

Lecture Notes

Lecture 1 (*Jan. 14*) Quiver: $Q = (Q_0, Q_1)$. $\text{Rep}_k(Q)$: category of finite-dimensional representations of Q over a field k . For $s \in Q_0$, let V_s be the representation with k at vertex s and 0's elsewhere. The V_s 's are the simple objects of $\text{Rep}_k(Q)$.

Each $M \in \text{Rep}_k(Q)$ has a *dimension vector* $\underline{\dim} M : Q_0 \rightarrow \mathbb{Z}_{\geq 0}$. Given $\mathbf{d} : Q_0 \rightarrow \mathbb{Z}_{\geq 0}$, form the moduli space of representations with dimension vector \mathbf{d} :

$$\text{Rep}_{\mathbf{d}}(Q) := \prod_{(s \rightarrow t) \in Q_1} \text{Hom}(k^{\mathbf{d}(s)}, k^{\mathbf{d}(t)}).$$

This is acted on by the groups

$$G_{\mathbf{d}} := \prod_{s \in Q_0} GL_{\mathbf{d}(s)}(k) \quad \text{and} \quad \bar{G}_{\mathbf{d}} := G_{\mathbf{d}} / (\text{diagonal copy of } k^{\times}).$$

The $G_{\mathbf{d}}$ -action on $\text{Rep}_{\mathbf{d}}(Q)$ factors through $\bar{G}_{\mathbf{d}}$. There is a natural bijection

$$\{G_{\mathbf{d}}\text{- or } \bar{G}_{\mathbf{d}}\text{-orbits on } \text{Rep}_{\mathbf{d}}(Q)\} \longleftrightarrow \{\text{isom. classes of reps of } Q \text{ with dim vector } \mathbf{d}\}$$

Lecture 2 (*Jan. 16*) Rouquier notes §3.3: ADE classification of graphs with positive-definite quadratic form. The quadratic form of an undirected graph $\Gamma = (\Gamma_0, \Gamma_1)$ is defined to be the form q on $\mathbb{R}\Gamma_0$ given by

$$q \left(\sum_{s \in \Gamma_0} x_s s \right) = \sum_{s \in \Gamma_0} x_s^2 - \sum_{(s-t) \in \Gamma_1} x_s x_t.$$

For an ADE quiver, define a *positive root* to be a vector $\mathbf{x} = \sum x_s s$ with $x_s \in \mathbb{Z}_{\geq 0}$ such that $q(\mathbf{x}) = 1$.

Lectures 3–4 (*Jan. 21, 23*) Rouquier notes §3.4: Gabriel's Theorem. $\text{Rep}_k(Q)$ contains finitely many isomorphism classes of indecomposables if and only if Q is an ADE quiver. In that case, the isomorphism classes of indecomposables are in bijection with the *positive roots*.

Proof sketch (\implies). If Q has finitely many indecomposables, then the quadratic form q of the underlying graph is positive-definite, so Q is an ADE quiver.

Proof sketch (\impliedby). If V is indecomposable and has dimension vector \mathbf{d} , then V corresponds to a dense orbit in $\text{Rep}_{\mathbf{d}}(Q)$, and $q(\mathbf{d}) = 1$. Since there are only finitely many positive roots, there are only finitely many indecomposables.

Both parts of the proof use the following *Euler form* (recall that $\text{Ext}^{\geq 2} = 0$ on $\text{Rep}(Q)$):

$$\langle V, W \rangle = \sum_{r \geq 0} (-1)^r \text{Ext}^r(V, W) = \dim \text{Hom}(V, W) - \dim \text{Ext}^1(V, W),$$

along with the explicit formula

$$\langle V, W \rangle = \sum_{s \in Q_0} (\dim V_s)(\dim W_s) - \sum_{(s \rightarrow t) \in Q_1} (\dim V_s)(\dim W_t).$$

For an ADE quiver, we denote the indecomposable objects of $\text{Rep}_k(Q)$ as follows:

$$\begin{aligned} \{\text{positive roots}\} &\longleftrightarrow \{\text{indecomposables}\} \\ \gamma &\longleftrightarrow M(\gamma) \end{aligned}$$

If γ is a vector corresponding to a vertex t (i.e., $\gamma = \sum x_s s$ with $x_s = \delta_{st}$), then $M(\gamma)$ is the simple representation V_t .

Lecture 5 (*Jan. 26*) Rouquier notes §3.2: Hall algebra of a finitary category. If \mathcal{A} is finitary (i.e. all Hom- and Ext¹-groups are finite sets), then $\mathcal{H}_{\mathcal{A}}$ is the \mathbb{C} -vector space with basis {isomorphism classes of objects of \mathcal{A} }, and multiplication given by

$$[M] * [N] = \sum_{[L]} F_{M,N}^L [L]$$

where $F_{M,N}^L$ is the number of subobjects $L' \subset L$ such that $L' \cong N$ and $L/L' \cong M$.

Example. Let \mathcal{A} be the category of finite-dimensional vector spaces over a finite field $k = \mathbb{F}_q$. Then

$$[k^n] * [k^m] = \binom{m+n}{m}_q [k^{m+n}] \quad \text{and} \quad [k]^n = [n]_q! [k^n].$$

Here the q -binomial coefficient and the q -factorial use the *quantum integers* $[n]_q := \frac{q^n - 1}{q - 1}$.

Lectures 6–7 (*Jan. 28, 30*) General background on abstract root systems, semisimple Lie algebras, and quantum groups. Notational convention from now on:

$$\Phi \text{ is root system,} \quad \Phi^+ \subset \Phi \text{ is the set of positive roots,} \quad \Delta \subset \Phi^+ \text{ is the set of simple roots}$$

Given the data $\Phi \supset \Phi^+ \supset \Delta$, the associated *generic quantum group* is

$$U_v(\mathfrak{g}) : \text{a } \mathbb{Q}(v)\text{-algebra generated by } K_{\alpha}^{\pm 1}, E_{\alpha}, F_{\alpha}, \text{ with } \alpha \in \Delta.$$

Its definition involves the v -quantum numbers $[[n]]_v = \frac{v^n - v^{-n}}{v - v^{-1}}$. The *Lusztig integral form* is

$$\begin{aligned} U_{L,v}(\mathfrak{g}) : \text{the } \mathbb{Z}[v, v^{-1}]\text{-subalgebra of } U_v(\mathfrak{g}) \text{ generated by } K_{\alpha}^{\pm 1} \text{ and by the "divided powers"} \\ E_{\alpha}^{(n)} := \frac{E_{\alpha}^n}{[[n]]_v!}, \quad F_{\alpha}^{(n)} := \frac{F_{\alpha}^n}{[[n]]_v!}. \end{aligned}$$

Sometimes, it is convenient to define $U_{L,v}$ as a $\mathbb{Q}[v, v^{-1}]$ -algebra instead. (There is also a *De Concini–Kac integral form* that we will not study.) Given $q \in \mathbb{C}^{\times}$, the *specialized quantum group* is defined to be

$$U_q(\mathfrak{g}) = \mathbb{C} \otimes_{\mathbb{Z}[v, v^{-1}]} U_{L,v}(\mathfrak{g}),$$

where \mathbb{C} is made into a $\mathbb{Z}[v, v^{-1}]$ -module via $v \mapsto q$. The *positive parts*

$$U_v^+(\mathfrak{g}), \quad U_{L,v}^+(\mathfrak{g}), \quad U_q^+(\mathfrak{g})$$

are defined to be the subalgebras generated by just the E_{α} 's (or by the $E_{\alpha}^{(n)}$'s in the latter two cases).

Lectures 8–12 (Feb. 2–11) Ringel’s Theorem. Let $\Phi \supset \Phi^+ \supset \Delta$ be a simply-laced root system. Let Q be a quiver whose underlying graph is the Dynkin diagram of that root system. In particular, the set of vertices Q_0 is identified with Δ . Let $k = \mathbb{F}_q$. Endow the Hall algebra $\mathcal{H}_{\text{Rep}_k(Q)}$ with a modified product:

$$[M] \cdot [N] = q^{\langle M, N \rangle / 2} [M] * [N].$$

Ringel’s Theorem. There is a unique ring isomorphism

$$U_{\sqrt{q}}^+(\mathfrak{g}) \xrightarrow{\sim} (\mathcal{H}_{\text{Rep}_k(Q)}, \cdot) \quad \text{sending } E_\alpha \text{ to } [V_\alpha].$$

In the proof, we need notation for arbitrary objects of $\text{Rep}(Q)$. By Gabriel’s theorem, each $\gamma \in \Phi^+$ corresponds to an indecomposable object $M(\gamma)$. (If $\gamma \in \Delta$, then $M(\gamma) \cong V_\gamma$ is simple.) So an arbitrary representation has the form

$$M(\mathbf{n}) := \bigoplus_{\gamma \in \Phi^+} M(\gamma)^{\oplus \mathbf{n}(\gamma)} \quad \text{for some } \mathbf{n} : \Phi^+ \rightarrow \mathbb{Z}_{\geq 0}.$$

Proof sketch. See Rouquier’s notes §4.2.3.

1. Construct a ring homomorphism $\phi : U_{\sqrt{q}}^+(\mathfrak{g}) \rightarrow (\mathcal{H}_{\text{Rep}_k(Q)}, \cdot)$. This comes down to checking that the $[V_\alpha]$ ’s satisfy the quantum Serre relations. That, in turn, is a calculation in the Hall algebra of the quiver $\bullet \longrightarrow \bullet$.
2. Show that Φ^+ admits an ordering $\{\gamma_1, \dots, \gamma_N\}$ so that $\text{Hom}(M(\gamma_i), M(\gamma_j)) = 0$ if $j < i$. On the other hand, $\text{Ext}^1(M(\gamma_i), M(\gamma_j)) = 0$ if $j \geq i$.
3. Let $\alpha_1, \dots, \alpha_n$ be the order on Δ induced by that on Φ^+ . Then

$$[M(\mathbf{n})] = [M(\gamma_1)^{\mathbf{n}(\gamma_1)}] * \dots * [M(\gamma_N)^{\mathbf{n}(\gamma_N)}] \quad \text{and} \quad [M(\alpha_n)^{\mathbf{d}(\alpha_n)}] * \dots * [M(\alpha_1)^{\mathbf{d}(\alpha_1)}] = \sum_{\substack{\mathbf{n} : \Phi^+ \rightarrow \mathbb{Z}_{\geq 0} \\ \underline{\dim}(\mathbf{n}) = \mathbf{d}}} [M(\mathbf{n})].$$

4. Use the previous step to show that ϕ is surjective. Then, equip both $U_v^+(\mathfrak{g})$ and $\mathcal{H}_{\text{Rep}_k(Q)}$ with a grading by $\mathbb{Z}^{|\Delta|} = \{\mathbf{d} : \Delta \rightarrow \mathbb{Z}\}$ by declaring

$$\deg E_\alpha = \underline{\dim} V_\alpha, \quad \deg[M] = \underline{\dim} M.$$

The map ϕ preserves this grading. Moreover, corresponding graded components of the two algebras have the same dimension:

$$\dim U_v^+(\mathfrak{g})^{\mathbf{d}} = |\{\mathbf{n} : \Phi^+ \rightarrow \mathbb{Z}_{\geq 0} \mid \underline{\dim}(\mathbf{n}) = \mathbf{d}\}| = \dim(\mathcal{H}_{\text{Rep}_k(Q)})^{\mathbf{d}}.$$

So ϕ is an isomorphism.

Lectures 13–14 (Feb. 13, 18) Lusztig’s geometric Hall algebra. Some new notation:

$$\{G_{\mathbf{d}}\text{-orbits on } \text{Rep}_{\mathbf{d}}(Q)\} \longleftrightarrow \{\text{reps of } Q \text{ of dimension } \mathbf{d}\} \longleftrightarrow \{\mathbf{n} : \Phi^+ \rightarrow \mathbb{Z}_{\geq 0} \mid \underline{\dim}(\mathbf{n}) = \mathbf{d}\}$$

$$\mathcal{O}_{\mathbf{n}} \longleftarrow \hspace{10em} \longrightarrow \mathbf{n}$$

Let

$$F_{\mathbf{d}}(Q) = \text{space of } G_{\mathbf{d}}\text{-invariant functions } \text{Rep}_{\mathbf{d}}(Q) \rightarrow \mathbb{C},$$

and let $\chi_{\mathbf{n}} : \text{Rep}_{\mathbf{d}}(Q) \rightarrow \mathbb{C}$ be the characteristic function of $\mathcal{O}_{\mathbf{n}}$. Clearly $\{\chi_{\mathbf{n}} \mid \underline{\dim}(\mathbf{n}) = \mathbf{d}\}$ is a basis for $F_{\mathbf{d}}(Q)$. There is a vector space isomorphism

$$F(Q) := \bigoplus_{\mathbf{d} : \Delta \rightarrow \mathbb{Z}_{\geq 0}} F_{\mathbf{d}}(Q) \xrightarrow{\sim} \mathcal{H}_{\text{Rep}(Q)} \quad \text{that sends } \chi_{\mathbf{n}} \mapsto [M(\mathbf{n})]. \quad (1)$$

We will now give $F(Q)$ a ring structure that makes this into a ring isomorphism. Let $\mathbf{d}', \mathbf{d}'' : \Delta \rightarrow \mathbb{Z}_{\geq 0}$ be two dimension vectors, and let $\mathbf{d} = \mathbf{d}' + \mathbf{d}''$.

Any point $\phi = (\phi_{\alpha \rightarrow \beta})_{(\alpha \rightarrow \beta) \in Q_1} \in \text{Rep}_{\mathbf{d}}(Q)$ determines a representation $M(\phi)$ of Q with $\underline{\dim} M(\phi) = \mathbf{d}$. Recall that a point $g = (g_{\alpha})_{\alpha \in \Delta}$ acts on ϕ by $g \cdot \phi = (g_{\beta} \phi_{\alpha \rightarrow \beta} g_{\alpha}^{-1})$. Consider the diagram

$$\text{Rep}_{\mathbf{d}'}(Q) \times \text{Rep}_{\mathbf{d}''}(Q) \xleftarrow{\varpi} \mathbf{E}' \xrightarrow{\varpi'} \mathbf{E}'' \xrightarrow{\varpi''} \text{Rep}_{\mathbf{d}}(Q)$$

where the intermediate spaces are

$$\begin{aligned} \mathbf{E}'' &= \{(\phi, M) \mid \phi \in \text{Rep}_{\mathbf{d}}(Q), M \subset M(\phi) \text{ a subrepresentation of dimension } \mathbf{d}'\} \\ &= \{((\phi_{\alpha \rightarrow \beta}), (M_{\alpha})) \mid (\phi_{\alpha \rightarrow \beta}) \in \text{Rep}_{\mathbf{d}}(Q), M_{\alpha} \subset k^{\mathbf{d}(\alpha)}, \dim M_{\alpha} = \mathbf{d}''(\alpha), \phi_{\alpha \rightarrow \beta}(M_{\alpha}) \subset M_{\beta}\}, \\ \mathbf{E}' &= \{(\phi, M, \mu'', \mu') \mid (\phi, M) \in \mathbf{E}'', \mu'' \text{ a choice of basis for } M, \mu' \text{ a choice of basis for } M(\phi)/M\} \\ &= \{((\phi_{\alpha \rightarrow \beta}), (M_{\alpha}), (\mu''_{\alpha}), (\mu'_{\alpha})) \mid ((\phi_{\alpha \rightarrow \beta}), (M_{\alpha})) \in \mathbf{E}'', \mu''_{\alpha} : M_{\alpha} \xrightarrow{\sim} k^{\mathbf{d}''(\alpha)}, \mu'_{\alpha} : k^{\mathbf{d}(\alpha)}/M_{\alpha} \xrightarrow{\sim} k^{\mathbf{d}'(\alpha)}\}, \end{aligned}$$

and the maps are given by

$$(\psi', \psi'') \xleftarrow{\varpi^{-1}} (\phi, M, \mu'', \mu') \xrightarrow{\varpi'} (\phi, M) \xrightarrow{\varpi''} \phi$$

where $\psi' = (\psi'_{\alpha \rightarrow \beta}) \in \text{Rep}_{\mathbf{d}'}(Q)$ and $\psi'' = (\psi''_{\alpha \rightarrow \beta}) \in \text{Rep}_{\mathbf{d}''}(Q)$ are given by

$$\psi'_{\alpha \rightarrow \beta} : k^{\mathbf{d}'(\alpha)} \xrightarrow{(\mu'_{\alpha})^{-1}} \frac{k^{\mathbf{d}(\alpha)}}{M_{\alpha}} \xrightarrow{\phi_{\alpha \rightarrow \beta}} \frac{k^{\mathbf{d}(\beta)}}{M_{\beta}} \xrightarrow{\mu'_{\beta}} k^{\mathbf{d}'(\beta)}, \quad \psi'' : k^{\mathbf{d}''(\alpha)} \xrightarrow{(\mu''_{\alpha})^{-1}} M_{\alpha} \xrightarrow{\phi_{\alpha \rightarrow \beta}} M_{\beta} \xrightarrow{\mu''_{\beta}} k^{\mathbf{d}''(\beta)}$$

Group actions: we have $G_{\mathbf{d}'} \times G_{\mathbf{d}''} \curvearrowright \text{Rep}_{\mathbf{d}'}(Q) \times \text{Rep}_{\mathbf{d}''}(Q)$ and $G_{\mathbf{d}} \curvearrowright \text{Rep}_{\mathbf{d}}(Q)$ as usual, and

$$\begin{aligned} G_{\mathbf{d}'} \times G_{\mathbf{d}''} \times G_{\mathbf{d}} &\curvearrowright \mathbf{E}' & (g', g'', g) \cdot (\phi, M, \mu'', \mu') &= ((g_{\beta} \phi_{\alpha \rightarrow \beta} g_{\alpha}^{-1}), (g_{\alpha} M_{\alpha}), (g''_{\alpha} \mu''_{\alpha} g_{\alpha}^{-1}), (g'_{\alpha} \mu'_{\alpha} g_{\alpha}^{-1})), \\ G_{\mathbf{d}} &\curvearrowright \mathbf{E}'' & g \cdot (\phi, M) &= ((g_{\beta} \phi_{\alpha \rightarrow \beta} g_{\alpha}^{-1}), (g_{\alpha} M_{\alpha})). \end{aligned}$$

Given a vector space V , let $\mathbb{B}(V)$ be the space of all bases for it. $GL(V)$ acts on $\mathbb{B}(V)$ freely and transitively. Let $\text{Gr}(r, n)$ denote the Grassmannian of r -dimensional subspaces of k^n . The fibers of ϖ and ϖ' are smooth:

$$\begin{aligned} \varpi^{-1}(\psi', \psi'') &\cong \prod_{\alpha \in \Delta} \text{Gr}(\mathbf{d}''(\alpha), \mathbf{d}(\alpha)) \times \prod_{\alpha \in \Delta} \mathbb{B}(M_{\alpha}) \times \prod_{\alpha \in \Delta} \mathbb{B}(k^{\mathbf{d}(\alpha)}/M_{\alpha}) \times \prod_{(\alpha \rightarrow \beta) \in Q_1} \mathbb{A}^{\mathbf{d}'(\alpha)\mathbf{d}''(\beta)}, \\ (\varpi')^{-1}(\phi, M) &\cong \prod_{\alpha \in \Delta} \mathbb{B}(M_{\alpha}) \times \prod_{\alpha \in \Delta} \mathbb{B}(k^{\mathbf{d}(\alpha)}/M_{\alpha}) \end{aligned}$$

$G_{\mathbf{d}}$ preserves the fibers of ϖ . $G_{\mathbf{d}'} \times G_{\mathbf{d}''}$ acts freely on \mathbf{E}' , and ϖ' is the quotient map by that action.

Convolution of functions. Given $f' \in F_{\mathbf{d}'}(Q)$, $f'' \in F_{\mathbf{d}''}(Q)$, let $f' \times f'' : \text{Rep}_{\mathbf{d}'}(Q) \times \text{Rep}_{\mathbf{d}''}(Q) \rightarrow \mathbb{C}$ be the function $(\psi', \psi'') \mapsto f'(\psi')f''(\psi'')$. Below, we use “pullback” notation for functions: “ μ^*h ” simply means $h \circ \mu$. We define the convolution product $f' * f'' \in F_{\mathbf{d}}(Q)$ by

$$(f' * f'')(\phi) = \sum_{(\phi, M) \in (\varpi'')^{-1}(\phi)} \tilde{f}(\phi, M) \quad \text{where } \tilde{f} : \mathbf{E}'' \rightarrow \mathbb{C} \text{ is the unique function such that } \varpi'^* \tilde{f} = \varpi^*(f' \times f'').$$

Just by unwinding the definitions, one can show that

$$\chi_{\mathbf{n}'} * \chi_{\mathbf{n}''} = \sum_{\mathbf{n} : \Phi^+ \rightarrow \mathbb{Z}_{\geq 0}} F_{M(\mathbf{n}'), M(\mathbf{n}'')}^{M(\mathbf{n})} \chi_{\mathbf{n}}, \quad (2)$$

making (1) into a ring isomorphism.

Addendum. There is another way to modify the Hall algebra from the one discussed earlier. Let M and N be representations of the quiver Q , and let $\mathbf{d}' = \underline{\dim} M$ and $\mathbf{d}'' = \underline{\dim} N$. Define a new pairing as follows:

$$\langle\langle M, N \rangle\rangle = \sum_{\alpha \in \Delta} (\dim M_\alpha)(\dim N_\alpha) + \sum_{(\alpha \rightarrow \beta) \in Q_1} (\dim M_\alpha)(\dim N_\beta) = \sum_{\alpha \in \Delta} \mathbf{d}'(\alpha) \mathbf{d}''(\alpha) + \sum_{(\alpha \rightarrow \beta) \in Q_1} \mathbf{d}'(\alpha) \mathbf{d}''(\beta).$$

(This differs from the Euler form by the sign on the second term.) Let \diamond be the product given by

$$[M] \diamond [N] = q^{-\langle\langle M, N \rangle\rangle/2} [M] * [N].$$

Ringel's Theorem Redux. There is a unique ring isomorphism

$$U_{\sqrt{q}}^+(\mathfrak{g}) \xrightarrow{\sim} (\mathcal{H}_{\text{Rep}_k(Q)}, \diamond) \quad \text{sending } E_\alpha \text{ to } [V_\alpha].$$

The proof is the same as before; one just needs to do a Hall algebra computation using \diamond instead of \cdot .

The two versions of Ringel's theorem together imply that there is a ring isomorphism

$$(\mathcal{H}_{\text{Rep}_k(Q)}, \cdot) \xrightarrow{\sim} (\mathcal{H}_{\text{Rep}_k(Q)}, \diamond).$$

This cannot be the identity map (although it does send $[V_\alpha]$ to itself).

Proposition. The ring isomorphism $(\mathcal{H}_{\text{Rep}_k(Q)}, \cdot) \xrightarrow{\sim} (\mathcal{H}_{\text{Rep}_k(Q)}, \diamond)$ is given by

$$[M(\mathbf{n})] \mapsto q^{(-\dim \mathcal{O}_{\mathbf{n}} - \dim \text{End}(M(\mathbf{n})) + \dim M(\mathbf{n}))/2} [M(\mathbf{n})],$$

where $\dim M(\mathbf{n})$ means the total dimension $\sum_{\alpha \in \Delta} \dim M(\mathbf{n})_\alpha$.

Proof. As a first step, we claim that if $\mathbf{d} = \underline{\dim}(\mathbf{n})$, then

$$-\dim \mathcal{O}_{\mathbf{n}} - \dim \text{End}(M(\mathbf{n})) + \dim M(\mathbf{n}) = \sum_{\alpha \in \Delta} \mathbf{d}(\alpha) - \sum_{\alpha \in \Delta} \mathbf{d}(\alpha)^2. \quad (3)$$

Let $\gamma_1, \dots, \gamma_N$ be the ordering on Φ^+ that came up in the proof of Ringel's theorem. Write $M(\mathbf{n})$ as $\bigoplus_{i=1}^N M(\gamma_i)^{\mathbf{n}(\gamma_i)}$. Let $\mathbf{d}_i = \underline{\dim} M(\gamma_i)^{\mathbf{n}(\gamma_i)}$, so $\mathbf{d} = \sum_{i=1}^N \mathbf{d}_i$. We have

$$\text{End}(M(\mathbf{n})) \cong \bigoplus_{i,j} \text{Hom}(M(\gamma_i)^{\mathbf{n}(\gamma_i)}, M(\gamma_j)^{\mathbf{n}(\gamma_j)}) = \bigoplus_{i \leq j} \text{Hom}(M(\gamma_i)^{\mathbf{n}(\gamma_i)}, M(\gamma_j)^{\mathbf{n}(\gamma_j)}).$$

Since $\text{Ext}^1(M(\gamma_i), M(\gamma_j)) = 0$ for $i \leq j$, we have

$$\begin{aligned} \dim \text{End } M(\mathbf{n}) &= \sum_{i \leq j} (\dim \text{Hom}(M(\gamma_i)^{\mathbf{n}(\gamma_i)}, M(\gamma_j)^{\mathbf{n}(\gamma_j)}) - \dim \text{Ext}^1(M(\gamma_i)^{\mathbf{n}(\gamma_i)}, M(\gamma_j)^{\mathbf{n}(\gamma_j)})) \\ &= \sum_{i \leq j} \langle \mathbf{d}_i, \mathbf{d}_j \rangle = \sum_{\substack{i \leq j \\ \alpha \in \Delta}} \mathbf{d}_i(\alpha) \mathbf{d}_j(\alpha) - \sum_{\substack{i \leq j \\ (\alpha \rightarrow \beta) \in Q_1}} \mathbf{d}_i(\alpha) \mathbf{d}_j(\beta). \end{aligned}$$

On the other hand, according to [1, Proposition 6.6], we have

$$\dim \mathcal{O}_{\mathbf{n}} = \sum_{\substack{i < j \\ \alpha \in \Delta}} \mathbf{d}_i(\alpha) \mathbf{d}_j(\alpha) + \sum_{\substack{i \leq j \\ (\alpha \rightarrow \beta) \in Q_1}} \mathbf{d}_i(\alpha) \mathbf{d}_j(\beta).$$

Note that the first sum has $i < j$, while the second has $i \leq j$. Note also that $\sum_{i < j; \alpha} \mathbf{d}_i(\alpha) \mathbf{d}_j(\alpha) = \sum_{i < j; \alpha} \mathbf{d}_j(\alpha) \mathbf{d}_i(\alpha) = \sum_{i > j; \alpha} \mathbf{d}_i(\alpha) \mathbf{d}_j(\alpha)$. Therefore,

$$\begin{aligned}
\dim \mathcal{O}_{\mathbf{n}} + \dim \text{End}(M(\mathbf{n})) &= \sum_{\substack{i>j \\ \alpha \in \Delta}} \mathbf{d}_i(\alpha) \mathbf{d}_j(\alpha) + \sum_{\substack{i \leq j \\ (\alpha \rightarrow \beta) \in Q_1}} \mathbf{d}_i(\alpha) \mathbf{d}_j(\beta) + \sum_{\substack{i \leq j \\ \alpha \in \Delta}} \mathbf{d}_i(\alpha) \mathbf{d}_j(\alpha) - \sum_{\substack{i \leq j \\ (\alpha \rightarrow \beta) \in Q_1}} \mathbf{d}_i(\alpha) \mathbf{d}_j(\beta) \\
&= \sum_{i,j,\alpha} \mathbf{d}_i(\alpha) \mathbf{d}_j(\alpha) = \sum_{\alpha \in \Delta} \left(\sum_{i=1}^N \mathbf{d}_i(\alpha) \right) \left(\sum_{j=1}^N \mathbf{d}_j(\alpha) \right) = \sum_{\alpha \in \Delta} \mathbf{d}(\alpha)^2.
\end{aligned}$$

This proves (3).

Now let $\mathbf{n}', \mathbf{n}'' : \Phi^+ \rightarrow \mathbb{Z}_{\geq 0}$. Let $\mathbf{d}' = \underline{\dim}(\mathbf{n}')$ and $\mathbf{d}'' = \underline{\dim}(\mathbf{n}'')$, and let $\mathbf{d} = \mathbf{d}' + \mathbf{d}''$. Using (3), we see that the proposition is equivalent to the assertion that

$$\begin{aligned}
q^{(\sum \mathbf{d}'(\alpha) - \sum \mathbf{d}'(\alpha)^2)/2} [M(\mathbf{n}')] \diamond q^{(\sum \mathbf{d}''(\alpha) - \sum \mathbf{d}''(\alpha)^2)/2} [M(\mathbf{n}'')] \\
= q^{\langle \mathbf{d}', \mathbf{d}'' \rangle / 2} \sum F_{M(\mathbf{n}'), M(\mathbf{n}'')}^{M(\mathbf{n})} q^{(\sum \mathbf{d}(\alpha) - \sum \mathbf{d}(\alpha)^2)/2} [M(\mathbf{n})]
\end{aligned}$$

By the definition of \diamond , the left-hand side above is equal to

$$q^{(\sum \mathbf{d}'(\alpha) - \sum \mathbf{d}'(\alpha)^2 + \sum \mathbf{d}''(\alpha) - \sum \mathbf{d}''(\alpha)^2)/2} q^{-\langle \mathbf{d}', \mathbf{d}'' \rangle / 2} \sum F_{M(\mathbf{n}'), M(\mathbf{n}'')}^{M(\mathbf{n})} [M(\mathbf{n})].$$

Comparing the coefficients of $[M(\mathbf{n})]$, the proposition reduces to showing that

$$\sum \mathbf{d}'(\alpha) - \sum \mathbf{d}'(\alpha)^2 + \sum \mathbf{d}''(\alpha) - \sum \mathbf{d}''(\alpha)^2 - \langle \mathbf{d}', \mathbf{d}'' \rangle = \langle \mathbf{d}', \mathbf{d}'' \rangle + \sum \mathbf{d}(\alpha) - \sum \mathbf{d}(\alpha)^2.$$

An easy calculation with the definitions of $\langle -, - \rangle$ and $\langle\langle -, - \rangle\rangle$ shows that this is the case. \square

Lectures 15–16 (Feb. 20, 23) Background on sheaves, derived categories of sheaves, sheaf functors.

Intersection cohomology/perverse sheaves. Let $X = \bigsqcup_{s \in J} X_s$ be a stratified algebraic variety. For each stratum X_s , there is a unique object $\text{IC}(X_s)$ characterized by the following properties:

- $\text{IC}(X_s)$ is supported on $\overline{X_s}$.
- $\text{IC}(X_s)|_{X_s} \cong \underline{\mathcal{C}}_{X_s}[\dim X_s]$.
- For any $X_t \subset \overline{X_s}$, $X_t \neq X_s$, $\mathcal{H}^i(\text{IC}(X_s)|_{X_t}) = 0$ for $i \geq -\dim X_t$.
- The preceding property also holds for $\mathbb{D}(\text{IC}(X_s))$.

There is a slight generalization of this of this notion that sometimes comes up: for any *local system* (i.e., locally constant sheaf) \mathcal{L} on X_s , there is a unique object $\text{IC}(X_s, \mathcal{L})$, characterized as above, except that $\text{IC}(X_s, \mathcal{L})|_{X_s} \cong \mathcal{L}[\dim X_s]$. (But nontrivial local systems do not occur on Lusztig quiver varieties.)

Let $P(X) \subset D^b(X)$ be the smallest full subcategory that contains all the $\text{IC}(X_s, \mathcal{L})$'s and is closed under extensions. That is, for any distinguished triangle $\mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow$ in $D^b(X)$, if $\mathcal{F}', \mathcal{F}'' \in P(X)$, then $\mathcal{F} \in P(X)$. Objects of $P(X)$ are called *perverse sheaves*. Key properties of this category:

- It is an abelian category.
- Every object has a composition series. The $\text{IC}(X_s, \mathcal{L})$'s (for \mathcal{L} an irreducible local system) are precisely the simple objects in this category.
- If μ is a smooth morphism of varieties of relative dimension r , then $\mu^*[r] \cong \mu^![-r]$ takes perverse sheaves to perverse sheaves.
- Call an object of $D^b(X)$ *semisimple* if it is a direct sum of various $\text{IC}(X_s, \mathcal{L})[n]$'s. The *Decomposition Theorem* asserts that if μ is a proper morphism of varieties, then $R\mu_*$ takes semisimple objects to semisimple objects.

Lectures 17–18 (Feb. 25, 27) Convolution of sheaves. Given $\mathcal{F}' \in D_{G_{\mathbf{d}'}}^b(\text{Rep}_{\mathbf{d}'}(Q))$, $\mathcal{F}'' \in D_{G_{\mathbf{d}''}}^b(\text{Rep}_{\mathbf{d}''}(Q))$, define the convolution product $\mathcal{F}' * \mathcal{F}'' \in D_{G_{\mathbf{d}}}^b(\text{Rep}_{\mathbf{d}}(Q))$ by

$$\mathcal{F}' * \mathcal{F}'' = R\varpi_* \tilde{\mathcal{F}} \quad \text{where } \tilde{\mathcal{F}} \in D_{G_{\mathbf{d}}}^b(\mathbf{E}'') \text{ is the unique object such that } \varpi^* \tilde{\mathcal{F}} = \varpi^*(\mathcal{F}' \boxtimes \mathcal{F}'').$$

Let $j_{\mathbf{n}} : \mathcal{O}_{\mathbf{n}} \hookrightarrow \text{Rep}_{\mathbf{d}}(Q)$ be the inclusion map, and let $\Xi_{\mathbf{n}} := j_{\mathbf{n}} \mathcal{C}_{\mathcal{O}_{\mathbf{n}}}$. Also, for a point $\phi \in \text{Rep}_{\mathbf{d}}(Q)$, let

$$\mathbf{F}_{M(\mathbf{n}'), M(\mathbf{n}'')}^{M(\phi)} = \text{the variety of submodules } M \subset M(\phi) \text{ such that } M \cong M(\mathbf{n}'') \text{ and } M(\phi)/M \cong M(\mathbf{n}').$$

The sheaf-theoretic analogue of (2) is this: the stalks of $\Xi_{\mathbf{n}'} * \Xi_{\mathbf{n}''}$ are given by

$$(\Xi_{\mathbf{n}'} * \Xi_{\mathbf{n}''})|_{\phi} \cong R\Gamma(\mathbf{F}_{M(\mathbf{n}'), M(\mathbf{n}'')}^{M(\phi)}).$$

For a smooth morphism of varieties μ of relative dimension r , recall that $\mu^! \cong \mu^*[2r]$, and that the functor $\mu^{\sharp} = \mu^*[r] \cong \mu^![-r]$ takes perverse sheaves to perverse sheaves. Define the *modified convolution product* $\mathcal{F}' \diamond \mathcal{F}'' \in D_{G_{\mathbf{d}}}^b(\text{Rep}_{\mathbf{d}}(Q))$ by

$$\mathcal{F}' \diamond \mathcal{F}'' = R\varpi_* \tilde{\mathcal{F}} \quad \text{where } \tilde{\mathcal{F}} \in D_{G_{\mathbf{d}}}^b(\mathbf{E}'') \text{ is the unique object such that } \varpi^{\sharp} \tilde{\mathcal{F}} = \varpi^{\sharp}(\mathcal{F}' \boxtimes \mathcal{F}'').$$

From the earlier dimension calculations, one finds that

$$\mathcal{F}' \diamond \mathcal{F}'' \cong (\mathcal{F}' * \mathcal{F}'')[\langle\langle \mathbf{d}', \mathbf{d}'' \rangle\rangle].$$

There is a version $\mathcal{K}_{\mathbf{d}}$ of the Grothendieck group of $D_{G_{\mathbf{d}}}^b(\text{Rep}_{\mathbf{d}}(Q))$ that is a module over $\mathbb{Z}[v, v^{-1}]$, with the property that $[\text{IC}(\mathcal{O}_{\mathbf{n}})[n]] = v^{-n}[\text{IC}(\mathcal{O}_{\mathbf{n}})]$. The equation above implies that if $\mathcal{O}_{\mathbf{n}'} \subset \text{Rep}_{\mathbf{d}'}(Q)$ and $\mathcal{O}_{\mathbf{n}''} \subset \text{Rep}_{\mathbf{d}''}(Q)$, then in \mathcal{K} ,

$$[\text{IC}(\mathcal{O}_{\mathbf{n}'} \diamond \mathcal{O}_{\mathbf{n}''})] = v^{-\langle\langle \mathbf{d}', \mathbf{d}'' \rangle\rangle} [\text{IC}(\mathcal{O}_{\mathbf{n}'} * \mathcal{O}_{\mathbf{n}''})].$$

Using nontrivial facts about mixed sheaves on $\text{Rep}_{\mathbf{d}}(Q)$, one can show that in \mathcal{K} ,

$$[\text{IC}(\mathcal{O}_{\mathbf{n}})] = v^{-\dim \mathcal{O}_{\mathbf{n}}} [\Xi_{\mathbf{n}}] + \sum_{\substack{\mathbf{n}': \mathcal{O}_{\mathbf{n}'} \subset \mathcal{O}_{\mathbf{n}}; \\ i < 0}} v^{-\dim \mathcal{O}_{\mathbf{n}'} + i} \text{rk } \mathcal{H}^{-\dim \mathcal{O}_{\mathbf{n}'} + i}(\text{IC}(\mathcal{O}_{\mathbf{n}})|_{\mathcal{O}_{\mathbf{n}'}})[\Xi_{\mathbf{n}'}] \quad (4)$$

The restriction $i < 0$ is written here for emphasis, but it is not strictly necessary: the terms in the sum vanish for $i \geq 0$ anyway, by one of the defining properties of IC objects.

Theorem (Lusztig). There is an isomorphism of $\mathbb{Z}[v, v^{-1}]$ -modules

$$\mathcal{K} := \bigoplus_{\mathbf{d}: \Delta \rightarrow \mathbb{Z}_{\geq 0}} \mathcal{K}_{\mathbf{d}} \xrightarrow{\sim} U_{L, v}^+(\mathfrak{g}) \quad (5)$$

such that we have the following behavior of products and objects:

$$\begin{array}{ccccccc} \mathcal{K} & \xrightarrow{\sim} & U_{L, v}^+(\mathfrak{g}) & \xrightarrow{v \mapsto \sqrt{q}} & U_{\sqrt{q}}^+(\mathfrak{g}) & \xrightarrow[\sim]{\text{Ringel, } \diamond} & \mathcal{H}_{\text{Rep}(Q)} & \xrightarrow{\sim} & F(Q) \\ \diamond & \longleftarrow & \text{mult.} & \longrightarrow & \text{mult.} & \longleftarrow & \diamond & & \\ * & \longleftarrow & & \longrightarrow & & \longleftarrow & * & \longleftarrow & * \\ [\Xi_{\mathbf{n}}] & \longleftarrow & \diamond\text{-lift of } [M(\mathbf{n})] & \longrightarrow & [M(\mathbf{n})] & \longleftarrow & \chi_{\mathbf{n}} & & \end{array}$$

Here, the “ \diamond -lifts of $[M(\mathbf{n})]$ ” are the elements whose existence you proved in Problem Set 2, Exercise 5. (They are called “ \diamond -lifts” because we are using the \diamond version of Ringel’s isomorphism; the \cdot version would give different elements.)

The formula (4) highlights the importance of the elements $v^{-\dim \mathcal{O}_n}[\Xi_n]$. Let us introduce the elements

$$\mathbf{E}_n := v^{-\dim \mathcal{O}_n}(\diamond\text{-lift of } [M(\mathbf{n})]) = \text{image of } v^{-\dim \mathcal{O}_n}[\Xi_n] \text{ under Eq. (5).}$$

The elements $\{\mathbf{E}_n\}$ form the *PBW-type basis* of $U_{L,v}^+(\mathfrak{g})$.

Canonical basis. Let \mathbf{B}_n be the image of $[\text{IC}(\mathcal{O}_n)]$ under (5). These elements form a new basis of $U_{L,v}^+(\mathfrak{g})$, called the *canonical basis*. This basis has two special properties: (i) *Positivity*: the Decomposition Theorem implies that

$$\mathbf{B}_n \mathbf{B}_{n'} = \sum m_{n''} \mathbf{B}_{n''} \quad \text{where each } m_{n''} \in \mathbb{Z}[v, v^{-1}] \text{ is } v\text{-stable and has nonnegative coefficients.}$$

(ii) *Independence of Q* : If Q' is another quiver with the same underlying undirected graph as Q , there is an equivalence of derived categories $D_{G_d}^b(\text{Rep}_d(Q)) \cong D_{G_d}^b(\text{Rep}_d(Q'))$ given by a kind of Fourier transform. This equivalence commutes with \diamond -convolution, and it sends IC's to IC's (although it does *not* preserve the labels of orbits). See [1, §13].

The basis elements \mathbf{B}_n can be computed explicitly, using an algebraic analogues of the characterization of the $\text{IC}(\mathcal{O}_n)$'s. First, an analogue of Verdier duality: define an involution

$$- : U_{L,v}^+(\mathfrak{g}) \rightarrow U_{L,v}^+(\mathfrak{g}) \quad \text{by} \quad \overline{E_\alpha} = E_\alpha \ (\alpha \in \Delta), \quad \overline{v} = v^{-1}.$$

Let us now translate (4) across the isomorphism (5). For each $\mathbf{n} : \Phi^+ \rightarrow \mathbb{Z}_{\geq 0}$, \mathbf{B}_n is the unique element of $U_{L,v}^+(\mathfrak{g})$ such that

$$\mathbf{B}_n = \mathbf{E}_n + \sum_{\substack{\mathbf{m} : \Phi^+ \rightarrow \mathbb{Z}_{\geq 0} \\ \mathcal{O}_m \subset \overline{\mathcal{O}_n}, \mathbf{m} \neq \mathbf{n}}} P_{\mathbf{n},\mathbf{m}} \mathbf{E}_m \quad \text{with } P_{\mathbf{n},\mathbf{m}} \in v^{-1}\mathbb{Z}[v^{-1}], \quad \text{and} \quad \overline{\mathbf{B}_n} = \mathbf{B}_n.$$

If we write them in the $\{[M(\mathbf{n})]\}$ basis (or, to be precise, in the $\{\diamond\text{-lift of } [M(\mathbf{n})]\}$ basis) instead, we see that \mathbf{B}_n is uniquely characterized by the properties that

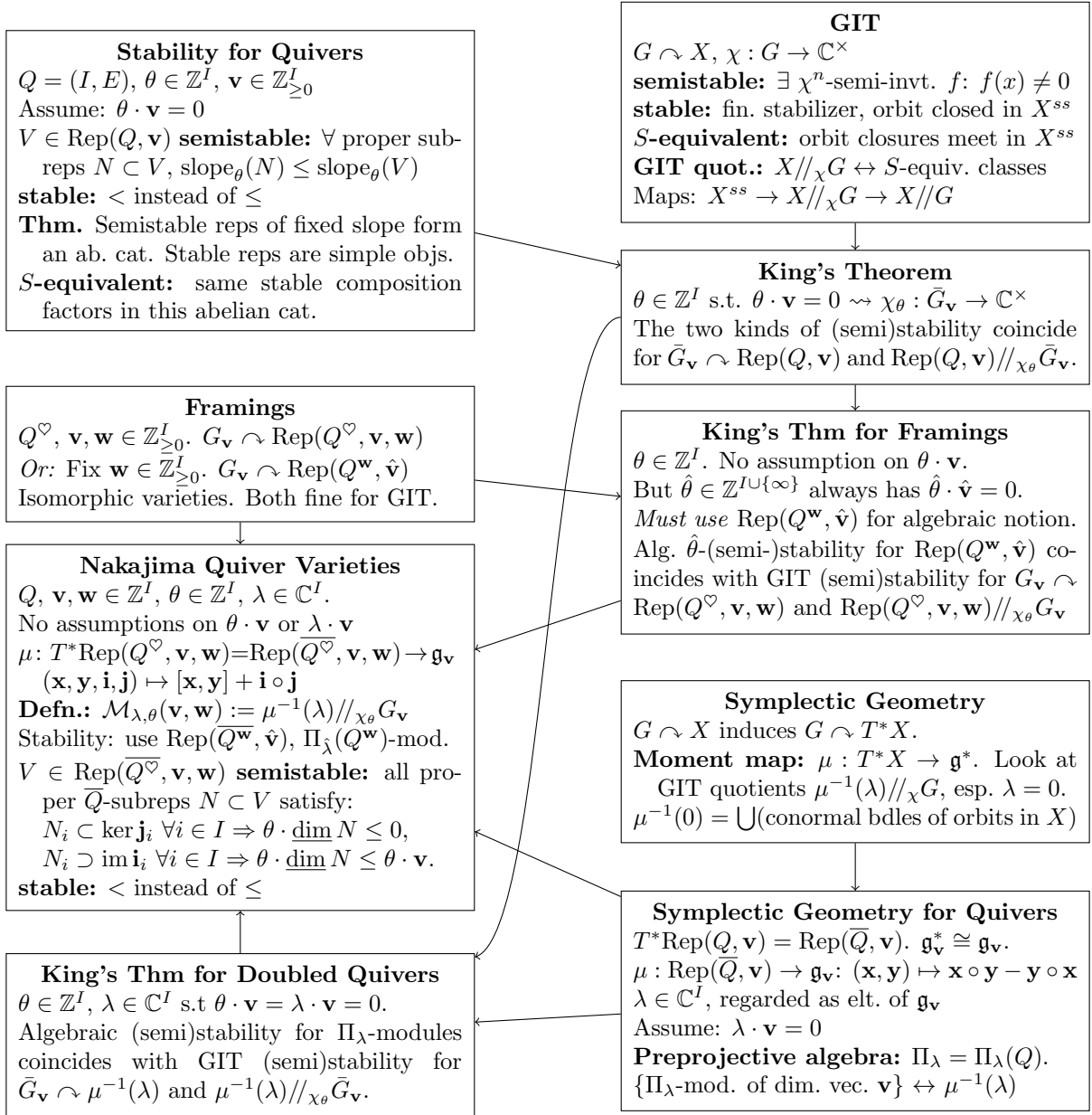
$$\mathbf{B}_n = v^{-\dim \mathcal{O}_n} [M(\mathbf{n})] + \sum_{\substack{\mathbf{m} : \Phi^+ \rightarrow \mathbb{Z}_{\geq 0} \\ \mathcal{O}_m \subset \overline{\mathcal{O}_n}, \mathbf{m} \neq \mathbf{n}}} P_{\mathbf{n},\mathbf{m}} [M(\mathbf{m})] \quad \text{with } P_{\mathbf{n},\mathbf{m}} \in v^{-\dim \mathcal{O}_m - 1} \mathbb{Z}[v^{-1}], \quad \text{and} \quad \overline{\mathbf{B}_n} = \mathbf{B}_n.$$

Aside. If we use the \cdot version of Ringel's theorem to lift the $[M(\mathbf{n})]$'s instead, the setup looks like this:

$$\begin{array}{ccccccc} \mathcal{K} & \xrightarrow{\sim} & U_{L,v}^+(\mathfrak{g}) & \xrightarrow{v \mapsto \sqrt{q}} & U_{\sqrt{q}}^+(\mathfrak{g}) & \xrightarrow[\sim]{\text{Ringel, } \cdot} & \mathcal{H}_{\text{Rep}(Q)} \\ \diamond & \xleftarrow{\quad} & \text{mult.} & \xrightarrow{\quad} & \text{mult.} & \xleftarrow{\quad} & \cdot \\ [\Xi_n] & \xleftarrow{\quad} & v^{\dim \mathcal{O}_n + \dim \text{End}(M(\mathbf{n})) - \dim M(\mathbf{n})} (\cdot\text{-lift of } [M(\mathbf{n})]) & \xrightarrow{\quad} & [M(\mathbf{n})] & & \\ v^{-\dim \mathcal{O}_n} [\Xi_n] & \xleftarrow{\quad} & \mathbf{E}_n = v^{\dim \text{End}(M(\mathbf{n})) - \dim M(\mathbf{n})} (\cdot\text{-lift of } [M(\mathbf{n})]) & & & & \end{array}$$

This version matches Theorems 4.11 and 4.12 from Rouquier's notes. Note that both the PBW basis $\{\mathbf{E}_n\}$ and the canonical basis $\{\mathbf{B}_n\}$ are the same as above, but the $\{\text{lift of } [M(\mathbf{n})]\}$ basis is different.

Many sources seem to use the \cdot version, which looks really unnatural to me from the geometric perspective. Lusztig doesn't use either \cdot or \diamond ; instead, he uses $*$, with a slightly different version of Ringel's theorem that incorporates the elements $K_\alpha^{\pm 1} \in U_{L,v}(\mathfrak{g})$.



Pop Quiz

1. What are the definitions of $Q^{\mathbf{w}}, \hat{\mathbf{v}}$, and $\hat{\theta}$?
2. Some boxes have assumptions like $\theta \cdot \mathbf{v} = 0$ or $\lambda \cdot \mathbf{v} = 0$, and others don't. Explain these assumptions.
3. Some boxes use the action of $\bar{G}_{\mathbf{v}}$, and others use $G_{\mathbf{v}}$. Explain why.

References

- [1] G. Lusztig, *Canonical bases arising from quantized enveloping algebras*, J. Amer. Math. Soc. **3** (1990), 447–498.