\hat{G}/\mathbb{Z}\hat{G}^+ \rightarrow \mathfrak{F}$ be the homomorphism associated with N by Proposition 3.2. By Lemma 3.4 we have for all $\alpha \in T$ the following equality in $\mathrm{UCl}(O_K G)$:

$$\begin{aligned} L_\star(\mathfrak{f})^\alpha &= L_\star(\mathfrak{g}^{\phi\alpha/l}) \\ &= v(\mathrm{cl}(L(\mathfrak{g})) \otimes \phi\alpha/l). \end{aligned}$$

In particular, we have for all $\alpha \in T$

$$L_\star(\mathfrak{f})^\alpha \equiv 1 \pmod{\mathrm{Im}(v)},$$

and also,

$$\begin{aligned} L_\star(\mathfrak{f})^\phi &= v(\mathrm{cl}(L(\mathfrak{f})) \otimes \phi) \\ &\equiv 1 \pmod{\mathrm{Im}(v)}. \end{aligned}$$

Thus, by Lemma 3.5, part (2),

$$L_\star(\mathfrak{f}) = 1 \pmod{\mathrm{Im}(v)},$$

that is, the realizable classes are contained in the image of v .

We shall now show that $\mathrm{Im}(v)$ is contained in $\mathrm{RU}(O_K G)$. Since T has index l in $\mathbb{Z}\mathcal{A}$ (Lemma 3.5, part (1)) and $|\mathcal{A}| = l - 1$ is not divisible by l , the ideal T is locally free as a $\mathbb{Z}\mathcal{A}$ -module. By the Chinese Remainder Theorem, we may choose a single $\beta \in T$ such that

$$T \otimes \mathbb{Z}_p = \beta \mathbb{Z}_p \mathcal{A}$$

for all primes p dividing $|\mathrm{Cl}(O_K G)|$. By Lemma 3.5, part (3), we have $S = (\phi/l) T$ and therefore all the elements of $\mathrm{Cl}(O_K G) \otimes_{\mathbb{Z}\mathcal{A}} S$ can be written in the form

$$\mathrm{cl}(L(\mathfrak{g})) \otimes (\phi\beta/l), \tag{10}$$

with $\mathfrak{g}: \mathbb{Z}\hat{G} \rightarrow \mathfrak{F}$.

Let I represent a class in $\mathrm{Im}(v)$. By (10) we may assume

$$I = L(\mathfrak{g}^{\phi\beta/l})$$

for some $g \in \text{Hom}(\mathbb{Z}\hat{G}, \mathfrak{I})$. For each character $\chi \in \hat{G}$ we choose a prime ideal $\mathfrak{h}(\chi)$ in the ray class of $\mathfrak{g}^{\beta}(\chi)$ modulo \mathfrak{l}' . Since there are infinitely many such primes, we may assume that the $\mathfrak{h}(\chi)$ are all distinct. Thus we have

$$\mathfrak{f}' u^{\phi} = \mathfrak{h}^{\phi}, \tag{11}$$

where $\mathfrak{f} = \mathfrak{g}^{\phi\beta/l} \in \text{Hom}(\mathbb{Z}\hat{G}/\mathbb{Z}\hat{G}^+, \mathfrak{I})$ and $u \in \text{Hom}(\mathbb{Z}\hat{G}, K^{\times})$ has the property $u(\chi) \equiv 1 \pmod{\mathfrak{l}'}$ for all $\chi \in \hat{G}$.

Let $\psi \in \hat{G}$ be a fixed generator and define $v: \hat{G} \rightarrow K^{\times}$ by

$$v(\psi^r) = u^{\phi(\delta_r - r(r))l}(\psi) \tag{12}$$

for $r \neq 0$ and $v(1) = 1$. Note that $\phi(\delta_r - r(r)) \equiv 0 \pmod{l}$ and that $v(\psi^r) v(\psi^{*r}) = 1$ by (9). Letting $a = u^{\phi}(\psi)$ we can write (12) in the form

$$u^{\phi}(\psi^r) = v(\psi^r)^l a^{(r)},$$

and by substituting in (11) we obtain

$$(v\mathfrak{f})(\psi^r)^l a^{(r)} = \mathfrak{h}^{\phi}(\psi^r). \tag{13}$$

Now, by construction of h we have

$$\text{ord}_{\mathfrak{p}}(\mathfrak{h}^{\phi}(\psi^r)) = \begin{cases} t(s) & \text{if } \mathfrak{h}(\psi^m) = \mathfrak{p} \text{ with } sm \equiv r \pmod{l} \\ 0 & \text{otherwise.} \end{cases} \tag{14}$$

This shows that a is not an l th power in K (otherwise, by (13), $\text{ord}_{\mathfrak{p}}(\mathfrak{h}^{\phi}(\psi^r))$ would be always divisible by l).

Let $\alpha = \sqrt[l]{a}$ and let $N = K(\alpha)$. Let G act on N by $\alpha^g = \psi(g)\alpha$. Since $a \equiv 1 \pmod{\mathfrak{l}'}$, the extension N/K is tame (see [12, Proposition 3.1.1]). From (14) we obtain the inequality $|\text{ord}_{\mathfrak{p}}(\mathfrak{h}^{\phi}(\psi^r))| \leq (l-1)/2$. By (13) and Proposition 3.2 we conclude that $L_*(v\mathfrak{f}) = \rho_{N/K}$. Note that since v satisfies the condition $v(\chi) \equiv 1 \pmod{l}$, we have

$$\sum_{\chi \in \hat{G}} v(\chi) e_{\chi} \in \mathcal{O}_{(l)}G^{(1)}.$$

Thus $L_*(v) = 1$ and therefore $L_*(\mathfrak{f}) = \rho_{N/K}$. ■

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