Math 7330: Functional Analysis

Fall 2002

Notes/Homework 6: Banach \*-Algebras

An *involution* \* on a complex algebra B is a map  $*: B \to B$  for which

(i) \*(a+b) = \*a + \*b for all  $a, b \in B$ 

(ii)  $*(\lambda a) = \overline{\lambda} * a$  for all  $\lambda \in \mathbf{C}$  and  $a \in B$ 

(iii)  $(xy) = y^*x^*$  for all  $x, y \in B$ 

(iv)  $(x^*)^* = x$  for all  $x \in B$ . An element  $a \in B$  is hermitian if  $a = a^*$ .

On a complex *Banach* algebra we also require an involution \* to satisfy

(v)  $|xy| \le |x||y|$  for all  $x, y \in B$ .

Observe that for the identity e, we have  $e^* = ee^*$  and so taking \* of this we get  $(e^*)^* = (e^*)^*e^*$ , which says  $e = ee^*$ . Thus

 $e = e^*$ 

A  $B^{\ast}\mbox{-algebra}$  is a complex Banach algebra B on which there is an involution  $\ast$  for which

$$|xx^*| = |x|^2$$
 for all  $x \in B$ 

1. Let B be a complex Banach algebra with involution.

(i) Show that

if B is a B\*-algebra then  $|x| = |x^*|$  for all  $x \in B$ 

(ii) Suppose  $|y^*y| = |y|^2$  for all  $y \in B$ . Show that  $|y| = |y^*|$  for all  $y \in B$ .

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(iii) Suppose  $|y^*y| = |y|^2$  for all  $y \in B$ . Show that  $|xx^*| = |x|^2$  for all  $x \in B$ .

2. Let B be a B\*-algebra.

(i) Show that if  $y \in B$  is hermitian and s is any real number then

$$|se + iy|^2 = |s^2e + y^2|$$

(ii) Show that e + iy is invertible for every hermitian  $y \in B$ . Proceed as follows: Suppose e + iy is not invertible. Then for every  $\lambda \in \mathbf{R}$ ,  $(\lambda + 1)e - (\lambda e - iy)$  is not invertible, i.e.  $(\lambda + 1) \in \sigma(\lambda e - iy)$ . So  $|\lambda + 1| \leq |\lambda e - iy|$ . By (i), this implies  $(\lambda + 1)^2 \leq |\lambda^2 e + y^2|$  and the latter is  $\leq \lambda^2 + |y^2|$ . This would be true for every real number  $\lambda$ . Show that this is impossible.

- 3. Let B be a complex algebra with involution \*.
  - (i) If  $e + x^*x$  is invertible for every  $x \in B$  then show that e + iy is invertible for every hermitian  $y \in B$ . Hint: Note that  $(e + iy)(e iy) = e + y^2 = e + y^*y$ .

(ii) If e+iy is invertible for every hermitian  $y \in B$  then  $\sigma(a) \subset \mathbf{R}$  for every hermitian  $a \in B$ . Hint: Consider any complex number  $\lambda = \alpha + i\beta$  with  $\beta \neq 0$ . Check that  $\lambda e - a = i\beta(e+iy)$  for some hermitian element y. By (i) then  $\lambda e - a$  is invertible and so  $\lambda \notin \sigma(a)$ .

(iii) If  $e + x^*x$  is invertible for every  $x \in B$  then  $\sigma(y^*y) \subset [0, \infty)$  for every  $y \in B$ . Proceed as follows: Let k > 0 and show that  $(-k)e - y^*y$  is invertible by writing it as  $(-k)[e + x^*x]$  where  $x = k^{-1/2}y$ .

- 3. Let B be a complex commutative Banach algebra with an involution \*. Show that the following are equivalent:
  - (a)  $e + x^*x$  is invertible for every  $x \in B$
  - (b) every hermitian element has real spectrum
  - (c)  $\hat{x^*} = \overline{\hat{x}}$  for every  $x \in B$ .
  - (d)  $J^* = J$  for every maximal ideal J of B. (a) implies (b) is from the previous problem. Now suppose (b) holds. Let  $x \in B$ . Then  $a = x + x^*$  and  $b = i(x - x^*)$  are hermitian. So their spectra are real. So  $\hat{a}$  and  $\hat{b}$  are real-valued. Thus  $f = \hat{x} + \hat{x^*}$ and  $g = i(\hat{x} - \hat{x^*})$  are *real-valued*. Now  $\hat{x} = (f - ig)/2$  and  $\hat{x^*} = (f + ig)/2$ . It follows that  $\hat{x^*} = \hat{x}$ .

Assume (c). Let J be a maximal ideal. Then  $J = \ker h$  for some  $h \in \Delta$  (i.e. h is a non-zero complex homomorphism  $B \to \mathbf{C}$ ). Let  $x \in B$ . Then

$$h(x^*) = \hat{x^*}(h) = \overline{\hat{x}(h)} = \overline{h(x)} = 0$$

and so  $x^* \in kerh = J$ .

Now suppose (d) holds. We prove (c). Let  $x \in B$ . Consider any  $h \in \Delta$ . Then  $x - h(x)e \in \ker h$ . Since  $\ker h$  is a maximal ideal, (d) implies that  $x^* - \overline{h(x)}e$  is also in ker h. So  $h(x^* - \overline{h(x)}e) = 0$  and this implies  $h(x^*) = \overline{h(x)}$ . This holds for all  $h \in \Delta$ . So (c) holds.

Finally we show that (c) implies (a). Assume (c). Let  $x \in B$ . Then the Gelfand transform of  $e + x^*x$  is  $1 + |\hat{x}|^2$  which never has the value zero. So 0 is not in the spectrum of  $e + x^*x$  and so  $e + x^*x$  is invertible.